

Softwarization of SCADA: Lightweight Statistical SDN-Agents for Anomaly Detection

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Abstract—The increasing connectivity of restricted areas such as Critical Infrastructures (CIs) raises major security concerns for Supervisory Control And Data Acquisition (SCADA) systems, which are deployed to monitor their operation. Given the importance of an early anomaly detection, Intrusion Detection Systems (IDSs) are introduced in SCADA systems to detect malicious activities as early as possible. Agents or probes form the cornerstone of any IDS by capturing network packets and extracting relevant information. However, IDSs are facing unprecedented challenges due to the escalation in the number, scale and diversity of attacks. Software-Defined Network (SDN) then comes into play and can provide the required flexibility and scalability. Building on that, we introduce Traffic Agent Controllers (TACs) that monitor SDN-enabled switches via OpenFlow. By using lightweight statistical metrics such as Kullback-Leibler Divergence (KLD), we are able to detect the slightest anomalies, such as stealth port scans, even in the presence of background traffic. The obtained metrics can also be used to locate the anomalies with precision over 90% inside a hierarchical network topology.

I. INTRODUCTION AND PROBLEM STATEMENT

Critical Infrastructures (CIs) are cyber-physical system and assets that are vital to citizens’ daily lives and national economies. Consequently, their destruction or impairment would damage public health or safety, energy supplies, transportation, telecommunications, etc. Since these CIs use systems and equipment that are separated by great distances (as much as thousands of kilometres), Supervisory Control And Data Acquisition (SCADA) systems are used to monitor their operation and to send commands and programs remotely. In the past, there has been little worldwide awareness of the importance of securing SCADA systems; authorities used “security by obscurity”, together with air-gapped isolation. The former uses proprietary software, hardware, and communication protocols. However, the limitation of this approach was demonstrated by the devastating effect of the Stuxnet worm [1], considered the first publicly-known malware used to target a CI. Network attacks can hamper the correct operating modes of SCADA devices such as Remote Terminal Units (RTUs), or Programmable Logic Controllers (PLCs). In addition, with the rapid development in industry and hence the need for ever-increasing connectivity to reach remote sites, SCADA systems started to use standardized communications protocols in order to have access to Internet and corporate networks and to ensure remote control. Today, Modicom Communication Bus (Modbus) TCP [2], Distributed Network Protocol (DNP3) [3], and IEC 60870-5-104 [4] are typically

used in modern SCADA systems. This situation gives rise to new security concerns for SCADA operators, since these protocols were designed with a strong focus on reliability rather than security.

Intrusion Detection Systems (IDSs) were introduced to detect malicious activities as early as possible. Detecting known and unknown attacks at an early stage is fundamental to preventing further damage. An IDS can collect valuable information about an attack to prevent it in the future. Agents or probes are the main components of an IDS. They capture network packets and extract relevant information from them. The role of an agent can involve more sophisticated management functions such as the processing of collected data. The data gathered by agents is sent to the IDS for further investigation. However, since SCADA systems are large-scale, these solutions introduce additional delay to the anomaly detection latency. In addition, anomaly detection today is implemented using agents that are rigid in terms of placement and functionality with respect to the type of anomaly metric they can handle. This leaves SCADA operators in an unpleasant situation given the complexity of SCADA systems and the diversity of attacks. Thus, it is challenging to design adequate agents or probes that can be sufficiently flexible and efficient. Recently, Software-Defined Network (SDN) methodology has been proposed as a solution to address these challenges by splitting the data flow operations from network control. In the same vein, we propose an SDN-enabled SCADA architecture enriched with lightweight statistical and programmable agents to ensure timely anomaly detection. The key advantage of our solution is the flexibility in the definition of the statistical agents and their placement. In addition, being in vicinity of the SDN-enabled switches, the proposed agents ensure timely anomaly detection. The contributions and the structure of this paper are as follows:

- We detail our proposed SDN-based architecture and lightweight statistical SDN agents in Section III.
- We evaluate our lightweight SDN agents in a virtual SCADA running Modbus, DNP3, and IEC 60870-5-104 and under several attacks (SYN flooding attack, TCP and UDP port scan, etc.) in Section IV.
- In Section IV-C we demonstrate the ability of our approach to locate anomalies in the SDN-enabled SCADA network.

II. TECHNICAL BACKGROUND

A. SCADA Systems

1) *Architecture*: The SCADA architecture is built in form of a hierarchical tree structure. At the root node is the Human Machine Interface (HMI), where all information is consolidated and displayed on screens. This is also the place where employees monitor and control the whole infrastructure. The HMI is connected to RTUs/PLCs. These are microprocessor-controlled devices which provide an interface to multiple sensors and actuators. The RTUs/PLCs, sensors, and actuators together build a substation, which can be geographically distant, depending on the size of the SCADA network.

