

**RIEMANN SURFACES. LECTURE NOTES. WINTER SEMESTER  
2014/2015.**

A PRELIMINARY AND PROBABLY VERY RAW VERSION.

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1. LECTURE 1

1.1. **Some prerequisites for the whole lecture course.** The following is assumed known.

- 1) Holomorphic functions in one variable.
- 2) Basics on topology: topological spaces, continuous maps.
- 3) basics on topological manifolds: definition.
- 4) Definition of a complex manifold.

1.2. **Definition of a Riemann surface.** Since this course is called “Riemann surfaces”, the first and main definition of the course is the one of a Riemann surface.

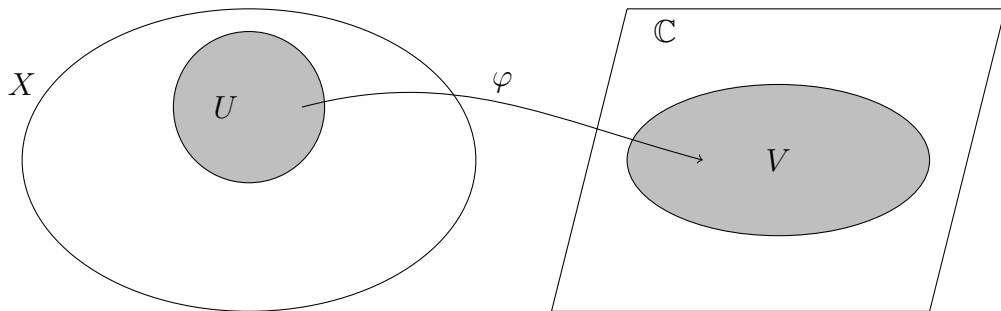
**Definition 1.1.** A Riemann surface is a connected 1-dimensional complex manifold.

**Convention.** We will usually write RS for Riemann surface.

Let us clarify the meaning of Definition 1.1.

Let  $X$  be a 2-dimensional real topological manifold.

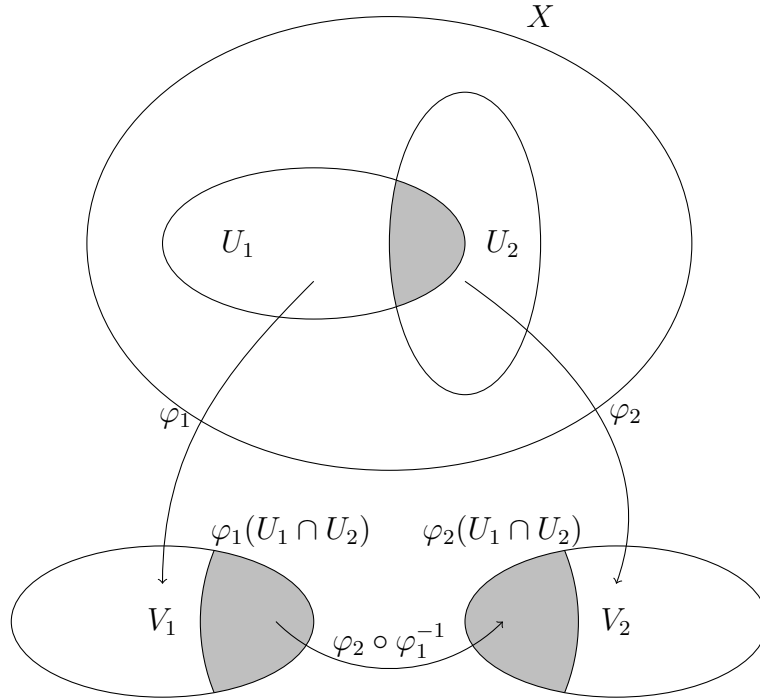
**Definition 1.2.** Let  $U \subset X$  be an open subset. Let  $V \subset \mathbb{C}$  be an open subset of the set of complex numbers (equipped with the standard Euclidean topology). Let  $\varphi : U \rightarrow V$  be a homeomorphism. Then  $\varphi : U \rightarrow V$  is called a complex chart on  $X$ .



**Definition 1.3.** Two complex charts  $\varphi_1 : U_1 \rightarrow V_1$  and  $\varphi_2 : U_2 \rightarrow V_2$  are called holomorphically compatible if

$$\varphi_2 \circ \varphi_1^{-1}|_{\varphi_1(U_1 \cap U_2)} : \varphi_1(U_1 \cap U_2) \rightarrow \varphi_2(U_1 \cap U_2)$$

is a holomorphic map. By abuse of notation we will often denote it by  $\varphi_2 \circ \varphi_1^{-1}$ .



**Exercise.**  $\varphi_2 \circ \varphi_1^{-1}$  is then automatically biholomorphic.

**Definition 1.4.** A system of holomorphically compatible complex charts on  $X$

$$\mathfrak{A} = \{\varphi_i : U_i \rightarrow V_i, i \in I\}$$

such that  $\bigcup_{i \in I} U_i = X$  is called a complex atlas on  $X$ .

**Definition 1.5.** Two atlases  $\mathfrak{A}_1$  and  $\mathfrak{A}_2$  on  $X$  are called holomorphically compatible if every chart from  $\mathfrak{A}_1$  is holomorphically compatible with every chart from  $\mathfrak{A}_2$ .

**Exercise.** Holomorphic equivalence is an equivalence relation.

**Definition 1.6.** A complex structure on  $X$  is an equivalence class of complex atlases.

**Remark 1.7.** In order to define a complex structure on  $X$  it is enough to give a complex atlas on  $X$ . Then two complex structures are equal if and only if the corresponding atlases are equivalent.

