

ASSOCIATIVE, IDEMPOTENT, SYMMETRIC, AND ORDER-PRESERVING OPERATIONS ON CHAINS

JIMMY DEVILLET AND BRUNO TEHEUX

ABSTRACT. We characterize the associative, idempotent, symmetric, and order-preserving operations on (finite) chains in terms of properties of (the Hasse diagram of) their associated semilattice order. In particular, we prove that the number of associative, idempotent, symmetric, and order-preserving operations on an n -element chain is the n^{th} Catalan number.

1. INTRODUCTION

The associativity equation for binary operations is ubiquitous in mathematics, as many algebraic structures are defined with associative operations (semigroups, groups, rings, Lie groups, *etc*). Associative operations also appear in the algebraic treatment of classical and non-classical logics [9, 17]. They have also been studied by several authors in the theory of functional equations (see, e.g., [2, 3, 8] and the references therein). Moreover, associativity has been considered in conjunction with other properties, such as idempotency (see, e.g., [19, 22]) and quasitriviality (see, e.g., [1, 6, 20]). The class of associative, idempotent, and symmetric operations is of particular interest since it is in one-to-one correspondence with the class of partial orders of semilattices (see, e.g., [16]).

Let (X, \leq) be an arbitrary totally ordered set. In this paper, we investigate the class of associative, idempotent, symmetric, and \leq -preserving operations $F: X^2 \rightarrow X$. The subclass of those operations that are quasitrivial, that is, where $F(x, y) \in \{x, y\}$ for all $x, y \in X$, was investigated in [6]. In particular, this subclass was characterized on both arbitrary sets and finite sets (see [6, Theorem 3.7]) in terms of their associated total order. This characterization uses the single-peakedness property that was introduced 70 years ago in Social Choice theory (see, e.g., [4, 5]). The aim of this paper is to obtain a more general characterization by relaxing quasitriviality into idempotency. In the case where X is finite, we particularize this characterization in terms of properties of the Hasse diagram of the corresponding semilattice. We also obtain several enumeration of classes of semilattices. In this respect, one of our main results is a new occurrence of the Catalan numbers as the numbers of associative, idempotent, symmetric, and nondecreasing operations on finite chains.

The outline of this paper is as follows. In Section 2 we set up the definitions and terminology used in this paper. In Section 3, we characterize (Theorem 3.9) by means of a generalization of the single-peakedness property the class of associative, idempotent, and symmetric operations $F: X^2 \rightarrow X$ that are nondecreasing with respect to \leq . In Section 4, we characterize (Theorem 4.7) the same class, denoted

Date: May 31, 2018.

2010 Mathematics Subject Classification. Primary 20M14, 06A12; Secondary 39B52, 05A15.

Key words and phrases. associativity, commutative semigroup, semilattice, nondecreasing monotonicity, totally ordered set, Catalan numbers, binary tree.

by C_n , when X is a finite set of cardinality $n \geq 1$. This characterization is stated in terms of properties of the Hasse diagram of the semilattice (X, F) . This result leads to an associativity test for idempotent, symmetric, and nondecreasing operations on finite chains. Moreover, we prove that the cardinality of C_n is the n^{th} Catalan number (Proposition 4.17), providing yet another construction of the sequence of Catalan numbers. Given a finite semilattice operation γ , we also consider the problem of enumerating the total orders for which γ is nondecreasing (Corollary 4.19). In section 5, we combine our characterizations with recent results about reducibility of k -ary associative operations [18].

2. PRELIMINARIES

In this section, we set up the notation and terminology. Recall that an operation $F: X^2 \rightarrow X$ is said to be

- *associative* if $F(x, F(y, z)) = F(F(x, y), z)$ for all $x, y, z \in X$,
- *symmetric* if $F(x, y) = F(y, x)$ for all $x, y \in X$,
- *idempotent* if $F(x, x) = x$ for all $x \in X$.

We refer to [10] for an introduction to order theory. A *partially ordered set* is an ordered pair (X, \preceq) , where X is a set and \preceq is a *partial order* on X , that is, a reflexive, antisymmetric, and transitive relation on X . We denote by \prec the *asymmetric part* of \preceq , that is, we have $x \prec y$ if and only if $x \preceq y$ and $y \not\preceq x$. We write $x \parallel y$ and if x and y are *incomparable*, that is, if $x \not\preceq y$ and $y \not\preceq x$. For any $Y \subseteq X$, we denote by \preceq_Y the *restriction of \preceq to Y* . For simplicity, we often write (Y, \preceq) for (Y, \preceq_Y) . A partial order \leq on X is said to be *total*, and (X, \leq) is called a *totally ordered set* or a *chain* if for every $x, y \in X$, we have $x \leq y$ or $y \leq x$. In this paper we use the notation \leq for total orders.

An element z of a partially ordered set (X, \preceq) is an *upper bound* of $Y \subseteq X$ if $y \preceq z$ for every $y \in Y$. An upper bound z of Y is a *supremum* of Y if $z \preceq z'$ for every upper bound z' of Y . *Lower bounds* and *infimum* are defined dually. Partial orders \preceq on X for which every pair $\{x, y\} \subseteq X$ has a *supremum* $x \vee y$ are called *join-semilattice orders*, and in this case (X, \preceq) is called a *join-semilattice*. If \preceq is a join-semilattice order, then it is known that the *join operation* $\vee: X^2 \rightarrow X$ defined by $\vee(x, y) = x \vee y$ is associative, symmetric, and idempotent, and the 2-tuple (X, \vee) is called the *semilattice associated with \preceq* . We denote by \vee the join operation of a total order \leq . It is easily seen that such an operation \vee is quasitrivial. Groupoids (X, F) where F is associative, symmetric and idempotent are called *semilattices*, and F is a *semilattice operation*. It is well known that every semilattice (X, F) is the join-semilattice associated with the partial order \preceq_F defined as

$$x \preceq_F y \quad \text{if} \quad F(x, y) = y. \quad (2.1)$$

That is, the join operation of \preceq_F is F . We say that \preceq_F is the *(join-semilattice) order associated with F* , and we denote \preceq_F by \preceq if no confusion is possible. The semilattice operation F is quasitrivial if and only if \preceq_F is a total order. The mappings $(X, \preceq) \mapsto (X, \vee)$ and $(X, F) \mapsto (X, \preceq_F)$ are inverse to each other, and define a one-to-one correspondence between join-semilattices and semilattices. By this correspondence, we use either (X, \preceq) or (X, \vee) to denote a join-semilattice, and every semilattice order will be a join-semilattice order. Thanks to this convention, we write *semilattice* for *join-semilattice*.

A nonempty subset I of a semilattice (X, \vee) is an *ideal* if it is a lower set closed under \vee , that is, if $x \in X$ and $y, z \in I$ are such that $x \leq y$, then $x \in I$ and

$y \vee z \in I$. A nonempty subset H of a partially ordered set (X, \preceq) is a *filter* if it is a dually directed upper set, that is, if $x \in X$ and $y, z \in H$ are such that $y \preceq x$, then $x \in H$ and there is $t \in H$ such that $t \preceq y$ and $t \preceq z$. For every $x \in X$, the sets $(x]_{\preceq} = \{y \in X \mid y \preceq x\}$ and $[x)_{\preceq} = \{y \in X \mid x \preceq y\}$ are the *ideal* and the *filter* generated by x , respectively. An ideal I is *principal* if there is $x \in X$ such that $I = (x]$. *Principal filters* are defined dually. In particular, in a finite semilattice, all filters and ideals are principal. In a totally ordered set (X, \leq) , we set $[a, b] = \{x \in X \mid a \leq x \leq b\}$ for every $a \leq b$ in X . A subset C of X is said to be *convex* if it contains $[a, b]$ for any $a, b \in C$ with $a < b$.

Finite semilattices whose Hasse diagram is a binary tree are of special interest. We call them *binary semilattices*.