2) *Network Protocols and Traffic Patterns*: The main communication protocols for SCADA networks are Modbus TCP, DNP3, and IEC 60870-5-104. These protocols were designed over twenty years ago and are known to be highly vulnerable to simple network attacks [5], [6]. Detection of attacks and anomalies in SCADA networks exploits the fact that traffic flows exhibit predictable patterns and have the following characteristics:

- *Deterministic*: the operation of a device should be predictable, given that critical processes depend on it.
- *Hierarchical*: Devices communicate in a one-to-many fashion (one master device and several slaves), using a polling communication style.
- *Consistent*: SCADA master devices poll field devices at set time intervals, thus giving rise to periodic traffic patterns. In [7], it was found that typical SCADA traffic consists of a regular exchange of packets inside long-lived (about one hour) TCP connections. This is different from the traffic patterns of traditional Information and Communication Technology (ICT) devices, where human activity affects packet timing. Moreover, the network topology in SCADA system tends to remain unaltered over time, given that nodes are added or removed rarely.

B. Software-Defined Network (SDN)

In a scenario where the communication between the Industrial Automation and Control Systems (IACS) components uses SDN, the SCADA system could host an IDS application. The control layer of a SDN architecture communicates with the infrastructure via a specific protocol called OpenFlow. This protocol allows the SDN control software to modify the packet forwarding table from layer 3 switches or routers dynamically. On top of the control layer is the application layer, which uses an API to communicate with the control layer. It is possible to run applications which extend the functionality of an SDN-based network, e.g., load balancer, IDS, or a network monitor.

OpenFlow is the de-facto standard communications interface defined between the control and data planes of an SDN architecture. Data plane are OpenFlow-enabled devices and can be monitored and configured by SDN controllers through a secure channel. An OpenFlow Switch consists of: a) one or more flow tables; b) a group table whose role is to carry out packet look-ups and forwarding; c) an OpenFlow channel

that connects the switch to the controller. The SDN controller can add, update, and delete flow entries of the switch flow tables via OpenFlow. This can be done in a reactive way (in response to a specific request) or proactively. Each flow table in an OpenFlow-enabled switch contains a set of flow entries. A flow entry comprises match fields, counters, and a set of instructions to apply to matching packets. The lifetime of a flow entry is controlled by a timer; the associated timeout can be either idle or hard. If an idle timeout is set, a flow entry expires if no packet has matched that entry for the given number of seconds. With a hard timeout, the flow entry is deleted after a given number of seconds irrespective of the packets matching that entry.

III. LIGHTWEIGHT SDN-BASED AGENTS FOR INTRUSION DETECTION

A. Attacker Model

We consider two types of attacker: a lazy one, who aims to create damage by flooding the network; and a smart one, who needs to investigate the network before moving on to more sophisticated attacks. We consider the following attacks:

1) *SYN Flooding Attack*: is a memory-exhaustion attack in which an attacker exploits the fact that a receiver must allocate memory for the half-open TCP connection until a timeout occurs. If an attacker sends enough packets in a short time frame, the receiver runs out of memory and cannot accept TCP connections from legitimate users. This is a classic network attack that results in a denial-of-service (DoS). SCADA devices are still vulnerable to this kind of attacks (e.g. CVE-2017-14028 [8], CVE-2018-10632 [9]).

2) *Port Scan*: checks which TCP/UDP ports are open on a specific target. The resulting information is useful in conducting reconnaissance and preparing more sophisticated attacks. The de facto standard application for port scanning is `nmap`. In our experiments, we consider `nmap` using the half-open or stealth scanning technique, which sends SYN packets to the port and waits for the response. If the response is a SYN/ACK packet, the port is open. If the response is a RST packet, the port is closed. In addition, we use the option `-sV`, which probes the open port to get more service information.

B. Distributed Statistical SDN-Agents for Attack Detection

Our approach exploits the inherent centralized intelligence of an SDN architecture. We define a new logical element, the Traffic Agent Controller (TAC), which is responsible for collecting statistical data concerning the traffic flows, pre-processing them, and populating a centralized database to be used by the IDS processes. The TAC is seen by the switches as another local controller, additional to the central one, which can be supported by the OpenFlow protocol. TACs are specialized controllers, since they are targeted specifically to enable the traffic-monitoring and attack-detection functions. A TAC is implemented as a software running on a virtual machine or on a set of devices that can be introduced to the local SCADA sub-network where the switches to monitor

are located. Decoupling the TAC function from the monitored switch has a number of advantages:

- Flexibility in the design of the monitoring platform, e.g., deploying one TAC for each switch allows a trade-off to be made between the amount of control traffic load due to the collection of measurements and the number of TAC devices to be introduced into the SCADA network.
- No impact on existing switches, which do not need to be patched or replaced. The only assumed supported functionality of the switches is running OpenFlow protocol.
- Flexibility in the deployment of the traffic monitoring and attack detection functions in an existing SCADA network, with minimal impact on the network, which is a major requirement for this specific environment.
- The TAC software can be easily developed starting from an implementation of the central SDN controller and removing all those functions that are not needed for the specific purpose of the TAC.