**Definition 1.8.** Let  $\mathfrak{A}$  be a complex atlas on  $X$ . Put

$$\mathfrak{A}_{max} = \{\text{complex charts on } X \text{ holomorphically compatible with the charts from } \mathfrak{A}\}.$$

Then  $\mathfrak{A}_{max}$  is the maximal atlas holomorphically compatible with  $\mathfrak{A}$ .

Therefore, two atlases  $\mathfrak{A}$  and  $\mathfrak{B}$  are equivalent if and only if  $\mathfrak{A}_{max} = \mathfrak{B}_{max}$ .

**Definition 1.9.** A RS is a pair  $(X, \Sigma)$ , where  $X$  is a connected 2-dimensional real topological manifold and  $\Sigma$  is a complex structure on  $X$ .

Equivalently: a RS is a pair  $(X, \mathfrak{A})$ , where  $X$  is a connected 2-dimensional real topological manifold and  $\mathfrak{A}$  is a complex atlas on  $X$ .

For those who remember the definition of a complex manifold is clear now that the last definition is just the definition of a 1-dimensional complex manifold.

**Convention.** If  $(X, \Sigma)$  is a RS, then “a chart on  $X$ ” means a chart in the maximal atlas on  $X$  corresponding to  $\Sigma$ .

**Examples 1.10** (of Riemann surfaces). 1)  $X = \mathbb{C}$ ,  $\mathfrak{A} = \{\mathbb{C} \xrightarrow{\text{id}} \mathbb{C}\}$ .

In order to define the same complex structure one can also take the complex atlas given by  $\mathfrak{A}' = \{U_n \xrightarrow{\text{id}} U_n \mid n \in \mathbb{N}\}$ , where  $U_n = \{z \in \mathbb{C} \mid |z| < n\}$ .

2) Any domain in  $U \subset \mathbb{C}$  (open connected subset of  $\mathbb{C}$ ),  $\mathfrak{A} = \{U \xrightarrow{\text{id}} U\}$ . More generally, let  $X$  be a RS and let  $U \subset X$  be a domain. Then  $U$  is a RS as well. As an atlas one can take the restrictions to  $U$  of the complex charts on  $X$ .

3) Complex projective line  $\mathbb{P}_1 = \mathbb{P}_1(\mathbb{C}) = \{(a : b) \mid (a, b) \in \mathbb{C}^2 \setminus \{(0, 0)\}\}$ , where  $(a : b)$  denotes the line in  $\mathbb{C}^2$  through  $(0, 0)$  and  $(a, b)$ . Define

$$U_0 = \{(a : b) \mid a \neq 0\} = \{(1 : b) \mid b \in \mathbb{C}\}, \quad U_1 = \{(a : b) \mid b \neq 0\} = \{(a : 1) \mid a \in \mathbb{C}\}.$$

Define

$$\varphi_0 : U_0 \rightarrow \mathbb{C}, \quad (1 : b) \mapsto b,$$

and

$$\varphi_1 : U_1 \rightarrow \mathbb{C}, \quad (a : 1) \mapsto a.$$

Then  $\mathfrak{A} = \{U_0 \xrightarrow{\varphi_0} \mathbb{C}, U_1 \xrightarrow{\varphi_1} \mathbb{C}\}$  is a complex atlas on  $\mathbb{P}_1$ . The transition function  $\varphi_1 \circ \varphi_0^{-1}|_{\varphi_0(U_0 \cap U_1)}$  is

$$\varphi_0(U_0 \cap U_1) = \mathbb{C}^* \rightarrow \mathbb{C}^* = \varphi_1(U_0 \cap U_1), \quad a \mapsto \frac{1}{a}.$$

4) Riemann sphere  $\hat{\mathbb{C}}$ . As a set  $\hat{\mathbb{C}} = \mathbb{C} \sqcup \{\infty\}$ , where  $\infty$  is just a symbol. The topology is defined as follows.  $U \subset \hat{\mathbb{C}}$  is open if and only if **either**  $\infty \notin U$  and  $U \subset \mathbb{C}$  is open **or**  $\infty \in U$  and  $\mathbb{C} \setminus U$  is compact in  $\mathbb{C}$ . This defines a compact Hausdorff space homeomorphic to the two-dimensional sphere  $\mathbb{S}^2$ . Put  $U_0 = \mathbb{C}$  and  $U_1 = \hat{\mathbb{C}} \setminus \{0\} = \mathbb{V}^* \sqcup \{\infty\}$ . Define  $\varphi_0 : U_0 \rightarrow \mathbb{C} = \text{id} : \mathbb{C} \rightarrow \mathbb{C}$  and define  $\varphi_1 : U_1 \rightarrow \mathbb{C}$  by

$$\varphi_1(z) = \begin{cases} \frac{1}{z}, & z \neq \infty; \\ 0, & \text{otherwise.} \end{cases}$$

**Exercise.** The complex charts  $\varphi_0$  and  $\varphi_1$  are holomorphically compatible and constitute a complex atlas on  $\hat{\mathbb{C}}$ .

Indeed, it is enough to notice that the transition function  $\varphi_1 \circ \varphi_0^{-1}|_{\varphi_0(U_0 \cap U_1)}$  is given by

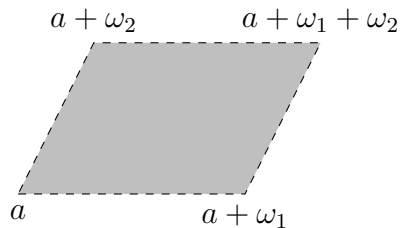
$$\varphi_0(U_0 \cap U_1) = \mathbb{C}^* \rightarrow \mathbb{C}^* = \varphi_1(U_0 \cap U_1), \quad a \mapsto \frac{1}{a}.$$

**Remark.** Notice that this is the same transition function as in the previous example.

### 5) Complex tori.

Consider  $\mathbb{C}$  as a 2-dimensional vector space over  $\mathbb{R}$ . Let  $\{\omega_1, \omega_2\}$  be its basis over  $\mathbb{R}$ . Let  $\Gamma = \mathbb{Z} \cdot \omega_1 + \mathbb{Z} \cdot \omega_2 = \{n\omega_1 + m\omega_2 \mid m, n \in \mathbb{Z}\}$  be the corresponding lattice. It is a subgroup in the abelian group  $\mathbb{C}$ . Consider the quotient homomorphism  $\mathbb{C} \xrightarrow{\pi} \mathbb{C}/\Gamma$  and introduce on  $\mathbb{C}/\Gamma$  the quotient topology, i. e.,  $U \subset \mathbb{C}/\Gamma$  is open if and only if  $\pi^{-1}(U)$  is open in  $\mathbb{C}$ .