3. ORDER-PRESERVING SEMILATTICE OPERATIONS

Let \leq be a total order on a set X . An operation $F: X^2 \rightarrow X$ is said to be \leq -*preserving* if $F(x, y) \leq F(z, t)$ for every $x \leq z$ and $y \leq t$ in X . The main result of this section characterizes the class of \leq -preserving semilattice operations in terms of their associated partial order (see Theorem 3.9). The characterizing property, called *nondecreasingness*, is introduced in Definition 3.1. Single-peaked total orders (in the sense of [4, 5], see also [6, 7]) are instances of nondecreasing partial orders. It follows that Theorem 3.9 and 4.7 generalize [11, Proposition 3.9] and [11, Proposition 5.1] by relaxing quasitriviality into idempotency.

Definition 3.1. Let (X, \leq) be a chain. We say that a semilattice order \preceq on X has the *convex-ideal property* (*CI-property* for short) for \leq if for every $a, b, c \in X$,

$$a \leq b \leq c \implies b \preceq a \vee c. \quad (3.1)$$

We say that \preceq is *internal for \leq* if for every $a, b, c \in X$,

$$a < b < c \implies (a \neq b \vee c \text{ and } c \neq a \vee b). \quad (3.2)$$

We say that \preceq is *nondecreasing for \leq* and that the semilattice (X, \vee) is *nondecreasing for \leq* if \preceq has the CI-property and is internal for \leq .

Note that if \preceq and \leq are total orders on X , then \preceq is nondecreasing for \leq if and only if it is single-peaked for \leq in the sense of [4, 5], that is, if and only if condition (3.1) is satisfied. The terminology introduced in Definition 3.1 is justified in Lemmas 3.4 and 3.5, and Theorem 3.9. Note that conditions (3.1) and (3.2) are self-dual w.r.t. the total order \leq , *i.e.*, if \preceq^α is the dual order of \preceq (that is, $x \preceq^\alpha y$ if and only if $y \preceq x$), then \preceq satisfies (3.1) and (3.2) if and only if \preceq^α satisfies (3.1) and (3.2), respectively.

Fact 3.2. Let (X, \leq) be a totally ordered set, let \preceq be a semilattice order on X , and let $P \subseteq X$. If the restriction \preceq_P of \preceq to P is a total order, then \preceq_P is nondecreasing for \leq_P if and only if it is single-peaked for \leq_P in the sense of [4, 5].

It follows from Fact 3.2 that those total orders that are nondecreasing for a given total order \leq are exactly the single-peaked ones.

Remark 3.3. Condition (3.1) is clearly equivalent to

$$a < b < c \implies b \preceq a \vee c,$$

but the partial order \preceq cannot be replaced by its irreflexive part in (3.1). Indeed, if \leq is the natural order on $X_3 = \{1, 2, 3\}$ and if \preceq is the partial semilattice order defined as $1 \preceq 2$, $3 \preceq 2$, and $1 \parallel 3$, then \preceq satisfies (3.1) but $2 \not\preceq 1 \vee 3$.

The following lemma is a generalization of [11, Proposition 3.10] for nondecreasing semilattice orders.

Lemma 3.4. *Let (X, \leq) be a totally ordered set and \preceq be a semilattice order on X . The following conditions are equivalent.*

- (i) *The semilattice order \preceq has the CI-property for \leq .*
- (ii) *Every ideal of (X, \preceq) is a convex subset of (X, \leq) .*
- (iii) *Every principal ideal of (X, \preceq) is a convex subset of (X, \leq) .*
- (iv) *If $x' \preceq x$ or $x' \parallel x$ then x is an upper bound or a lower bound of $(x')_{\preceq}$ in (X, \leq) .*

Proof. (i) \implies (ii) Let I be an ideal of (X, \preceq) and let $a, c \in I$. For every $b \in X$ such that $a \leq b \leq c$ we have $b \preceq a \vee c$ by the CI-property. It follows that $b \in I$ since I is an ideal of (X, \preceq) that contains a and c .

(ii) \implies (iii) Obvious.

(iii) \implies (i) Let $a \leq b \leq c$ in X . By (iii), the ideal $(a \vee c)_{\preceq}$ is convex in (X, \leq) . Since it contains a and c , it also contains b . It follows that $b \preceq a \vee c$.

(iii) \iff (iv) Obvious. \square

Now we give equivalent formulations of the internality property (3.2) for semilattice orders. Recall that a binary operation $F: X^2 \rightarrow X$ defined on a totally ordered set (X, \leq) is said to be *internal* [15] if $x \leq F(x, y) \leq y$ for every $x, y \in X$.

Lemma 3.5. *Let (X, \leq) be a chain and let \preceq be a join-semilattice order on X . The following conditions are equivalent.*

- (i) *The partial order \preceq is internal for \leq .*
- (ii) *The join operation of \preceq is internal.*
- (iii) *There are no $a, b, c \in X$ such that $a < b < c$ and $a \vee b = b \vee c \in \{a, c\}$.*

Moreover, if one of these conditions is satisfied, then there are no pairwise \preceq -incomparable elements a, b, c of X such that $a \vee b = a \vee c = b \vee c$.

Proof. (i) \implies (ii) For any $a < b$ in X , we cannot have $a \vee b < a < b$ or $a < b < a \vee b$, since this would contradict internality of \preceq for \leq . It follows that $a \vee b \in [a, b]$.

(ii) \implies (iii) Let $a < b < c$ in X . If $a \vee b = b \vee c = a$, then $b \vee c \notin [b, c]$. If $a \vee b = b \vee c = c$, then $a \vee b \notin [a, b]$.

(iii) \implies (i) We show the contrapositive. Assume that there are $a < b < c$ such that $a = b \vee c$. Then $a \vee b = b \vee c = a$. Similarly, if $c = a \vee b$ then $b \vee c = a \vee b = c$.

Now, assume that one of the conditions of the statement is satisfied, and that $a < b < c$ are pairwise \preceq -incomparable elements of X . If $a \vee b$ and $b \vee c$ are equal to a common element d , it follows from (ii) that $d \in]a, b[\cap]b, c[= \emptyset$, a contradiction. \square

Corollary 3.6. *Let (X, \leq) be a chain and $F: X^2 \rightarrow X$ be an operation. Then F is associative, symmetric and internal if and only if F is the join operation of a semilattice order that is internal for \leq .*

Remark 3.7. If \preceq is a semilattice order that is internal for a total order \leq , there might be \preceq -incomparable elements a, b, c such that $a \vee b = b \vee c$. Consider for instance $X = \{a, b, c, d, e\}$ with $a < e < c < d < b$ and the semilattice order \preceq defined by $a \parallel b$, $a \parallel c$, $c \parallel b$, $a \vee c = e$ and $e \vee b = d$. Then \preceq is internal for \leq and $a \vee b = b \vee c$.

Remark 3.8. The join operation γ of a semilattice order \preceq that has the CI-property for a total order \leq need not be \leq -preserving. For instance, if \leq is the natural order on $X_3 = \{1, 2, 3\}$ and \preceq is defined on X as $2 \preceq 1$, $3 \preceq 1$ and $2 \parallel 3$, then \preceq has the CI-property for \leq but $2 \gamma 2 = 2 > 1 = 2 \gamma 3$. This example also shows that the CI-property for \leq does not imply internality for \leq .

Conversely, the join operation γ of a semilattice order \preceq that is internal for a total order \leq needs not to be \leq -preserving. For instance, if \preceq is the total order $1 \preceq 3 \preceq 2$, then \preceq is internal for \leq but $2 = 2 \gamma 1 > 1 \gamma 1 = 1$. This example also shows that internality for \leq does not imply CI-property for \leq .

Theorem 3.9. *Let (X, \leq) be a totally ordered set and $\gamma: X^2 \rightarrow X$ be a semilattice operation. The following conditions are equivalent.*

- (i) γ is \leq -preserving.
- (ii) The order \preceq associated with γ is nondecreasing for \leq .

Proof. (i) \implies (ii) First, we prove that \preceq has the CI-property for \leq . Let $a < b < c$ in X . Since γ is \leq -preserving, we obtain

$$a \gamma c = a \gamma (a \gamma c) \leq b \gamma (a \gamma c) \leq c \gamma (a \gamma c) = a \gamma c.$$

It follows that $b \gamma (a \gamma c) = a \gamma c$, which proves that $b \preceq (a \gamma c)$.

Then, we prove that \preceq is internal for \leq . By Lemma 3.5, it suffices to note that for every $a < b$ in X , we have

$$a = a \gamma a \leq a \gamma b \leq b \gamma b = b,$$

since γ is \leq -preserving.