To deploy the TACs, we proceed as follows: The central SDN controller is initially configured with information on location and addresses of the TAC devices introduced to the network. It is up to the central controller to assign switches to their respective TACs. Then, the central SDN controller tells to each switch the TAC it must register to. The switch opens an OpenFlow link with its associated TAC, which acts as a passive server in this phase. The TAC asks the SDN controller for the list of connected hosts and any other relevant topological information. This is more efficient than discovering the information on its own by means of discovery messages, as this discovery can more easily be done by the SDN controller.

Once this first phase is completed, each TAC has registered the switch it is in charge of, knows which hosts are connected to its associated switch, and has established OpenFlow links with the associated switch. We start then the collection of data traffic. Let TAC_S denote the TAC controlling the switch S . Data collected by TAC_S comprises two kinds of information:

- 1) Periodic reports of switch counters, triggered by explicit requests sent by TAC_S to the switch S
- 2) Specific packets belonging to each new flow passing through the switch S (e.g., TCP SYN packets).

To collect the second type of information, we exploit the intrinsic behavior of an SDN-enabled switch: the first packet of each new flow is sent to the SDN controller, so that it can determine the appropriate flow entry in the flow table. Since the TAC is seen by the switch as just another SDN controller, the switch automatically sends the first packet of each new flow to *both* the central SDN controller and to the TAC it is registered with. Consequently, our proposed approach ensures that the traffic-monitoring function carried out by TACs integrates smoothly with the classic SDN-based SCADA network. The only major change perceived by a switch is that it now has two controllers to talk to via OpenFlow channels. No specific upgrade or modification is required to the switches, provided they support OpenFlow and they have the internal counters needed by the traffic monitoring process.

In the following, we detail the TAC operating mode.

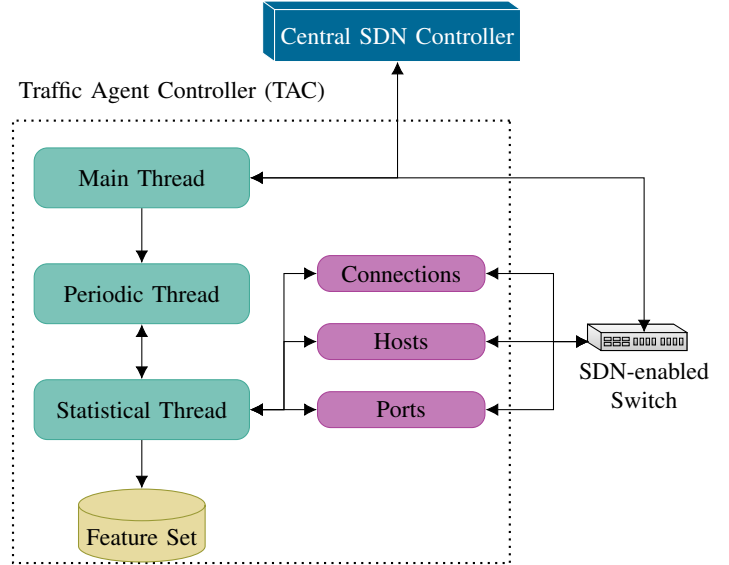


Fig. 1: Architecture of the TAC with its different threads. Green boxes are threads and purple boxes are collectors.

1) *TAC operating mode*: The logic structure of the TAC is described in Figure 1. The TAC is composed of three threads: Main, Periodic and Statistical.

The *Main Thread* is the starting point and handles all OpenFlow messages. It also starts the *Periodic Thread*. This thread has the role of triggering the *Statistical thread* every time interval Δ . The periodic collection depends on the fact that the TAC runs lightweight code and the latency of TAC-to-switch message exchange is negligible. In more depth, the nominal collection times are $t_k = t_0 + k\Delta$, $k \geq 0$. Let δ_k denote the delay due to: (i) OpenFlow message exchange between the TAC and the monitored switch; (ii) internal processing at the switch and the TAC. Switch counters should be sampled at times t_k . The actual times when counters are sampled are $\hat{t}_k = t_0 + k\Delta + \delta_k$. The sampling interval is $\hat{t}_k - \hat{t}_{k-1} = \Delta + \delta_k - \delta_{k-1} = \Delta + \epsilon_k$, where ϵ_k is the delay jitter. The jitter has a negligible effect provided that $\epsilon_k \ll \Delta$, $\forall k$. In our experiments we pushed the periodic collection up to a frequency of one measurement per second ($\Delta \geq 1$ s). If a 1% jitter is tolerable, the periodicity of the collection is sufficiently accurate as long as the delay jitter ϵ_k is within 10 ms. This is certainly compatible with OpenFlow message exchange times between the TAC and the associated switch, provided that the TAC is deployed in same local network.

The *Statistical Thread* is responsible for collecting the host, port and connection statistics that will populate the central database used by the IDS. The features can be obtained from three types of request:

Host Statistics are collected only if the switch is a leaf¹.

We create the `AGGREGATE_STATISTICS_REQUEST`

¹A switch is said to be a leaf if it is connected directly to at least one host.

for each host connected to the switch. TAC requires the statistics for each linked device as source and as destination. This request is inserted in a `OPENFLOW_MULTIPART_MESSAGE`. Features collected are the number of packets, number of bytes, and number of flow entries in which each host is involved.