For every  $a \in \mathbb{C}$  put  $V_a = \{a + t_1\omega_1 + t_2\omega_2 \mid t_1, t_2 \in (0, 1)\}$ , i. e., the interior of the parallelogram with vertices at  $a, a + \omega_1, a + \omega_2, a + \omega_1 + \omega_2$ .



$V_a$  are called standard parallelograms with respect to the lattice  $\Gamma$ .

Put  $U_a := \pi(V_a)$ . Note that  $\pi|_{V_a} : V_a \rightarrow U_a$  is bijective and moreover a homeomorphism. Put  $\varphi_a := (\pi|_{V_a})^{-1} : U_a \rightarrow V_a$ . This gives a complex atlas on  $\mathbb{C}/\Gamma$ .

**Exercise.** Check the details.

### 1.3. Definition of a holomorphic function of a Riemann surface. Structure sheaf.

**Definition 1.11** (Holomorphic functions). Let  $X$  be a RS. Let  $Y \subset X$  be an open subset. Then a function  $Y \xrightarrow{f} \mathbb{C}$  is called holomorphic on  $Y$  if for every chart  $\varphi : U \rightarrow V$  on  $X$  the composition  $f \circ \varphi^{-1} : \varphi(U \cap Y) \rightarrow \mathbb{C}$  is a holomorphic function.

Let  $\mathcal{O}_X(Y)$  denote the set of all holomorphic functions on  $Y$ .

**Exercise.**  $\mathcal{O}_X(Y)$  is a  $\mathbb{C}$ -algebra.

**Remark 1.12.** For every open subset  $U \subset X$  we obtain a  $\mathbb{C}$ -algebra  $\mathcal{O}_X(U)$  of holomorphic functions on  $U$ . For every two open subsets  $U$  and  $W$  in  $X$  such that  $U \subset W$ , the restriction

map  $\mathcal{O}_X(W) \rightarrow \mathcal{O}_X(U)$ ,  $f \mapsto f|_U$  is a homomorphism of  $\mathbb{C}$ -algebras. The collection of all these data is denoted  $\mathcal{O}_X$  and is called the structure sheaf on  $X$ .

#### 1.4. Exercises.

**Exercise 1.** 1) Check that the complex charts on  $\hat{\mathbb{C}}$  introduced in the lecture are holomorphically compatible and constitute a complex atlas on  $\hat{\mathbb{C}}$ .

2) Prove that  $\hat{\mathbb{C}}$  is homeomorphic to the complex projective line  $\mathbb{P}_1 = \mathbb{P}_1(\mathbb{C})$ .

**Exercise 2.** Let  $\Gamma = \mathbb{Z}\omega_1 + \mathbb{Z}\omega_2$  be a lattice in  $\mathbb{C}$ .

1) Fill in the gaps in the definition of the complex structure on  $\mathbb{C}/\Gamma$ . How do the transition functions  $\varphi_b \circ \varphi_a^{-1}$  look like?

2) Let  $S^1$  denote the real 1-sphere. Show that  $\mathbb{C}/\Gamma$  is homeomorphic to  $S^1 \times S^1$ .

**Hint:** Let  $p_1, p_2$  be the  $\mathbb{R}$ -basis of  $\text{Hom}(\mathbb{C}, \mathbb{R})$  dual to  $\omega_1, \omega_2$ . Consider the map  $\mathbb{C}/\Gamma \rightarrow S^1 \times S^1$ ,  $[z] \mapsto (\exp(2\pi i p_1(z)), \exp(2\pi i p_2(z)))$ . Here  $[z]$  denotes the equivalence class of a complex number  $z$  in  $\mathbb{C}/\Gamma$ .

**Exercise 3.** In this exercise all subsets of complex manifolds are equipped with the induced topology.

1) Show that the following subspaces of  $\mathbb{C}^2$  or  $\mathbb{C}^3$  are complex submanifolds, hence they are Riemann surfaces. Describe the complex structures on each of them.

$$X_1 = \{(z_1, z_2) \in \mathbb{C}^2 \mid 5z_1 + 7z_2 = 0\}, \quad X_2 = \{(z_1, z_2) \in \mathbb{C}^2 \mid 3z_1 - 14z_2^2 = 0\},$$

$$X_3 = \{(z_1, z_2) \in \mathbb{C}^2 \mid z_1 z_2 - 1 = 0\}, \quad X_4 = \{(z_0, z_1, z_2) \in \mathbb{C}^3 \mid z_1 - z_0^2 = 0, z_2 - z_0^3 = 0\}.$$

2) Are the following subsets of  $\mathbb{C}^2$  complex submanifolds?

$$X_5 = \{(z_1, z_2) \in \mathbb{C}^2 \mid z_1^2 - z_2^3 = 0\}, \quad X_6 = \{(z_1, z_2) \in \mathbb{C}^2 \mid z_1 z_2 = 0\}.$$

Can you equip these subspaces of  $\mathbb{C}^2$  with a structure of a Riemann surface?