(ii) \implies (i) For the sake of contradiction, assume that there are $a, b, c \in X$ such that $b < c$ and $a \gamma c < a \gamma b$. Assume first that $b \gamma c < a \gamma c < a \gamma b$. It follows by internality of \preceq for \leq that $a \not\preceq b \gamma c$ and $c \not\preceq a \gamma b$. Since \preceq has the CI-property for \leq , we obtain that $\neg(b < a < c)$ and $\neg(b < c < a)$, so $a < b < c$. From $b < c$ and Lemma 3.5, we deduce that $a < a \gamma b \leq b < c$. It follows that $b \gamma c < b < c$, which contradicts internality of \preceq for \leq by Lemma 3.5.

The case $a \gamma c < a \gamma b < b \gamma c$ follows by duality, and the case $a \gamma c < b \gamma c < a \gamma b$ is obtained similarly. \square

The following result is a direct consequence of Theorem 3.9.

Theorem 3.10. *Let (X, \leq) be a totally ordered set and let $F: X^2 \rightarrow X$ be an operation. The following conditions are equivalent*

- (i) F is a \leq -preserving semilattice operation.
- (ii) F is the join operation of a semilattice order \preceq on X that is nondecreasing for \leq .

Theorem 3.9 will be particularized for finite chains (X, \leq) in Theorem 4.7 and illustrated in Example 4.8

We close this section by showing that if \preceq is a semilattice order that is nondecreasing for a total order \leq , then every filter of (X, \preceq) is totally ordered.

Definition 3.11. A partial order \preceq on X is said to have the *linear filter property* if every of its filter is totally ordered.

Lemma 3.12. *A partial order on X has the linear filter property if and only if no pair $\{a, b\}$ of incomparable elements of X has a lower bound.*

Proof. (Necessity) If \preceq is a partial order on X having the linear filter property and there is a pair $\{a, b\}$ of incomparable elements of X that has a lower bound c , then $[c]$ is a filter that is not totally ordered.

(Sufficiency) Obvious. \square

Proposition 3.13. *Let (X, \leq) be a totally ordered set and \preceq be a semilattice order on X . If \preceq is nondecreasing for \leq , then it has the linear filter property.*

Proof. We prove the contrapositive. Assume that \preceq does not have the linear filter property. By Lemma 3.12, there are \preceq -incomparable elements a, b in X that have a lower bound c . Let us set $d = a \vee b$ and assume that $a < b$. If $d < a$ or $b < d$, then \preceq is not internal for \leq . Assume that $a < d < b$. If $c < a < d < b$ then \preceq does not have the CI-property for \leq since $d \not\preceq c \vee b = b$. The cases $a < d < b < c$, $a < c < d < b$, and $a < d < c < b$ can be dealt with similarly. \square

Remark 3.14. The converse of Proposition 3.13 does not hold. On the one hand, Example 3.8 shows an instance of a semilattice order that has the linear filter property but that is not internal for a given total order \leq . On the other hand, if \leq is the natural order on $X = \{1, 2, 3, 4\}$, and if \preceq is defined on X by $1 \preceq 3$, $4 \preceq 3$, $3 \preceq 2$, and $1 \parallel 4$ then \preceq has the linear filter property for \leq , but does not have the CI-property for \leq .

4. ORDER-PRESERVING SEMILATTICE OPERATIONS ON FINITE CHAINS

Let $n \geq 0$ be an integer, and denote by (X_n, \leq_n) a totally ordered set of cardinality n . We assume that $X_n = \{1, \dots, n\}$ and \leq_n is the natural order $1 <_n 2 <_n \dots <_n n$. In particular, we have $X_0 = \emptyset$ and $\leq_0 = \emptyset$. If no confusion arises, we often write \leq for \leq_n . In this section, we provide a characterization (Theorem 4.7) of semilattice operations that are \leq_n -preserving. This characterization is stated in terms of properties of the Hasse diagram of \preceq . In Subsection 4.3, we also prove that the number of semilattice operations that are \leq_n -preserving is the n^{th} -Catalan number, providing yet another realization of the sequence of Catalan numbers. We also provide an algorithm to test associativity for idempotent, symmetric, and \leq_n -preserving operations. Finally, given a binary semilattice order \preceq on X_n , we consider in Subsection 4.4 the problem of constructing the total orders on X_n for which \preceq is nondecreasing.

In what follows, by *binary tree* we mean an unordered rooted tree in which every vertex has at most two children. A *binary forest* is a graph whose connected components are binary trees.

4.1. Hasse diagram of finite nondecreasing semilattice orders.

Lemma 4.1. *Let (X_n, \preceq) be a semilattice. The following conditions are equivalent.*

- (i) *The order \preceq has the linear filter property and there are no pairwise incomparable elements a, b, c of X such that $a \vee b = b \vee c$.*
- (ii) *The Hasse diagram of (X, \preceq) is a binary tree.*

Proof. (i) \implies (ii) The Hasse diagram G of (X, \preceq) is connected since \preceq is a semilattice order. The existence of a cycle in H would imply the existence of two \preceq -incomparable elements with a lower bound, in contradiction with the linear filter property by Lemma 3.12. We have proved that G is a tree, and we consider the top element of (X, \preceq) as the root of G . Now, if d is a vertex of G with at

least three children a, b, c , then a, b, c are three \preceq -incomparable elements such that $d = a \vee b = b \vee c$, in contradiction with (i). We have proved that G is a binary tree.

(ii) \implies (i) We prove the contrapositive. If (X, \preceq) does not have the linear filter property, then by Lemma 3.12 there are two incomparable elements a, b that have a lower bound c . Then c, a, b and $a \vee b$ are vertices of a cycle in the Hasse diagram G of (X, \preceq) .

Now, assume that X has three incomparable elements a, b, c such that $a \vee b = b \vee c$. Then, there are children a', b', c' of $a \vee b = b \vee c$ such that $a \preceq a', b \preceq b'$, and $c \preceq c'$, and G is not a binary tree. \square

If \preceq is a semilattice order on X_n that satisfies one of the conditions of Lemma 4.1 (for instance, if \preceq is nondecreasing for \leq_n), then the Hasse diagram of (X_n, \preceq) is a binary tree G . In what follows, we assume that G is rooted by the top element of (X_n, \preceq) .

Lemma 4.2. *Let (X_n, \preceq) be a semilattice. If \preceq has the CI-property for \leq_n , then the following conditions are equivalent.*

- (i) *The order \preceq is internal for \leq_n .*
- (ii) *If x' is a child of x , then $x = \min\{z \mid z > y \text{ for all } y \in (x')_{\preceq}\}$ or $x = \max\{z \mid z < y \text{ for all } y \in (x')_{\preceq}\}$.*
- (iii) *If x_1 and x_2 are two children of a vertex x in the Hasse diagram of (X_n, \preceq) , then there are $i \neq j$ in $\{1, 2\}$ such that x is an upper bound of $(x_i)_{\preceq}$ and a lower bound of $(x_j)_{\preceq}$ in (X_n, \leq_n) .*

Proof. (i) \implies (ii) By Lemma 3.4, we have that x is a lower bound or an upper bound of $(x')_{\preceq}$. Assume that x is a lower bound of (x') (the other case can be dealt with similarly). If x has only one child, then by CI-property we have $x = \max\{z \mid z < y \text{ for all } y \in (x')_{\preceq}\}$. If x has two children x' and x'' , then we obtain by internality that $y < x < z$ for every $y \preceq x''$ and $z \preceq x'$. It follows that $x = \max\{z \mid z < y \text{ for all } y \in (x')_{\preceq}\}$ and $x = \min\{z \mid z < y \text{ for all } y \in (x'')_{\preceq}\}$.

(ii) \implies (iii) Obvious.

(iii) \implies (i) We prove that \preceq satisfies condition (iii) of Lemma 3.5. Let x_1 and x_2 be \preceq -incomparable elements, and assume that $x_1 < x_2$. Let x'_1 and x'_2 be the children of $x_1 \vee x_2$ such that $x_1 \preceq x'_1$, and $x_2 \preceq x'_2$. We obtain by (ii) that $x_1 \vee x_2$ is an upper bound in (X_n, \leq_n) of $(x'_1)_{\preceq}$ and a lower bound of $(x'_2)_{\preceq}$, which shows that $x_1 < x_1 \vee x_2 < x_2$. \square

The next result follows directly from Lemma 4.2.