Port Statistics are related to the physical port of the switch. We implement an `OPENFLOW_MULTIPART_MESSAGE`, but the sub-message is a `PORT_STATISTICS_REQUEST`. The TAC obtains counts of received bytes, received packets, dropped packets, received frame alignment errors, received packet overrun errors, received Cyclic Redundancy Check (CRC) errors, transmitted bytes, dropped packets at the transmitter side, and overall collisions.

Connection Statistics collects the statistics from the linked switches. Since the TAC is recognized as an SDN controller, the linked switch will send a `OPENFLOW_PACKET_IN` to ask which action to apply, when it cannot find any flow entry in its flow tables. Hence, the TAC sees the first packet of each flow. We distinguish UDP and TCP flows. If the first packet of the flow is a TCP SYN, we set the flow entry “hard” timer to 2 ms. This hard timer, also adopted by [10], ensures that the flow entry gets deleted immediately after having sent the TCP SYN packet. If the three-way handshake of TCP completes successfully, the final ACK, arriving at the same switch, does not find any flow entry and is forwarded to the SDN controller. This allows us to check the outcome of the TCP three-way handshake, which is an important indicator for SYN flooding attacks and port scans. We consider the following connection features: the number of attempted TCP connection setups (SYN messages), the number of successfully set-up TCP connections, of failed TCP connections, of UDP datagrams and of different destination ports related to TCP packets and to UDP packets.

All these statistics are collected every Δ seconds. A collection event is referred to as a *snapshot*. After N collections, we have an *observation*. Let $\Omega_X = \Omega_X(\Delta, N)$ be the set of observation data for the feature X . The observation data go through two steps: approximation and identification.

2) *Approximation*: is the learning process, in which a new state of the network is saved. In this step, we estimate the probability distribution of the observed features from the observation data. If $\{x_1, \dots, x_m\}$ denotes the possible outcomes of feature X , then $P(x_i) = \sum_{y \in \Omega_X} I(y = x_i) / |\Omega_X|$, $i = 1, \dots, m$, where $|W|$ is the cardinality of the set W and $I(E)$ is the indicator function of event E .

3) *Identification*: is the cornerstone of our anomaly detection algorithm. It compares the probabilities of the trained statistics $Q(x)$ with the observed statistics $P(x)$ by calculating the Kullback-Leibler Divergence (KLD) [11], given by:

$$D(P||Q) = KLD(P, Q) = \sum_{x \in X} P(x) \log_2 \frac{P(x)}{Q(x)}. \quad (1)$$

We will refer to the KLD as *entropy*. The KLD is non-negative, being 0 if and only if $P(x) = Q(x)$, $\forall x$. It can be shown that $\sum_{x \in X} |P(x) - Q(x)| \leq \sqrt{2D(P||Q)}$, so that the KLD provides a bound for the “dissimilarity” of the observed (P) and the reference (Q) probability distributions. The reference probability distribution $Q(x)$ is estimated from snapshots in which we are sure that the network is not under attack or undergoing some transient phase.

By using entropy in Equation 1, which measures the amount of disorder in the observed data, and taking into account the peculiarities of traffic pattern in SCADA systems, it is possible to detect even small deviations from the reference state, and to differentiate regular behavior from that when under attack. Furthermore, the particular characteristics of the SCADA-generated traffic, specifically its periodic shape [12], allow us to retain the observation data from one normal day for a long time, without the need for frequent updates and recalculation of the reference probability distribution $Q(x)$.

C. Attack Localization

Determining the attacker’s location is a crucial task for a SCADA network operator, since finding the anomaly location when the attack is on going allows the deployment of appropriate mitigation techniques. Finding the attack location is also important during the post-attack period, as it may provide a security forensic expert with relevant information regarding the attack propagation. In the following, we describe how we make use of KLD-entropy to pinpoint the attacker’s location.

Let us consider a tagged switch s , having n ports, of which m are active ports ($m \leq n$), i.e., a device is connected to that port. The switch collects data for several features, making up an overall set of features. $A(s) = \{T, U, D_1, S_1, \dots, D_m, S_m\}$. The first feature set T contains features for TCP, namely `tcp_connections`, `tcp_failed`, `rst_pkt`, and `tcp_port_counts`. The UDP feature set U contains `udp_connections` and `udp_port_count`. Both feature sets T and U can be calculated for each switch. For each active port j ($j = 1, \dots, m$) of switch s , the considered features are numbers of bytes, pkts and flows for: (i) the aggregate input traffic of port j and the traffic originated by each of the devices connected to switch s , carried by port j (set of features S_j); (ii) the aggregate output traffic of port j and the traffic destined to each of the devices connected to switch s , carried by port j (set of features D_j).

Let a denote a generic feature and $KLD(a)$ the corresponding entropy value. We define the anomaly score of the feature set F for switch s as $AS_F(s) \equiv \sum_{a \in F} KLD(a)$.