**Hint:** Have a look at the map  $\mathbb{C} \rightarrow \mathbb{C}^2$ ,  $t \mapsto (t^3, t^2)$ . Study the connected components of  $X_6 \setminus \{(0, 0)\}$ .

**Exercise 4.** 1) Describe all holomorphic functions on  $\hat{\mathbb{C}}$ .

**Hint:** Use the compactness of  $\hat{\mathbb{C}}$  and your knowledge about bounded holomorphic functions on the complex plane  $\mathbb{C}$ .

2) Let  $\Gamma$  be a lattice in  $\mathbb{C}$ . Can you describe all holomorphic functions on the torus  $\mathbb{C}/\Gamma$  using a similar reasoning as in part 1) of this exercise?

## 2. LECTURE 2

## 2.1. Riemann removable singularities theorem for Riemann surfaces.

**Theorem 2.1** (Riemann removable singularities theorem). *Let  $X$  be a RS. Let  $U \subset X$  be an open subset. Let  $a \in U$ , let  $f \in \mathcal{O}_X(U \setminus \{a\})$  be bounded. Then there exists a unique  $\bar{f} \in \mathcal{O}_X(U)$  such that  $\bar{f}|_{U \setminus \{a\}} = f$ .*

*Proof.* Let  $\varphi : U' \rightarrow V'$  be a chart around  $a$ . Then  $f \circ \varphi^{-1}$  is a holomorphic bounded function on  $\varphi(U' \cap U) \setminus \{\varphi(a)\} \subset \mathbb{C}$ . Therefore, there exists a unique holomorphic function  $F$  on  $\varphi(U' \cap U)$  such that

$$F|_{\varphi(U' \cap U) \setminus \{\varphi(a)\}} = f \circ \varphi^{-1}.$$

Therefore, there is a unique holomorphic function  $g$  on  $U \cap U'$  such that  $g|_{U \cap U' \setminus \{a\}} = f|_{U \cap U' \setminus \{a\}}$ . Hence  $\exists! \bar{f} \in \mathcal{O}_X(U)$  with  $\bar{f}|_{U \setminus \{a\}} = f$ .  $\square$

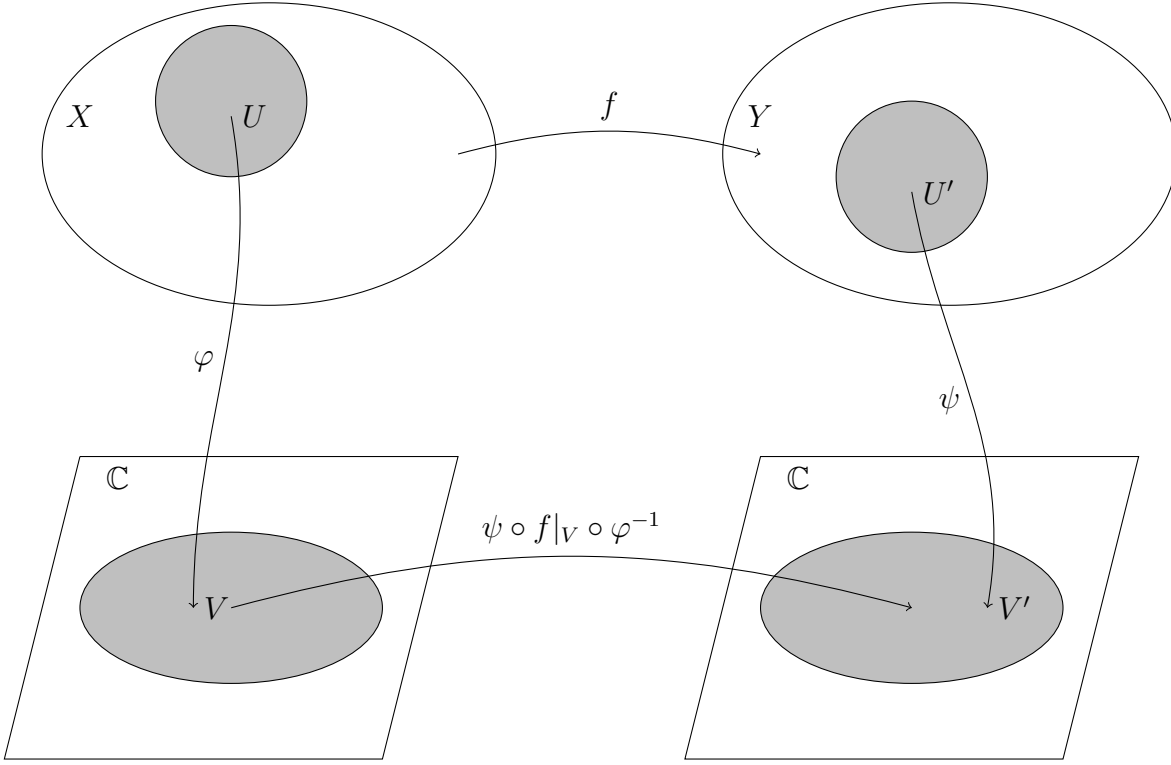
Up to now we defined

- Riemann surfaces;
- for a RS  $X$  the sheaf  $\mathcal{O}_X$  of holomorphic functions on  $X$  (sheaf of  $\mathbb{C}$ -algebras).

In other words, we defined the objects we are going to study.

In order to be able to “compare” the objects, one usually needs morphisms (maps) between them.

**Definition 2.2.** 1) Let  $X$  and  $Y$  be RS. Then a map  $f : X \rightarrow Y$  is called holomorphic if for every charts  $\varphi : U \rightarrow V$  on  $X$  and  $\psi : U' \rightarrow V'$  on  $Y$  with  $f(U) \subset U'$  the composition  $\psi \circ f|_U \circ \varphi^{-1} : V \rightarrow V'$  is a holomorphic map.