Corollary 4.3. *If \preceq is a semilattice order that is nondecreasing for \leq_n , then its top element r has only one child in the Hasse diagram of (X_n, \preceq) if and only if $r \in \{1, n\}$.*

As stated in the next result, a similar equivalence as in Lemma 4.2 holds for semilattice orders that satisfy the linear filter property.

Lemma 4.4. *Let \preceq be a semilattice order on X_n that has the linear filter property. Then, conditions (i) and (iii) of Lemma 4.2 are equivalent.*

Proof. (i) \implies (iii) By internality, we know that x lies between x_1 and x_2 in (X_n, \leq_n) . Assume that $x_1 < x < x_2$ (the case $x_2 < x < x_1$ is obtained by symmetry). By the linear filter property we have $(x_1)_{\preceq} \cap (x_2)_{\preceq} = \emptyset$. Also, by the internality condition, there is no $y, z \in X_n$ such that $y, z < x$ (resp. $y, z > x$),

$y \in (x_1]_{\preceq}$, and $z \in (x_2]_{\preceq}$. It follows that x is an upper bound of $(x_1]_{\preceq}$ and a lower bound of $(x_2]_{\preceq}$.

(iii) \implies (i) The proof is the same as in Lemma 4.2. \square

Lemma 4.5. *Let (X_n, \leq_n) be a finite chain, and \preceq be a semilattice order on X_n with top element r .*

- (1) *If \preceq has the CI-property for \leq_n , and if r has only one child in the Hasse diagram of (X_n, \preceq) , then $r \in \{1, n\}$.*
- (2) *If \preceq is internal for \leq_n , and if r is either 1 or n , then r has only one child in the Hasse diagram of (X_n, \preceq) .*

Proof. (1) If x is the child of r , then $(x]_{\preceq}$ is a convex subset of (X_n, \leq_n) with $n - 1$ elements.

(2) We prove the contrapositive. Assume that \preceq is internal for \leq_n and that x_1 and x_2 are two children of r in (X_n, \preceq) . By internality, we know that x lies in between x_1 and x_2 in (X_n, \leq) , which shows that $x \notin \{1, n\}$. \square

The following result follows immediately from Lemmas 4.5, 4.2, and 4.4.

Corollary 4.6. *Let (X_n, \preceq) be a semilattice order with top element r . Assume that \preceq is internal for \leq_n , and has the CI-property for \leq_n or the linear filter property. If r has two children x_1, x_2 in the Hasse diagram of (X_n, \preceq) , then 1 and n are \preceq -incomparable. Moreover, if $1 \preceq x_1$ and $n \preceq x_2$, then $(x_1]_{\preceq} = \{1, 2, \dots, r - 1\}$ and $(x_2]_{\preceq} = \{r + 1, r + 2, \dots, n\}$.*

Theorem 4.7. *Let (X_n, \leq_n) be a finite totally ordered set and \preceq be a semilattice order on X_n . The following conditions are equivalent.*

- (i) *The order \preceq is nondecreasing for \leq_n .*
- (ii) *(X, \preceq) is a binary semilattice that satisfies condition (ii) of Lemma 4.2.*

Proof. (i) \implies (ii) follows from Lemmas 3.5, 3.13, 4.1, and 4.2.

(ii) \implies (i) By Lemma 3.4 we obtain that \preceq has the CI-property for \leq_n . It follows by Lemma 4.2 that \preceq is internal for \leq_n . \square

Example 4.8. Let \preceq be a semilattice order that is nondecreasing for \leq_4 . According to Theorem 4.7, its Hasse diagram is isomorphic to one of the binary trees depicted in Fig. 1, and \preceq is one of the orders defined by the following labellings in Fig. 1: $(u, v, w, r) \in \{(1, 3, 2, 4), (2, 4, 3, 1)\}$, or

$$(x, y, z, t) \in \{(3, 4, 1, 2), (4, 3, 1, 2), (1, 2, 4, 3), (2, 1, 4, 3)\},$$

or

$$(a, b, c, d) \in \{(1, 2, 3, 4), (2, 1, 3, 4), (2, 3, 1, 4), (2, 3, 4, 1), \\ (3, 4, 2, 1), (3, 2, 1, 4), (3, 2, 4, 1), (4, 3, 2, 1)\}.$$

Recall that $e \in X_n$ is a *neutral element* of an operation $F: X_n^2 \rightarrow X_n$ if $F(x, e) = F(e, x) = x$ for every $x \in X$. Observe that a finite semilattice (X_n, \preceq) has a neutral element e if and only if e is a lower bound of X_n . The following result follows from the latter observation and Theorem 4.7.

Corollary 4.9. *Let $\Upsilon: X_n^2 \rightarrow X_n$ be a \leq_n -preserving semilattice operation. Then, Υ has a neutral element if and only if its associated order is a total order that is single-peaked for \leq_n .*

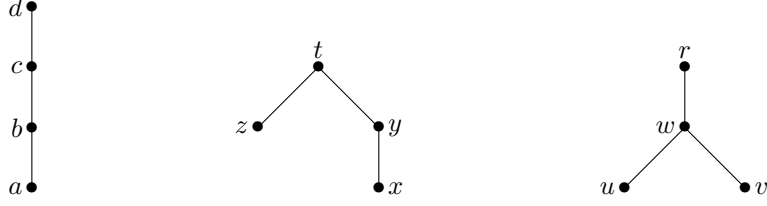


FIGURE 1. Hasse diagrams of semilattices that are nondecreasing for \leq_4 .

Theorem 4.7 enables us to give the isomorphism types of semilattices that are nondecreasing for \leq_n .

Corollary 4.10. *The isomorphism types of semilattices that are nondecreasing for \leq_n and the isomorphism types of semilattices that have the linear filter property and are internal for \leq_n coincide, and are the binary trees.*

Proof. It follows from Theorem 4.7 and Lemmas 3.5 and 4.1 that any semilattice that is nondecreasing for \leq_n , or that has the linear filter property and is internal for \leq_n is a binary semilattice. Since any semilattice that is nondecreasing for \leq_n is internal for \leq_n and has the linear filter property, it suffices to show that if G is a binary tree with n vertices then there is a labeling of the vertices turning G into the Hasse diagram of a semilattice that is nondecreasing for \leq_n . We proceed by induction on $n \geq 1$. For the induction step, if the root r of G has only one child, then we define a labeling of the vertices of G by labeling r with n , and labeling the vertices of $G - r$ with $1, \dots, n - 1$ using induction hypothesis. If r has two children x_1 and x_2 , let C_i be the connected component of $G - r$ that contains x_i for $i \in \{1, 2\}$. We define a labeling of G by labeling r by $|C_1| + 1$, and we label the vertices of C_1 and C_2 by $1, \dots, |C_1|$ and $|C_1| + 2, \dots, n$, respectively, using induction hypothesis. \square

We apply Theorem 4.7 to obtain the characterization of the “smooth” semilattice operations given in [14].

Definition 4.11 ([14]). An operation $F: X_n^2 \rightarrow X_n$ is *smooth* if $F(x, y) \leq_n F(x + 1, y) \leq_n F(x, y) + 1$ for every $x, y \in X_n$ such that $x \neq n$, and $F(x, y) \leq_n F(x, y + 1) \leq_n F(x, y) + 1$ for every $x, y \in X_n$ such that $y \neq n$.

Smooth semilattice operations can be characterized in terms of their associated order as follows.

Corollary 4.12 ([14]). *A semilattice operation $F: X_n^2 \rightarrow X_n$ is smooth if and only if there exists $a \in X_n$ such that*

$$\begin{aligned} 1 \prec_F 2 \prec_F \cdots \prec_F a - 1 \prec_F a, \\ n \prec_F n - 1 \prec_F \cdots \prec_F a + 1 \prec_F a, \end{aligned}$$

and $1 \parallel n$.