Localization of attacks proceeds in two steps. First, we assign an overall $AS(s)$ to the switch s , defined as $AS(s) \equiv AS_T(s) + AS_U(s)$. The obtained anomaly score is compared to thresholds to determine the alarm level of the switch, $AL(s)$, which can be (l)ow, (m)edium or (h)igh, according to whether

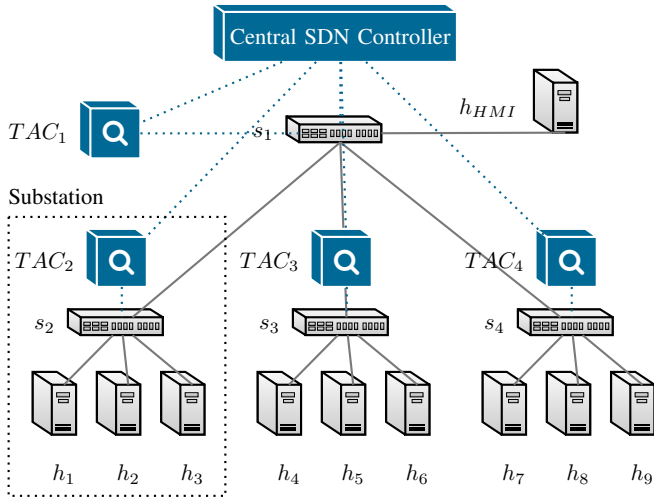


Fig. 2: Simplified SCADA architecture, which is the foundation of our experimental evaluation. Straight lines represent the data plane and dotted lines represent connections in the control plane.

the switch is safe, suspect, or definitely under attack.

$$AL(s) = \begin{cases} h, & \text{if } AS(s) \geq h_{exp_val} \\ m, & \text{if } m_{exp_val} \leq AS(s) < h_{exp_val} \\ l, & \text{if } AS(s) < m_{exp_val}. \end{cases} \quad (2)$$

If $AL(s)$ is (h)igh or (m)edium, we proceed to second step and calculate the anomaly scores of port j of the considered switch as $AS_{S_j}(s)$ and $AS_{D_j}(s)$, $j = 1, \dots, m$. We say that port j is under attack if and only if both anomaly scores associated with that port are above the upper threshold. The port is safe if both anomaly scores are below the lower threshold. Other outcomes are considered to be inconclusive, requiring further measurements.

In a SCADA network, in which the network topology is based on a hierarchical tree structure, the anomaly score of a sub-tree is the sum of the anomaly scores of all switches belonging to that sub-tree. To locate an anomaly in a SCADA network, one can iterate over the network tree topology, starting from the root node and visiting the child nodes, each time discontinuing the visit if the overall sub-tree anomaly score is low. If the sub-tree anomaly score is medium or high, the nodes connected to the sub-tree root are visited in turn.

IV. PERFORMANCE EVALUATION

A. Experimental Setup

We used *Mininet 2.3.0* to build the network and *Floodlight 1.2* as the central SDN controller. The communication between TAC and the switches is done with *OpenFlow 1.3*. Figure 2 depicts the architecture that used throughout the paper. Our architecture consisted of one HMI at the top level and three substations. Each substation was composed of one SDN switch linked to three sensors/actuators. We considered three typical SCADA protocols: Modbus, DNP3,

and IEC 60870-5-104. A Modbus server ran on hosts h_1 , h_4 , and h_7 , a DNP3 server on h_2 , h_5 , and h_8 , and an IEC 60870-5-104 server on h_3 , h_6 , and h_9 . The HMI was connected to all sensors/actuators (h_1 – h_9), read measurements and sent commands periodically.

Although SCADA presents remarkably regular traffic due to the fact that the majority of the devices generate data in a periodic fashion, unusual behaviors can occur, such as testing a new monitor configuration, software update requests, malfunctioning devices, etc. To simulate this behavior, we randomly selected one host from each substation which generates background HTTP traffic at random intervals.

B. Experimental Evaluation

We set $\delta = 60$ s and $T = 24$ in all our experiments. Before collecting observations with attacks, we obtained two observations of the SCADA network without attacks. One of these observations was considered as the reference behavior and the other one was a first observation to compare with the reference one. We then carried out four distinct attacks: SYN flooding attack, half-open TCP port scan, UDP port scan and, finally, TCP port scan against multiple targets (see Section III-A). Moreover, for each attack, we changed the location of the attacker and the victim hosts. The KLD entropy, given its low computation overhead, was used to identify the presence of network anomalies. To show the merit of our approach, we compare the entropy of the selected features without attack to the entropy when under attack and with background traffic. To this end, in Figure 3a we plot the divergence of the selected features between the probability distribution under attack and the reference distribution (red lines) with the divergence for some features between an observation without attack and the reference distribution (green lines).