2) Equivalently, the map  $f$  is holomorphic if for every open  $U \subset Y$  and for every  $h \in \mathcal{O}_Y(U)$  the function  $f^*h := h \circ f : f^{-1}(U) \rightarrow \mathbb{C}$  belongs to  $\mathcal{O}_X(f^{-1}U)$ .

**Exercise.** Prove the equivalence of the statements of Definition 2.2.

**Convention.** Holomorphic maps of RS and morphisms of RS are just different names for the same notion.

**Remark 2.3.** It follows that the composition of morphisms is a morphism as well. Therefore, Riemann surfaces constitute a full subcategory in the category of complex manifolds.

**Theorem 2.4** (Identity theorem). *Let  $X, Y$  be RS, let  $f_1, f_2 : X \rightarrow Y$  be two morphisms. Let  $A \subset X$  be a subset such that  $A$  contains a limit point  $a$  of itself. If  $f_1|_A = f_2|_A$ , then  $f_1 = f_2$ .*

*Proof.* Let  $S \subset X$  be the set of points  $x \in X$  that have an open neighbourhood  $U \ni x$  such that  $f_1|_U = f_2|_U$ . Then  $S$  is open by the construction. Note that  $S \neq \emptyset$ . Indeed, by the identity theorem for  $\mathbb{C}$ ,  $a \in S$ . Our idea is to show that  $S$  is closed. Then by the connectedness of  $X$  either  $S = X$  or  $S = \emptyset$ , hence  $S = X$  and  $f_1 = f_2$ .

So, let  $b$  be a limit point of  $S$ . Then by the continuity of  $f_1$  and  $f_2$  we conclude that  $f_1(b) = f_2(b)$ . By the identity theorem for  $\mathbb{C}$  we conclude that  $f_1$  and  $f_2$  equal in a neighbourhood of  $b$ , hence  $b \in S$ , which demonstrates that  $S$  is closed.  $\square$

**Example 2.5** (Examples of morphism of RS). 1) The quotient map  $\mathbb{C} \rightarrow \mathbb{C}/\Gamma$ , where  $\Gamma$  is a lattice in  $\mathbb{C}$ , is a holomorphic map.



2) Let  $\Gamma$  and  $\Gamma'$  be two lattices in  $\mathbb{C}$ . Let  $\alpha \in \mathbb{C}^*$  and assume that  $\alpha \cdot \Gamma \subset \Gamma'$ . Then the map

$$\mathbb{C}/\Gamma \rightarrow \mathbb{C}/\Gamma', \quad [z] \mapsto [\alpha z],$$

is a well-defined holomorphic map. Moreover, it is an isomorphism if and only if  $\alpha \cdot \Gamma = \Gamma'$ .

3) The map  $\hat{\mathbb{C}} \rightarrow \hat{\mathbb{C}}$ , given by

$$z \mapsto \begin{cases} \frac{1}{z}, & z \notin \{0, \infty\}, \\ 0, & z = \infty, \\ \infty, & z = 0 \end{cases}$$

is a holomorphic map from  $\hat{\mathbb{C}}$  to  $\hat{\mathbb{C}}$ .

4) Consider two submanifolds  $X_3$  and  $X_2$  of  $\mathbb{C}^2$  from Exercise 3. The map

$$X_3 \rightarrow X_2, \quad (z_1, z_2) \mapsto (z_2^2, z_2)$$

is a morphism of RS.

**Definition 2.6** (Meromorphic functions). 1) Let  $X$  be a RS. Let  $Y \subset X$  be an open subset. A meromorphic function on  $Y$  is by definition a holomorphic function on  $Y \setminus P$ , where  $P \subset Y$  is a subset of isolated points and for every  $p \in P$  the limit  $\lim_{x \rightarrow p} |f(x)|$  exists and equals  $\infty$ .

2) The points of  $P$  are called the poles of  $f$ .

3)  $\mathcal{M}_X(Y)$  denotes the set of meromorphic functions on  $Y \subset X$ .

**Exercise.** Let  $X$  be a Riemann surface and let  $Y$  be an open subset in  $X$ . Check that the set  $\mathcal{M}_X(Y)$  of meromorphic functions on  $Y$  has a natural structure of a  $\mathbb{C}$ -algebra and  $\mathcal{O}_X(Y)$  is naturally included in  $\mathcal{M}_X(Y)$  as a  $\mathbb{C}$ -subalgebra. This also defines a structure of an  $\mathcal{O}_X(Y)$ -module on  $\mathcal{M}_X(Y)$ .

**Example 2.7.** 1) Consider  $Y = \mathbb{C} = \hat{\mathbb{C}} \setminus \{\infty\}$  as an open subset of  $\hat{\mathbb{C}}$  and let  $f$  be the identity function of  $\mathbb{C} \rightarrow \mathbb{C}$ ,  $z \mapsto z$ . Then  $f$  is a holomorphic function on  $Y$ . Since  $\lim_{z \rightarrow \infty} |f(z)| = \lim_{z \rightarrow \infty} |z| = \infty$ , we conclude that  $\text{id}_{\mathbb{C}}$  can be seen as an element of  $\mathcal{M}_{\hat{\mathbb{C}}}(\hat{\mathbb{C}})$ .

2) Let  $f \in \mathbb{C}[z]$  be a polynomial in one variable. One can consider it as a function on  $\mathbb{C}$ . This function is holomorphic. Using arguments similar to the previous ones, one concludes that every polynomial in one variable  $f(z) \in \mathbb{C}[z]$  can be seen as an element of  $\mathcal{M}_{\hat{\mathbb{C}}}(\hat{\mathbb{C}})$ .