Proof. (Necessity) By Theorem 4.7, it suffices to prove that if $x \prec y$ and there is no z such that $x \prec z \prec y$ then $x \in \{y - 1, y + 1\}$. We prove the contrapositive. Assume that $x \notin \{y - 1, y + 1\}$ and $x \prec y$. We can assume that $x = y - k$ for $k \geq 2$ (the case $x = y + k$ for $k \geq 2$ can be dealt with similarly). We have $F(y - k, y) = y$,

so by smoothness and internality $F(y - k, y - 1) = y - 1$. Since F is idempotent and \leq_n -preserving, we also obtain $F(y - 1, y) = y$. It follows that $x \prec y - 1 \prec y$.

(Sufficiency) Obvious. □

4.2. Contour plots of idempotent operations. Let $F: X_n^2 \rightarrow X_n$ be an idempotent operation. The *contour plot* of F is the simple graph (X_n^2, E) where E contains an edge between two distinct vertices (x, y) and (z, t) if and only if $F(x, y) = F(z, t)$. In a drawing of a contour plot, we do not draw edges that can be obtained from existing ones by transitivity. For every $z \in X$, we denote by $\deg_F(z)$ the number of elements in $F^{-1}(z)$. It is convenient to define an idempotent operation by providing a drawing of its contour plot. For instance, the operation $F: X_4^2 \rightarrow X_4$ defined by $F(1, 2) = F(2, 1) = F(1, 1) = 1$, $F(2, 3) = F(3, 2) = F(3, 3) = 3$, $F(1, 3) = F(3, 1) = F(2, 2) = 2$, and $F(x, 4) = F(4, x) = 4$ for $x = 1, 2, 3, 4$ is symmetric and idempotent. Its contour plot is depicted in Figure 2.

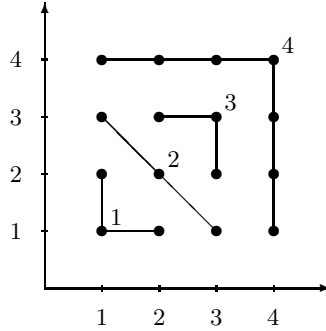


FIGURE 2. A symmetric and idempotent operation on X_4 that is not associative (contour plot)

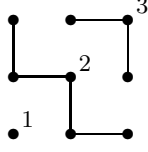
Recall that $a \in X$ is called a *zero element* of an operation $F: X^2 \rightarrow X$ if $F(a, x) = F(x, a)$ for every $x \in X$.

Remark 4.13. (1) Let $F: X_n^2 \rightarrow X_n$ be an idempotent and symmetric operation. If F is associative, then the top element of (X, \preceq_F) is a zero element of F . It is not difficult to see that the converse statement holds for every $n \leq 3$. However, it is not true in general. Consider for instance the operation $F: X_4 \rightarrow X_4$ defined in Fig. 2. The operation F is idempotent and symmetric, and has 4 as the zero element. But it is not associative since $F|_{X_3^2}: X_3^2 \rightarrow X_3$ has no zero element.

- (2) Let $F: X_n^2 \rightarrow X_n$ be an idempotent operation. If $a \in X_n$ is a zero element of F , then $\deg_F(a) \geq 2n$. The converse statement does not hold. For instance, consider the idempotent and \leq_n -preserving operation $F: X_3^2 \rightarrow X_3$ whose contour plot is depicted in Figure 3. We see that $\deg_F(2) = 5$ but F has no zero element.

The following Lemma shows how to recognize zero elements of \leq_n -preserving binary operations.

Lemma 4.14. *Let $F: X_n^2 \rightarrow X_n$ be a \leq_n -preserving operation, and let $a \in X_n$.*


 FIGURE 3. An idempotent and \leq_3 -preserving operation on X_3

- (1) The element a is a zero element of F if and only if $F|_{[1,a] \times [a,n]}$ and $F|_{[a,n] \times [1,a]}$ are the constant functions $(x, y) \mapsto a$.
- (2) If F is idempotent, then $F(x, y) < a$ for every $(x, y) \in [1, a-1] \times [1, a-1]$ and $F(x, y) > a$ for every $(x, y) \in [a+1, n] \times [a+1, n]$.
- (3) If F is idempotent, then a is a zero element of F if and only if

$$\deg_F(a) = 2a(n - a + 1) - 1. \quad (4.1)$$

Proof. (1) (Necessity) Let $(x, y) \in [1, a] \times [a, n]$ (the other case can be dealt with similarly). Since F is \leq_n -preserving, we get

$$a = F(x, a) \leq_n F(x, y) \leq_n F(a, y) = a,$$

which shows that $F(x, y) = a$.

(Sufficiency) Obvious.

(2) Let $x, y \in [1, a-1]$ with $x < y$. Since F is \leq_n -preserving and idempotent, we obtain $F(x, y) \leq F(y, y) = y < a$. The case $x, y \in [a+1, n]$ can be dealt with similarly.

(3) (Necessity) Let $a \in X_n$ be a zero element of F . Let us prove that

$$\{(x, y) \mid F(x, y) = a\} = ([1, a] \times [a, n]) \cup ([a, n] \times [1, a]) \quad (4.2)$$

The “ \supseteq ” inclusion follows by (1). The reverse inclusion is the contrapositive of (2). We derive (4.1) by a simple counting argument.

(Sufficiency) Let $F: X_n^2 \rightarrow X_n$ be a \leq_n -preserving idempotent operation, and let $a \in X_n$ be such that (4.1) holds. By (2), we know that “ \subseteq ” inclusion of (4.2) holds. From (4.1), we obtain that both sides of (4.2) have the same (finite) cardinality, which proves identity (4.2). \square

We obtain the following corollary as an immediate consequence of Lemma 4.14.

Corollary 4.15. *Let $F: X_n^2 \rightarrow X_n$ be an idempotent and nondecreasing operation and let $a \in X_n$.*

- (1) If $a \in \{1, n\}$, then a is a zero element of F if and only if $\deg_F(a) = 2n - 1$.
- (2) If a is a zero element of F , then $a \in \{1, n\}$ if and only if $\deg_F(a) = 2n - 1$.

Remark 4.16. In [7, Proposition 4] it was shown that an element $e \in X_n$ is a neutral element of a quasitrivial operation $F: X_n^2 \rightarrow X_n$ if and only if $\deg_F(e) = 1$. We observe that if we relax quasitriviality into idempotency, then the sufficiency part of the previous equivalence does not hold. Indeed, considering the idempotent, symmetric, and \leq_3 -preserving operation $F: X_3^2 \rightarrow X_3$ whose contour plot is depicted in Figure 4, we see that $\deg_F(1) = \deg_F(3) = 1$ but neither 1 nor 3 is a neutral element of F .

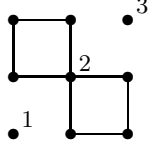


FIGURE 4. An idempotent, symmetric, and \leq_3 -preserving operation on X_3

4.3. Enumeration of finite \leq_n -preserving semilattice operations. It is shown in [6, Theorem 3.3] that if $F: X_n^2 \rightarrow X_n$ is an associative, symmetric, and quasitrivial operation, then \preceq_F can be recovered from the degree sequence $(\deg_F(x))_{x \in X_n}$ of F using the equivalence

$$x \preceq_F y \iff \deg_F(x) \leq \deg_F(y). \quad (4.3)$$

Clearly, (4.3) does not hold if F is a \leq_n -preserving semilattice operation that is not quasitrivial, since (4.3) implies that \preceq_F is a total order. In this subsection, we provide a proper way to easily recover the order \preceq_F associated with a \leq_n -preserving semilattice operation $F: X_n^2 \rightarrow X_n$ given by its contour plot. Moreover, we prove that the number of \leq_n -preserving semilattice operations is the n^{th} Catalan number.

Corollary 4.6 and Theorem 4.7 enable us to construct the semilattice order \preceq_F associated with a \leq_n -preserving semilattice operation $F: X^2 \rightarrow X_n$ with top element r in a recursive way, as follows:

- (1) if $r \in \{1, n\}$ then $F' = F|_{(X_n \setminus \{r\})^2}$ is a \leq_n -preserving semilattice operation, and \preceq_F is obtained by adding r as the top element in $\preceq_{F'}$,
- (2) if $r \notin \{1, n\}$, then $F_1 = F|_{[1, r-1]^2}$ and $F_2 = F|_{[r+1, n]^2}$ are \leq_n -preserving semilattice operations, and \preceq_F is obtained by adding r as the top element of $\preceq_{F_1} \cup \preceq_{F_2}$.