1) *SYN Flooding Attack*: In this attack, the attacker running on h_3 , which is connected to switch s_2 , is targeting the host h_6 , connected to switch s_3 . Figure 3a shows the results related to switch s_2 and Figure 3b shows the obtained entropy of the different selected features of switch s_2 and switch s_3 . During this attack, the adversary starts to send a large number of SYN-packets without waiting for an answer from the destination and hence, without concluding the well-known handshake of TCP. As we can see in Figure 3, the feature `tcp_failed` has a high entropy value. Furthermore, the entropy of TCP port increases substantially when the attack is taking place. Another indicator is the entropy linked to the number of packets that pass through the physical port of the switch that is connected to the device involved in the attack. It is worth mentioning that the entropy ties to flows: while in switch s_2 (where the attacker is connected) the number of source flows increases, switch s_3 (where the target is located), presents an increasing number of destination flows. Consequently, the KLD entropy successfully detects the deviation in the entropy distribution during an attack, even in the presence of background traffic.

2) *Half-open TCP Port Scan*: In this experiment, the attacker is located in host h_4 , which is connected to switch s_3 . The target is host h_7 , connected to switch s_4 . Figures 4a

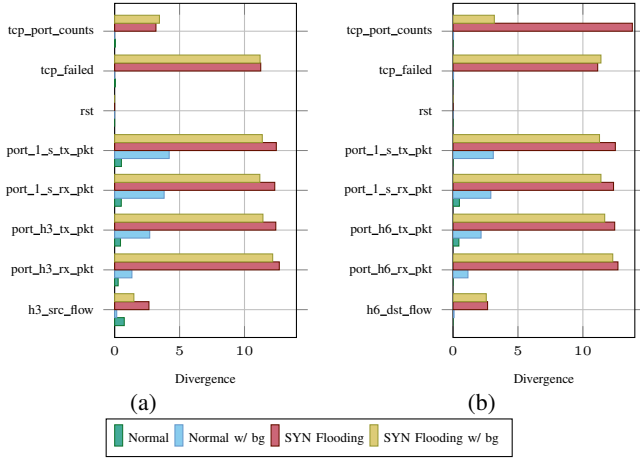


Fig. 3: Results of host h_3 connected to switch s_2 running a SYN flooding attack against host h_6 connected to switch s_3 . The error bars indicate the difference relative to the results with background traffic. The first row shows results for switch s_2 and second shows results for s_3 .

and 4b) show the divergence of the selected features related to switch s_3 and s_4 respectively. As expected, we have a

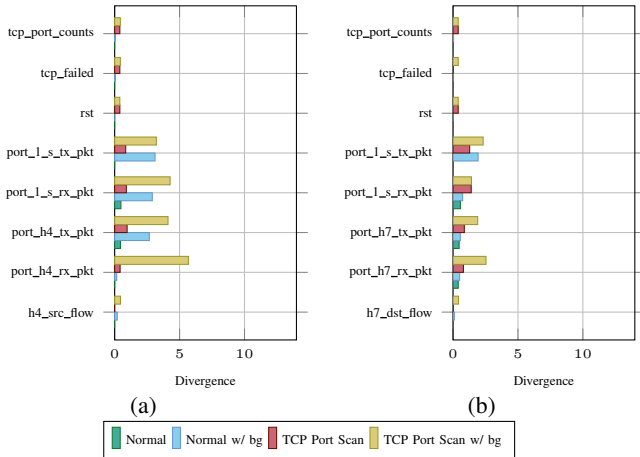


Fig. 4: Comparison between the results without background traffic (a) and with background traffic (b) of h_4 running a TCP port scan attack against h_7 . The results show the significant statistical measures of switch s_4

pick of the entropy related to the features linked to TCP connections: `tcp_port_counts`, `tcp_failed`, and `rst`. The most relevant feature is `rst`, which represents the entropy of reset packets counter. During a half-open TCP port scan, the attacker sends many SYN packets to different TCP ports on the target, and the inactive ports respond with a reset packet. In theory, the entropy of this feature should only increase in the switch where the attacker is located (switch s_3). However, our entropies are calculated over the traffic that passes through the switch s_3 without excluding the packets which do not belong to its sub-network.

3) *UDP Port Scan*: An attacker would scan not only scan TCP ports but also UDP ports to increase the attack vector. In this experiment, the attacker is running in host h_8 and attempts to scan h_4 . The results can be seen in Figure 5. With and without background traffic, the entropy of the fea-

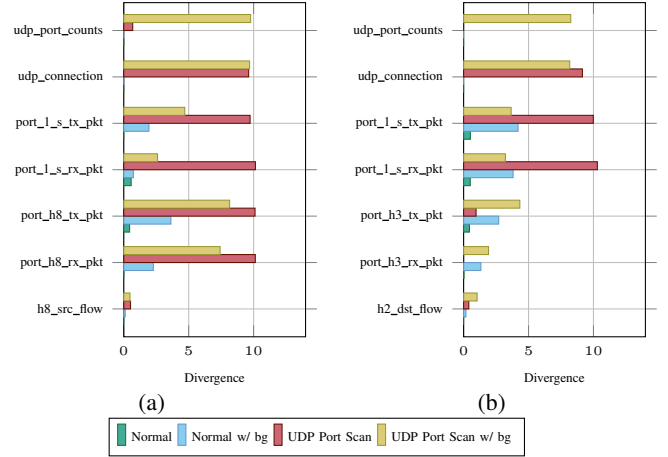


Fig. 5: Comparison between the results without background traffic (a) and with background traffic (b) of h_8 running a UDP Port scan attack against h_4 . The results show the significant statistical measures of switch s_4 .

tures `udp_connection` and `port_udp_counts` are very high compared to the normal state of the SCADA network. For instance, considering the switch s_4 , the KLD value of `udp_connection` and `port_udp_counts` is between 9–10. For switch s_2 , the value range of these features is 8–9. Note that an attacker who wants to get a wider overview of the network would use a port scanner to try a range of target IP addresses. For each responding IP address, the corresponding host would be scanned, searching for open ports.