**Theorem 2.8.** *Let  $X$  be a RS. There is a 1 : 1 correspondence*

$$\mathcal{M}_X(X) \longleftrightarrow \{\text{morphisms } X \rightarrow \hat{\mathbb{C}} \text{ not identically } \infty\}.$$

*Proof.* “ $\rightarrow$ ”. Let  $f \in \mathcal{M}_X(X)$ . Let  $P$  be the set of poles of  $f$ . Define  $\hat{f} : X \rightarrow \hat{\mathbb{C}}$  by

$$\hat{f}(z) = \begin{cases} f(z), & z \notin P \\ \infty, & \text{otherwise.} \end{cases}$$

Then  $\hat{f}$  is a continuous map (notice that it is enough to check it at poles). So by Riemann removable singularity theorem  $\hat{f}$  is holomorphic.

“ $\leftarrow$ ”. Consider  $g : X \rightarrow \hat{\mathbb{C}}$ . If the set  $g^{-1}(\infty)$  contains a limit point, by identity theorem  $g(z) = \infty$  for all  $x \in X$ , therefore  $g^{-1}(\infty)$  does not contain limit points and hence it is a subset of isolated points. Denote  $f = g|_{X \setminus g^{-1}(\infty)} : X \setminus g^{-1}(\infty) \rightarrow \mathbb{C}$ . This is a holomorphic function on  $X \setminus g^{-1}(\infty)$ . For every  $p \in g^{-1}(\infty)$  one checks  $\lim_{z \rightarrow p} |f(z)| = \infty$ . This means  $f \in \mathcal{M}_X(X)$ .

One sees that the constructed maps are inverse to each other.  $\square$

**Corollary 2.9.** *Non-trivial (non-zero) meromorphic functions may have only isolated zeroes and poles.*

*Proof.* Note that the poles of meromorphic function are isolated by definition.

Assume  $a$  is a non-isolated zero of  $f \in \mathcal{M}_X(X)$ , i. e., there exists a sequence  $a_i$  with  $\lim_{i \rightarrow \infty} a_i = a$  such that  $f(a_i) = 0$ ,  $f(a) = 0$ . Then by the identity theorem  $\hat{f} = 0$  as a morphism  $X \rightarrow \hat{\mathbb{C}}$ . Therefore,  $f = 0$ .  $\square$

**Claim.**  $\mathcal{M}_X(Y)$  is a field.

*Proof.* If  $f \in \mathcal{M}_X(Y)$  such that  $f \neq 0$ , then  $\frac{1}{f} \in \mathcal{M}_X(Y)$  as well since the zeroes of  $f$  become the poles of  $\frac{1}{f}$ .  $\square$

**Example 2.10.** As mentioned in Example 2.7, polynomials in one variable can be seen as meromorphic functions on  $\hat{\mathbb{C}}$ . By the Claim above we conclude that every rational function in one variable  $\frac{f(z)}{g(z)}$ ,  $f, g \in \mathbb{C}[z]$ ,  $g \neq 0$ , can be seen as a meromorphic function on  $\hat{\mathbb{C}}$  as well. So the field of the rational functions in one variable

$$\mathbb{C}(z) := \left\{ \frac{f(z)}{g(z)} \mid f, g \in \mathbb{C}[z] \text{ (polynomials in } z), g \neq 0 \right\}$$

is a subfield in  $\mathcal{M}_{\hat{\mathbb{C}}}(\hat{\mathbb{C}})$ .

**Exercise.** Show that every meromorphic function on  $\hat{\mathbb{C}}$  is rational, i. e.,  $\mathcal{M}_{\hat{\mathbb{C}}}(\hat{\mathbb{C}})$  coincides with  $\mathbb{C}(z)$ .

## 2.2. Exercises.

**Exercise 5** (Examples of morphisms of Riemann surfaces). Check using the definition of a holomorphic map that the following maps between Riemann surfaces are holomorphic.

1) The quotient map  $\mathbb{C} \rightarrow \mathbb{C}/\Gamma$ , where  $\Gamma$  is a lattice in  $\mathbb{C}$ , is a holomorphic map.

2) Let  $\Gamma$  and  $\Gamma'$  be two lattices in  $\mathbb{C}$ . Let  $\alpha \in \mathbb{C}^*$  and assume that  $\alpha \cdot \Gamma \subset \Gamma'$ . Then the map

$$\mathbb{C}/\Gamma \rightarrow \mathbb{C}/\Gamma', \quad [z] \mapsto [\alpha z],$$

is a well-defined holomorphic map. Moreover, it is an isomorphism if and only if  $\alpha \cdot \Gamma = \Gamma'$ .

3) The map  $\hat{\mathbb{C}} \rightarrow \hat{\mathbb{C}}$ , given by

$$z \mapsto \begin{cases} \frac{1}{z}, & z \notin \{0, \infty\}, \\ 0, & z = \infty, \\ \infty, & z = 0 \end{cases}$$

is a holomorphic map from  $\hat{\mathbb{C}}$  to  $\hat{\mathbb{C}}$ .

4) Consider two submanifolds  $X_3$  and  $X_2$  of  $\mathbb{C}^2$  from Exercise 3.

$$X_2 = \{(z_1, z_2) \in \mathbb{C}^2 \mid 3z_1 - 14z_2^2 = 0\}, \quad X_3 = \{(z_1, z_2) \in \mathbb{C}^2 \mid z_1z_2 - 1 = 0\},$$

The map

$$X_3 \rightarrow X_2, \quad (z_1, z_2) \mapsto \left( \frac{14}{3}z_2^2, z_2 \right)$$

is a morphism of RS.