The preceding procedure can also be used to test associativity for a \leq_n -preserving, symmetric, and idempotent operation $F: X_n^2 \rightarrow X_n$. If F is not associative, then at some step i of the procedure, the function $F|_{X'^2}$, will satisfy one of the following conditions, where X' is the set of elements that have not yet been added to \preceq_F at step i :

- (a) $F|_{X'^2}$ has no zero element,
- (b) $F|_{X'^2}$ has a zero element and its contour plot G has one or two convex connected components C_1 and C_2 (see Lemma 4.14) but there is $C_i \neq \emptyset$ such that $F|_{C_i}$ is not associative.

Let $F: X_n^2 \rightarrow X_n$ be an idempotent, symmetric, and \leq_n -preserving operation. To test if F is associative, apply the recursive procedure described by (1) and (2). If at every step of the procedure such that $X' \neq \emptyset$ condition (a) is not satisfied, then F is associative and the procedure outputs \preceq_F . Otherwise, the procedure stops at a some step where (a) is satisfied, and F is not associative.

For every $n \geq 0$, let $\alpha(n)$ be the number of join-semilattice orders on X_n that are nondecreasing for \leq . By definition, we have $\alpha(0) = 1$. The following Proposition proves that $\alpha(n)$ is the n^{th} Catalan number (see, e.g., [25]). We denote by $\mathbb{N} = \{0, 1, 2, \dots\}$ the set of nonnegative integers.

Proposition 4.17. *The sequence $\alpha: \mathbb{N} \rightarrow \mathbb{N}$ satisfies the recurrence relation*

$$\alpha(n) = \sum_{i=1}^n \alpha(n-i)\alpha(i-1), \quad n \geq 1. \quad (4.4)$$

It follows that $\alpha(n)$ is the n^{th} Catalan number $\frac{(2n)!}{n!(n+1)!}$ for every $n \in \mathbb{N}$.

Proof. Let \preceq be a semilattice order on X_n that is nondecreasing for \leq_n . By Theorem 4.7, we know that (X_n, \preceq) is a binary semilattice. Let r be the top element of its Hasse diagram, and set $X' = X_n \setminus \{r\}$. By Corollary 4.3, if $r \in \{1, n\}$, then $\preceq_{X'}$ is one of the $u(n-1)$ semilattice orders that are nondecreasing for $\leq_{X'}$. By Corollaries 4.3 and 4.6, if $r \notin \{1, n\}$, then $\preceq_{X'}$ is the union of one of the $\alpha(r-1)$ semilattice orders on $[1, r-1]$ that is nondecreasing for $\leq_{[1, r-1]}$ with one of the $\alpha(n-r)$ semilattice orders on $[r+1, n]$ that is nondecreasing for $\leq_{[r+1, n]}$. \square

Proposition 4.17 counts the number of semilattice orders on X_n that have the CI-property and are internal for \leq_n . The Hasse diagram of these semilattices are binary trees verifying condition (ii) of Theorem 4.7. In Appendix A, we consider the problem of counting the semilattice orders whose Hasse diagram is a binary tree, and either are internal or have the CI-property for \leq_n .

4.4. Construction of the total orders for that a semilattice order is non-decreasing. Let \preceq be a semilattice order with top element r . The family of total orders \leq on X_n for which \preceq is nondecreasing can be constructed by recursion, using the following result.

Proposition 4.18. *Let (X_n, \preceq) be a binary semilattice with top element r and G be its Hasse diagram. Let C_1 and C_2 be the connected components of $G - r$, with the convention that $C_2 = \emptyset$ if r has only one child. The following conditions are equivalent.*

- (i) *The order \preceq is nondecreasing for \leq_n .*
- (ii) *There exist total orders \leq_1 on C_1 and \leq_2 on C_2 such that*
 - (a) *the order \preceq_{C_i} is nondecreasing for \leq_i for every $1 \leq i \leq 2$,*
 - (b) *the total order \leq is obtained by adding r as the top of \leq_1 and the bottom of \leq_2 , or conversely.*

Proof. (ii) \implies (i) Since \preceq_{C_1} and \preceq_{C_2} have the CI-property for \leq_1 and \leq_2 , respectively, it follows by Lemma 3.4 that \preceq has the CI-property with respect to \leq . Similarly, we obtain by Lemma 3.5 (ii) that \preceq is internal for \leq .

(i) \implies (ii) The proof is obtained by an easy induction on n , using Lemmas 4.5 and 4.6 in the induction step. \square

The following corollary is obtained from Proposition 4.18 by an easy induction on n .

Corollary 4.19. *Let (X_n, \preceq) be a binary semilattice, and let L be the number of minimal elements in (X_n, \preceq) . The number of total orders for which \preceq is nondecreasing is equal to 2^{n-L} .*

Example 4.20. The eight total orders on $X = \{a, b, c, d, r\}$ for which the semilattice order \preceq depicted in Fig. 5 is nondecreasing are

$$\begin{aligned} r < b < a < c < d, & \quad r < b < a < d < c, \\ r < c < d < a < b, & \quad r < d < c < a < b, \end{aligned}$$

and their dual orders.

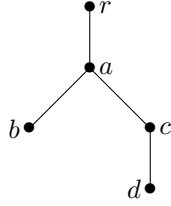


FIGURE 5. semilattice (X, \preceq) whose Hasse diagram is a binary tree

It follows from Corollary 4.10 that the number $\tau(n)$ of isomorphism types of semilattices that are \leq_n -preserving is equal to the number $A001190(n+1)$ of unordered and unlabeled rooted binary trees (see [24]), where a tree is said to be *unordered* if no order is specified on the children of a parent vertex). A similar counting argument as in Proposition 4.17 proves the following recurrence relations for τ .

Corollary 4.21. *The number $\tau(n)$ of isomorphism types of semilattices that are nondecreasing for \leq_n satisfies $\tau(0) = 1$, $\tau(1) = 1$ and*

$$\tau(2n) = \sum_{i=0}^{n-1} \tau(i)\tau(2n-1-i)$$

$$\tau(2n+1) = \sum_{i=0}^{n-1} \tau(i)\tau(2n-i) + \frac{\tau(n)}{2}(\tau(n)+1)$$

for all $n \geq 1$.

5. k -ARY SYMMETRIC, IDEMPOTENT AND \leq_n -PRESERVING SEMIGROUPS

Let $k \geq 2$ be an integer. Recall that an operation $F: X^k \rightarrow X$ is *idempotent* if it satisfies the equation $F(x, \dots, x) = x$. It is *symmetric* if it satisfies the equations $F(x_1, \dots, x_k) = F(x_{\sigma 1}, \dots, x_{\sigma k})$ for every bijection σ of $\{1, \dots, k\}$. If X is equipped with a total order \leq , then F is said to be *\leq -preserving* if $F(x_1, \dots, x_k) \leq F(x'_1, \dots, x'_k)$ for every $x_1, x'_1, \dots, x_k, x'_k$ such that $x_i \leq x'_i$ for every $i \leq k$.

In this section, we characterize associative, idempotent, symmetric, and \leq -preserving operations $F: X^k \rightarrow X$ on a chain (X, \leq) , where associativity for k -ary operations is defined as follows.

Definition 5.1. An operation $F: X^k \rightarrow X$ is said to be *associative* if it satisfies the equation

$$F(x_1, \dots, x_{i-1}, F(x_i, \dots, x_{i+k-1}), x_{i+k}, \dots, x_{2k-1})$$

$$= F(x_1, \dots, x_i, F(x_{i+1}, \dots, x_{i+k}), x_{i+k+1}, \dots, x_{2k-1})$$

for every $i \leq k$.

If $F: X^k \rightarrow X$ is an associative operation, then (X, F) is called a *k -ary semigroup* [12, 23]. Examples of k -ary associative operations are given by compositions of binary associative ones. More precisely, if $H: X^2 \rightarrow X$ is associative, and if $(H_k)_{k \geq 2}$ is the sequence of the k -ary operations $H_k: X^k \rightarrow X$ defined inductively by the

rules

$$\begin{aligned} H_2(x, y) &= H(x, y), \\ H_{k+1}(x_1, \dots, x_{k+1}) &= H(H_k(x_1, \dots, x_k), x_{k+1}), \quad k \geq 3, \end{aligned} \quad (5.1)$$

then H_k is a k -ary associative operation for every odd $k \geq 2$.