4) *TCP PortScan Attack – Multiple Target*: This attack is similar to that carried out in Section IV-B2, but in this experiment the port scan attack is done for a whole range of IP addresses, i.e., searching for multiple target hosts. The attacker is h_3 , linked to switch s_2 . The obtained entropies for switch s_2 and switch s_4 are shown in Figure 6a and Figure 6b respectively. The features related to TCP show an increase of their entropy under attack, clearly indicating the presence of an anomaly in the SCADA. In addition, the entropy linked to the flow shows a slight deviation from the normal distribution, which can also be an indicator for an ongoing attack.

C. Example of Attack Localization

We evaluate the ability of our approach to localize an attack using the information collected by the different TACs. As a reference, we use the normal observation described in the previous section. As detailed in Section III-C, we consider the values of the anomaly scores AS . To determine the different thresholds appearing in Equation 2, we apply k-means clustering. Table I reports the results obtained. Then, we evaluate the anomaly scores of the network sketched Figure 2 under four

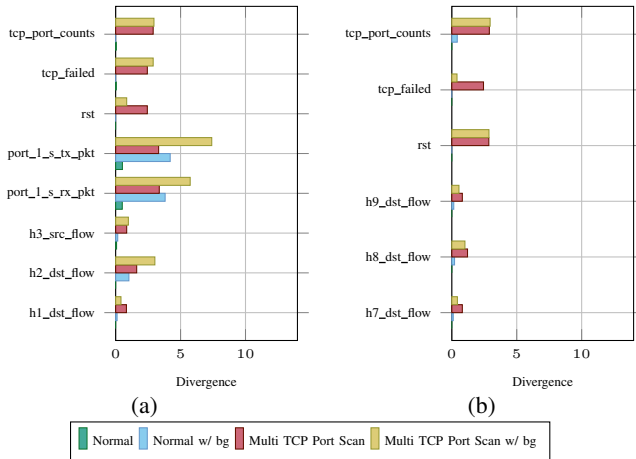


Fig. 6: Comparison between the results without background traffic (a) and with background traffic (b) of h_3 running a TCP Portscan attack against all the hosts. The results show the significant statistical measures of switch s_2 and, as example, the results related to switch s_4 .

Attacks \ Thresholds	Medium_exp_value	High_exp_value
SYN Flooding	1.16	10.49
TCP Port Scan	0.11	0.39
UDP Port Scan	1.03	10.08
TCP Port Scan Multi	1.18	2.55

TABLE I: Computed thresholds for all attacks using k-means clustering.

attacks. The output of the overall score $AS(s), \forall$ switch s , is shown in table II. The *Green*, *Brown* and *Red* colors indicate respectively no involvement in any attack, strange behavior of the switch and finally a definite involvement in an ongoing attack.

Table III shows the results of the second step of the attack localization analysis, where we aim at pinpointing switches and links under attack. To set alarm level values, hence colors, we use Equation 2, with the same thresholds as above (see Table II). As explained in Sec. III-C, a port is *Red* if and only if the destination and source scores of that port are both higher than $high_exp_value$. It is important to underline that we are able to discard alarms on specific links, when they are false positives, even if the attack was only of short duration. For example, the TCP port scan affected only one snapshot in an observation. In this case, there is an outlier, i.e., the link between the switch s_1 and the host that in the SCADA

Switch	SYN Flooding	TCP Port Scan	UDP Port Scan	TCP Port Scan Mult.
s_1	0.0	0.0	0.0	4.81
s_2	23.15	0.09	36.68	10.68
s_3	25.05	1.69	0.0	7.49
s_4	0.0	0.89	39.51	7.99

TABLE II: Comparison between the overall AS for Network under SYN flooding attack, UDP port scan, TCP port scan, TCP port scan against multiple targets.

system plays the role of HMI turns out to have a non *Green* alarm level. The reason why we find an outlier is that the traffic produced by this host is not easily predictable: since the HMI hosts the central controller of the SCADA network, it randomly queries, sends commands and receives replies from sensors. Examination of table II indicates that the switch s_1 is not involved in the attack, so we can easily deduce that we are in presence of a false positive.