**Exercise 6.** Show that the set of meromorphic functions on  $\hat{\mathbb{C}}$  coincide with the set of rational functions

$$\left\{ \frac{f(z)}{g(z)} \mid f, g \in \mathbb{C}[z] \text{ (polynomials in } z), g \neq 0 \right\}.$$

**Hint:** One could follow the following steps. Let  $F, F \neq 0$ , be a meromorphic function on  $\hat{\mathbb{C}}$ .

- Note that  $F$  has only finitely many zeros and poles.
- There are two possibilities:  $\infty$  is either a pole of  $F$  or not.
- If  $\infty$  is not a pole of  $F$ , consider the poles  $a_1, \dots, a_n$  of  $F$ . Consider the principal parts  $h_\nu$  of  $F$  at  $a_\nu$ ,  $\nu = 1, \dots, n$ , and observe that  $F - \sum_{\nu=1}^n h_\nu$  is a holomorphic function on  $\hat{\mathbb{C}}$ . So it must be constant and hence  $F$  is a rational function.
- If  $\infty$  is a pole of  $F$ , consider the function  $\frac{1}{F}$  and show as above that it is rational.

**Exercise 7.** Let  $\Gamma$  be a lattice in  $\mathbb{C}$ . Then a meromorphic function  $f \in \mathcal{M}_{\mathbb{C}}(\mathbb{C})$  is called doubly periodic (or elliptic) with respect to  $\Gamma$  if  $f(z) = f(z + \gamma)$  for all  $z \in \mathbb{C}$  and for all  $\gamma \in \Gamma$ .

1) Show that there is a one-to-one correspondence between elliptic functions on  $\mathbb{C}$  with respect to  $\Gamma$  and meromorphic functions on  $\mathbb{C}/\Gamma$ .

2) Show that there are only constant holomorphic doubly periodic functions.

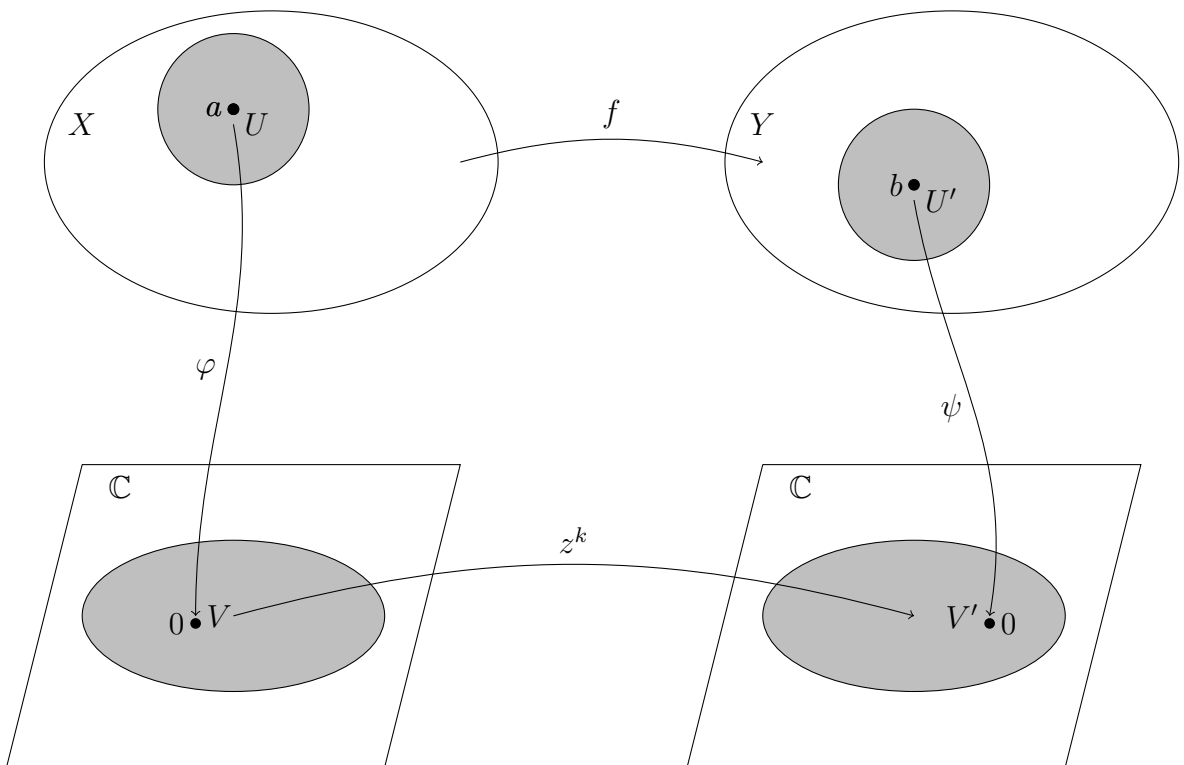
## 3. LECTURE 3

Let us study the local behaviour of holomorphic maps of Riemann surfaces.

**Theorem 3.1** (Local behaviour of holomorphic maps). *Let  $X, Y$  be RS. Let  $f : X \rightarrow Y$  be a non-constant holomorphic map. Let  $a \in X, b := f(a) \in Y$ . Then there exists an integer  $k \geq 1$  such that locally around  $a$  the morphism  $f$  looks as*

$$z \mapsto z^k,$$

i. e., there exist a chart  $U \xrightarrow{\varphi} V, a \in U, \varphi(a) = 0$ , and a chart  $U' \xrightarrow{\psi} V', b \in U', \psi(b) = 0$ , such that  $f(U) \subset U'$  and  $\psi \circ f|_U \circ \varphi^{-1}(z) = z^k$ .



*Proof.* There exists a chart  $\psi : U' \rightarrow V'$  around  $b$  such that  $\psi(b) = 0$ . Then  $f^{-1}(U')$  is open and contains  $a$ .

There exists a chart around  $a$  mapping  $a$  to  $0$ . Intersecting with  $f^{-1}(U')$  we obtain a chart  $\tilde{U} \xrightarrow{\tilde{\varphi}} \tilde{V}$  such that  $f(\tilde{U}) \subset U'$  and  $\tilde{\varphi}(a) = 0$ .