Definition 5.2 ([1, 13]). An associative operation $F: X^k \rightarrow X$ that can be obtained from an associative operation $H: X^2 \rightarrow X$ as in (5.1) is said to be *reducible to* (or *to be derived from*) H .

Examples of k -ary associative operations $F: \mathbb{R}^k \rightarrow \mathbb{R}$ that are not reducible to a binary associative one are given by the alternating sums $(x_1, \dots, x_k) \mapsto \sum_{i=1}^k (-1)^i x_k$, for every odd $k \geq 3$.

Theorem 5.3 ([18, Theorem 4.3]). *Let (X, \leq) be a totally ordered set, and let $F: X^k \rightarrow X$ be an associative, idempotent, symmetric, and \leq -preserving operation. Then F is reducible to a unique binary operation $G: X^2 \rightarrow X$ that is defined by $G(x, y) = F(x, \dots, x, y)$.*

By combining Theorem 5.3 and Theorem 3.10 we obtain the following characterization.

Theorem 5.4. *Let (X, \leq) be totally ordered set. An operation $F: X^k \rightarrow X$ is associative, idempotent, symmetric, and \leq -preserving if and only if F is reducible to the join operation of a semilattice order that is nondecreasing for \leq .*

Combining Proposition 4.17 and Theorem 5.4, we obtain the following corollary.

Corollary 5.5. *The number of k -ary operations on X_n that are associative, idempotent, symmetric, and \leq_n -preserving operation is the n^{th} Catalan number.*

6. CONCLUSIONS AND FURTHER RESEARCH

In this paper, we have characterized \leq -preserving semilattice operations in terms of a property generalizing the single-peakedness property (Theorem 3.9). We have also characterized finite \leq -preserving semilattices in terms of properties of their Hasse diagram (Theorem 4.7). In particular, we have proved that the number of nondecreasing semilattice operations on a n -element chain is the n^{th} Catalan number (Proposition 4.17). Thus, the main results of this paper are new contributions to the problem of characterizing subclasses of associative operations.

We list below several open questions and topics of current research.

- (I) Let \preceq be a semilattice order on X_n . CI-property for \preceq_n , internality for \preceq_n , linear filter property, and the property of having a Hasse diagram that is a binary tree have straightforward first order translations in the language of semigroups. Find alternative characterizations of classes of idempotent and symmetric semigroups whose semilattice orders satisfy some of these properties.
- (II) Find a closed-form expression for the number of semilattice orders on X_n that are internal for \preceq_n and have the linear filter property (see Proposition A.1).
- (III) Find a closed-form expression for the number of semilattice orders on X_n that have the CI-property for \preceq_n , and whose Hasse diagram is a binary tree (see Proposition A.6).

- (IV) Find a recurrence relation and a closed-form expression for the number of semilattice orders on X_n that are internal for \leq_n . The first elements of this sequence are 1, 1, 2, 7, 36, 247.
- (V) Identify explicit one-to-one correspondences between the class of nondecreasing semilattice orders for \leq_n and other classes of objects that are counted by the Catalan numbers [25, 26].
- (VI) Find characterizations corresponding to Theorems 3.9 and 4.7 for semilattice operations that are \leq -preserving on a poset (X, \leq) .

APPENDIX A. ADDITIONAL ENUMERATION PROBLEMS

In this Section we give recurrence relations on n for the number $\beta(n)$ of semilattice orders on X_n that are internal for \leq_n and have the linear filter property, and the number $\delta(n)$ of binary semilattice orders that have the CI-property for \leq_n . We also give a recurrence relation on \preceq for the number $\gamma(\preceq)$ of total orders for which a binary semilattice order \preceq is internal, and the number $\eta(\preceq)$ of total orders for which \preceq has the CI-property.

By definition, we have $\beta(0) = \delta(0) = 1$, $\beta(1) = \delta(1) = 1$, $\gamma(\emptyset) = \eta(\emptyset) = 1$, and $\gamma(\preceq) = \eta(\preceq) = 1$ if \preceq is the only semilattice order on X_1 . The following result provides a recurrence relation for $\beta(n)$. It turns out that $\beta(n) = A006014(n)$ for $n \geq 1$ (see [24]).

Proposition A.1. *The sequence $\beta: \mathbb{N} \rightarrow \mathbb{N}$ satisfies the recurrence relation*

$$\beta(n) = \sum_{i=1}^{n-2} \beta(i) \beta(n-i-1) + n\beta(n-1), \quad n \geq 2.$$

Proof. Let \preceq be a semilattice order on X_n that is internal for \leq_n and has the linear filter property, let r be the top element of (X_n, \preceq) , and set $X' = X_n \setminus \{r\}$. If r has only one child, then $\preceq_{X'}$ is one of the $\beta(n-1)$ semilattice orders that have the linear filter property and are internal for $\leq_{X'}$. If r has two children, then $r \notin \{1, n\}$ by Lemma 4.5 (2). By Corollary 4.6, the order $\preceq_{X'}$ is the union of one of the $\beta(r-1)$ semilattices orders on $[1, r-1]$ that have the linear filter property and are internal for $\leq_{[1, r-1]}$ with one of the $\beta(n-r)$ semilattice orders on $[r+1, n]$ that have the linear filter property and are internal for $\leq_{[r+1, n]}$. Thus, we obtain

$$\beta(n) = \sum_{i=1}^n \beta(i-1) \beta(n-i) + (n-2) \beta(n-1), \quad n \geq 2, \quad (\text{A.1})$$

which concludes the proof. \square

Observe that the recurrence relation (A.1) differs from the relation (4.4) defining the Catalan numbers by the term $(n-2)\beta(n-1)$ only.

Remark A.2. The number of isomorphism types of semilattice orders on X_n that are internal for \leq_n and have the linear filter property is equal to $\tau(n)$ (see Corollary 4.10).

A similar proof as for Proposition 4.18 gives the following result.

Proposition A.3. *Let (X_n, \preceq) be a binary semilattice ($n \geq 2$) with top element r . Let C_1 and C_2 be the connected components of $G-r$, with the convention that $C_2 = \emptyset$ if r has only one child. The following conditions are equivalent.*

- (i) *The order \preceq is internal for \leq_n .*

- (ii) There exist total orders \leq_1 on C_1 and \leq_2 on C_2 such that
- (a) the order \preceq_{C_i} is internal for \leq_i for every $1 \leq i \leq 2$,
 - (b) if $C_2 \neq \emptyset$ then \leq is obtained by adding r as the top of \leq_1 and the bottom of \leq_2 , or conversely, and if $C_2 = \emptyset$ then \leq is obtained by inserting r anywhere in \leq_1 .

The following recurrence relation can be obtained by induction on \preceq using Proposition A.3.

Corollary A.4. *Let (X_n, \preceq) be a binary semilattice ($n \geq 2$) with top element r . Let C_1 and C_2 be the connected components of $G - r$, with the convention that $C_2 = \emptyset$ if r has only one child. Then,*

$$\gamma(\preceq) = 2^{i-1} n^{2-i} \gamma(\preceq_{C_1}) \gamma(\preceq_{C_2}),$$

where i is the number of children of r .

To provide a recurrence relation for the number $\delta(n)$ of binary semilattice orders that have the CI-property for \leq_n , we use the following lemma.