V. RELATED WORK

Literature on signature-based IDSs in SCADA systems has revealed their limitations. For instance, exhaustively defining the signature of an attack is a laborious task and is impossible to recognize unknown attacks (zero-day attacks). Additionally, IACSs present peculiarities such as predefined set of users, a prior known relationships between the controlled plant and system topology. These characteristics contribute to the derivation of a normal behavior that can be exploitable by anomaly-based IDS. In this light, several studies have focused on the detection of anomalies in SCADA [13]–[19]. These techniques can be further classified into six methods [20]: 1) statistical [13]–[16], 2) classification-based [17], 3) clustering and outlier-based [18], [19], 4) soft computing, 5) knowledge-based and 6) combination learners.

Given that statistical approaches do not require prior knowledge of normal system behavior and are able to trigger alarms or notification when a threshold is exceeded (i.e. significant deviation), several statistical IDSs have been proposed, such as Network at Guard (N@G) and Flow-based Statistical Aggregation Scheme (FSAS).

Nevertheless, IDSs are traditionally implemented as middleboxes with dedicated devices for monitoring, filtering, and possibly manipulating network traffic. This design has many limitations, as SCADA systems are undergoing rapid change (distributed deployment, increased connectivity, etc.) and subsequently these middleboxes must be reprogrammed to implement new services [21]. Although SDN is deemed to address only network operation and resource management, a number of recent research papers have proposed the use of SDN to ensure the implementation of efficient and flexible security policies [21]–[23]. SDN allows the deployment of a variety of defenses without altering the SCADA network protocols or the logic behavior of the its elements. However, most of these efforts focus on the application of flexible security policies through the use of a centralized SDN controller. A preliminary work was carried out in [24], where the authors use multiple local SDN controllers, which are deployed in every substation. Each local SDN controller is connected to a local security controller which collects the data periodically from SCADA devices and checks for suspicious activity. In contrast to previous studies, our work focuses not only on the detection but also on the localization of attacks using multiple SDN controllers, which are co-located with local agents/probes.

Attacks	Switch	s1			s2			s3			s4					
		Proto	Ports Dst	Src	Proto	Ports Dst	Src	Proto	Ports Dst	Src	Proto	Ports Dst	Src			
SYN Flooding		hmi	11.39	0.66		h1	8.92	0.02		h4	12.58	0.02	h7	11.53	0.86	
	Tcp: 0.0	s2	12.38	12.49	Tcp: 25.7	h2	11.57	0.0	Tcp: 36.1	h5	12.52	0.0	Tcp: 0.0	h8	11.53	0.11
	Udp: 0.0	s3	12.36	12.50	Udp: 0.0	h3	12.42	12.68	Udp: 0.0	h6	12.45	12.71	Udp: 0.0	h9	11.58	0.11
		s4	11.63	0.86												
TCP Port Scan		hmi	1.48	0.73		h1	1.35	0.02		h4	0.97	0.92		h7	0.87	0.87
	Tcp: 0.0	s2	0.52	0.0	Tcp: 0.13	h2	0.82	0.0	Tcp: 2.01	h5	0.81	0.0	Tcp: 1.21	h8	0.96	0.07
	Udp: 0.0	s3	1.49	0.85	Udp: 0.0	h3	0.87	0.0	Udp: 0.0	h6	0.94	0.02	Udp: 0.0	h9	0.96	0.07
		s4	1.35	0.02												
UDP Port Scan		hmi	9.86	0.8		h1	1.35	0.02		h4	1.42	0.06		h7	0.86	1.35
	Tcp: 0.0	s2	10.3	9.9	Tcp: 0.0	h2	10.37	9.98	Tcp: 0.0	h5	10.14	0.0	Tcp: 0.0	h8	10.12	10.14
	Udp: 0.0	s3	9.95	0.01	Udp: 18.29	h3	0.96	0.0	Udp: 0.0	h6	10.3	0.06	Udp: 19.31	h9	0.45	0.05
		s4	10.14	9.72												
TCP Port Scan Multi		hmi	3.39	3.12		h1	3.45	2.57		h4	3.41	2.61		h7	3.39	2.92
	Tcp: 7.79	s2	3.37	3.31	Tcp: 14.29	h2	3.41	2.61	Tcp: 10.42	h5	2.91	3.0	Tcp: 10.65	h8	3.41	3.20
	Udp: 0.0	s3	3.37	3.37	Udp: 0.0	h3	3.37	2.87	Udp: 0.0	h6	3.31	3.49	Udp: 0.0	h9	3.37	3.15
		s4	3.35	3.45												

TABLE III: Comparison between the AS for all attacks. For each switch, we have the scores related to protocols and per each active ports the score related to set D and S.

VI. CONCLUSION

In this paper, we have presented an SDN-based attack detection framework, which is dedicated to SCADA systems. We leverage SDN, defining a lightweight dedicated controller, the TAC, that performs statistical data collection, processing and uploading in the centralized IDS data-base. In addition, since SCADA protocols such as Modbus, DNP3, or IEC-104 follow repetitive traffic patterns we have defined a KLD metric to assess whether the observed traffic contains attack profiles. Performance results of experimentation realized for SYN-flooding and port scan attacks show the effectiveness of the proposed attack detection algorithm.

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