Lemma A.5. *Let (X_n, \preceq) be a binary semilattice with top element r such that \preceq has the CI-property for \leq_n . If $r \in [2, n-1]$, then r has two children x_1, x_2 in the Hasse diagram of (X_n, \preceq) , and 1 and n are \preceq -incomparable. Moreover, if $1 \preceq x_1$ and $n \preceq x_2$, then $(x_1)_{\preceq} = \{1, 2, \dots, r-1\}$ and $(x_2)_{\preceq} = \{r+1, r+2, \dots, n\}$.*

Proof. We know by Lemma 4.5 (1) that r has two children x_1 and x_2 . By the CI-property, there is no $y, z \in X_n$ such that $y < r < z$ (resp. $z < r < y$) and $y, z \in (x_i)_{\preceq}$ for some $i \in \{1, 2\}$. \square

Proposition A.6. *The sequence $\delta: \mathbb{N} \rightarrow \mathbb{N}$ satisfies the recurrence relation*

$$\delta(n) = \sum_{i=1}^n \delta(i-1) \delta(n-i) + \sum_{j=1}^{n-2} \binom{n-1}{j} \delta(j) \delta(n-j-1), \quad (\text{A.2})$$

for every $n \geq 1$.

Proof. We say that \preceq has ICB-property for \leq if \preceq is a binary semilattice order that has the CI-property for \leq . Let \preceq be a semilattice order on X_n that has the ICB-property for \leq_n , let r be the top element of (X_n, \preceq) , and set $X' = X_n \setminus \{r\}$. By Lemma 4.5, if r has only one child, then $r \in \{1, n\}$ and $\preceq_{X'}$ is one of the $\delta(n-1)$, semilattice orders that have the ICB-property for $\leq_{X'}$. Also, by Lemma 4.5, if $r \notin \{1, n\}$ then r has two children and by Lemma A.5 the relation $\preceq_{X'}$ is the union of one of the $\delta(r-1)$ semilattice orders on $[1, r-1]$ that have the ICB-property for $\leq_{[1, r-1]}$, with one of the $\delta(n-r)$ semilattice orders on $[r+1, n]$ that have the ICB-property for $\leq_{[r+1, n]}$. If $r \in \{1, n\}$ and r has two children, then $\preceq_{X'}$ is the union of one of the $\delta(|(x_1)|)$ semilattice orders on (x_1) that have the ICB-property for $\leq_{(x_1)}$ with one of the $\delta(n-1-|(x_1)|)$ semilattice orders on (x_2) that have the ICB-property for $\leq_{(x_2)}$. \square

By simple algebraic manipulations, we obtain that (A.2) is equivalent to

$$\delta(n+1) + 2\delta(n) = \sum_{i=0}^n \left(\binom{n}{i} + 1 \right) \delta(i) \delta(n-i),$$

for every $n \geq 2$.

Using Lemma 3.4, a similar proof as for Proposition 4.18 gives the following result. Recall that if (X, \leq) and (X', \leq') are two disjoint partially ordered sets,

n	$\alpha(n)$	$\tau(n)$	$\beta(n)$	$\delta(n)$
0	1	1	1	1
1	1	1	1	1
2	2	1	2	2
3	5	2	7	7
4	14	3	32	30
5	42	5	178	158
6	132	10	1160	984
7	429	21	8653	7129
8	1430	42	72704	59026
<i>OEIS</i>	A000108	A001190	A006014	

 TABLE 1. Table of sequences α , τ , β and δ , with their OEIS entries [24]

then the *ordinal sum* $\leq \oplus \leq'$ is the order \preceq defined on $X \cup X'$ by setting $y \preceq z$ if $y \leq z$, or $y \leq' z$, or $y \in X$ and $z \in X'$.

Proposition A.7. *Let (X_n, \preceq) be a binary semilattice order ($n \geq 2$) with top element r . Let C_1 and C_2 be the connected components of $G - r$, with the convention that $C_2 = \emptyset$ if r has only one child. The following conditions are equivalent.*

- (i) *The order \preceq has the CI-property for \leq_n .*
- (ii) *There exist total orders \leq_1 on C_1 and \leq_2 on C_2 such that*
 - (a) *the order \leq_{C_i} has the CI-property for \leq_i for every $1 \leq i \leq 2$,*
 - (b) *if $C_2 \neq \emptyset$ then \leq is obtained either by adding r as the top of \leq_1 and the bottom of \leq_2 , or conversely, or by adding r as the top or the bottom of either $\leq_1 \oplus \leq_2$, or $\leq_1 \oplus \leq_2$, and if $C_2 = \emptyset$ then \leq is obtained by adding r as the top or the bottom of \leq_1 .*

The following recurrence relation can be proved by induction on \preceq using Proposition A.7.

Corollary A.8. *Let (X_n, \preceq) be a binary semilattice ($n \geq 2$) with top element r and let C_1 and C_2 be the connected components of $G - r$, with the convention that $C_2 = \emptyset$ if r has only one child. Then,*

$$\eta(\preceq) = 3^{i-1} 2 \eta(\preceq_{C_1}) \eta(\preceq_{C_2}),$$

where i is the number of children of r .

REFERENCES

- [1] N. L. Ackerman. A characterization of quasitrivial n -semigroups. To appear in *Algebra Universalis*.
- [2] J. Aczél. *Lectures in Functional Equations and Their Applications, second edition*, Dover Publications, Inc., New York, 2006.
- [3] C. Alsina, B Schweizer, and M. J. Frank. *Associative Functions: Triangular Norms and Copulas* World Scientific, 2006.
- [4] D. Black. On the rationale of group decision-making. *J Polit Economy*, 56(1):23–34, 1948
- [5] D. Black. *The theory of committees and elections*. Kluwer Academic Publishers, Dordrecht, 1987.
- [6] M. Couceiro, J. Devillet, and J.-L. Marichal. Quasitrivial semigroups: characterizations and enumerations, *Semigroup Forum*, 2018, <https://doi.org/10.1007/s00233-018-9928-3>.
- [7] M. Couceiro, J. Devillet, and J.-L. Marichal. Characterizations of idempotent discrete uninorms, *Fuzzy Sets and Syst.*, 334:60–72, 2018.

- [8] E. Czogała and J. Drewniak. Associative monotonic operations in fuzzy set theory, *Fuzzy Sets and Syst.*, 12(3):249–269, 1984.
- [9] P. Cintula, P. Hájek, and C. Noguera (eds). *Handbook of Mathematical Fuzzy Logic*. College Publications, 2011.
- [10] B. A. Davey, and H. Priestley. *Introduction to Lattices and Order (second edition)*, Cambridge University Press, 2002.
- [11] J. Devillet, G. Kiss and J.-M. Marichal. Characterizations of quasitrivial symmetric nondecreasing associative operations. arXiv:1705.00719.
- [12] W. Dörnte. Untersuchungen über einen verallgemeinerten Gruppenbegriff. *Math. Z.*, 29:1–19, 1928.
- [13] W. A. Dudek and V. V. Mukhin. On n -ary semigroups with adjoint neutral element. *Quasigroups and Related Systems*, 14:163–168, 2006.
- [14] J. Fodor. Smooth Associative Operations on Finite Ordinal Scales. *IEEE Transactions on Fuzzy Systems*, 8(6):791–795.
- [15] M. Grabisch, J.-L. Marichal, R. Mesiar, and E. Pap. *Aggregation functions*. Encyclopedia of Mathematics and its Applications, vol. 127. Cambridge University Press, Cambridge, 2009.
- [16] G. Grätzer. *General Lattice Theory, Second edition*. Birkhäuser, 2003
- [17] L. Humberstone. *The Connectives*. MIT Press, 2011.
- [18] G. Kiss and G. Somlai. Associative idempotent and nondecreasing functions are reducible. arXiv: 1707.04341.
- [19] N. Kimura. The structure of idempotent semigroups. I. *Pacific J. Math.*, 8:257–275, 1958.
- [20] H. Länger. The free algebra in the variety generated by quasi-trivial semigroups. *Semigroup Forum*, 20(1):151-156, 1980.
- [21] J.-L. Marichal. On the associativity functional equation, *Fuzzy Sets and Syst.*, 114:381–389, 2000.
- [22] D. McLean. Idempotent semigroups. *Amer. Math. Monthly*, 61:110–113, 1954.
- [23] E. L. Post. Polyadic groups, *Trans. Amer. Math. Soc.*, 48:208–350, 1940.
- [24] N. J. A. Sloane (editor). The On-Line Encyclopedia of Integer Sequences. <http://www.oeis.org>
- [25] R. P. Stanley. *Enumerative combinatorics. Vol. 2*, Cambridge Studies in Advanced Mathematics, 62, Cambridge University Press.
- [26] R. P. Stanley. *Catalan Numbers*. Cambridge University Press, 2015.