Consider  $\tilde{F} := \psi \circ f \circ \tilde{\varphi}^{-1} : \tilde{V} \rightarrow V'$ . Since  $\tilde{F}(0) = 0$ , one can write  $\tilde{F}$  as  $\tilde{F}(z) = z^k \cdot \tilde{G}(z)$ ,  $\tilde{G}(z) \neq 0$  in a neighbourhood  $W$  of  $0$ . Since  $\tilde{G}(0) \neq 0$ , shrinking  $W$  if necessary we may assume that there exists a holomorphic function  $H$  on  $W$  such that  $H^k(z) = \tilde{G}(z)$ . Indeed, shrinking  $W$  if necessary we may assume that there exists a branch of the complex logarithmic function defined around  $\tilde{G}(W)$ . Then  $H(z) := \exp(\frac{1}{k} \ln \tilde{G}(z))$  has the required property.

We obtain  $\tilde{F}(z) = z^k \cdot H^k(z) = (zH(z))^k$ . Consider  $\xi : W \rightarrow V'$ ,  $z \mapsto zH(z)$ . It is a biholomorphic map between  $W$  (possibly after shrinking  $W$ ) and some neighbourhood of 0 in  $V'$ . Consider  $\varphi : \tilde{\varphi}^{-1}(W) \xrightarrow{\tilde{\varphi}} W \xrightarrow{\xi} V'$ . Then  $\psi f \varphi^{-1}(z) = \psi f \tilde{\varphi}^{-1} \xi^{-1}(z) = \tilde{F}(\xi^{-1}(z)) = (\xi^{-1}(z)H(\xi^{-1}(z)))^k = (\xi(\xi^{-1}(z)))^k = z^k$ .  $\square$

**Definition 3.2.** The number  $k$  from the previous theorem is uniquely determined for a given holomorphic map  $f$  and a given point  $a \in X$ . It is called the multiplicity of  $f$  at the point  $a$  and will be denoted by  $\text{mult}_a f$ .

**Exercise.** Prove that  $\text{mult}_a f$  is well defined.

**Remark 3.3** (Geometrical meaning of  $\text{mult}_a f$ ). In every neighbourhood  $U_0$  of  $a$  there exist a neighbourhood  $U \ni a$  and a neighbourhood  $W \ni b$  such that for every  $y \in W \setminus \{b\}$

$$\#f^{-1}(y) \cap U = k,$$

i. e.,  $U$  contains exactly  $k$  preimages of  $y$ .

**Remark 3.4** (Computation of  $\text{mult}_a f$ ). Note that in order to compute the multiplicity of a holomorphic map at a point it is enough just to go through the first part of the proof of Theorem 3.1 and to find the decomposition  $\tilde{F}(z) = z^k \tilde{G}(z)$ ,  $\tilde{G}(0) \neq 0$ .

**Example 3.5.** 1) Let  $f$  be the identity map  $\hat{\mathbb{C}} \rightarrow \hat{\mathbb{C}}$ . Then  $\text{mult}_a f = 1$  for every  $a \in \hat{\mathbb{C}}$  because  $f$  is bijective. Analogously, since  $\hat{\mathbb{C}} \xrightarrow{g} \hat{\mathbb{C}}$ ,  $g(z) = \frac{1}{z}$ , is bijective, we conclude that  $\text{mult}_a f = 1$  for every  $a \in \hat{\mathbb{C}}$ .

2) Let  $\hat{\mathbb{C}} \xrightarrow{f} \hat{\mathbb{C}}$  be given by  $f(z) = \frac{1}{z^3}$ . Then  $\text{mult}_0 f = 3$  and  $\text{mult}_i f = 1$ .

**Exercise.** Let  $f(z) \in \mathbb{C}[z]$  be a polynomial of degree  $k$ . This gives the holomorphic map

$$\hat{f} : \hat{\mathbb{C}} \rightarrow \hat{\mathbb{C}}, \quad \hat{f}(z) = \begin{cases} f(z), & z \in \mathbb{C} \\ \infty, & z = \infty. \end{cases}$$

Show that  $\hat{f}$  has multiplicity  $k$  at  $\infty$ . What is the multiplicity of  $\hat{f}$  at 0?

**Corollary 3.6.** *Every non-constant holomorphic map of RS  $f : X \rightarrow Y$  is open.*

*Proof.*  $f$  is locally  $z \mapsto z^k$ , which is open. Since being open is a local property,  $f$  is open.  $\square$

**Corollary 3.7.** *Let  $f : X \rightarrow Y$  be an injective morphism of RS. Then  $f : X \rightarrow f(X)$  is biholomorphic.*

*Proof.* Injectivity implies that  $f$  is locally  $z \mapsto z$ . Then the inverse of  $f$  is locally  $z \mapsto z$  and hence it is holomorphic.  $\square$

**Corollary 3.8** (Maximum principle). *Let  $f \in \mathcal{O}_X(X)$  be non-constant. Then  $|f|$  does not have maximum on  $X$ .*

*Proof.* Suppose that  $|f|$  has maximum on  $X$ . Then there exists  $a \in X$  such that

$$|f(a)| = \sup_{x \in X} |f(x)| =: M.$$

Consider  $K := \{z \in \mathbb{C} \mid |z| \leq M\} \subset \mathbb{C}$ .  $K$  is compact. Then  $f(X) \subset K$ , in particular  $f(a) \in K$ . Therefore,  $f(a) \in \partial K$  (boundary of  $K$ ). Since  $f(X)$  is open,  $f(a)$  must be contained in  $K$  with some neighbourhood. This is a contradiction. Hence our assumption was false and  $|f|$  does not have maximum on  $X$ .  $\square$

**Theorem 3.9.** *Let  $X \xrightarrow{f} Y$  be a non-constant morphism of RS. Let  $X$  be compact. Then  $f$  is surjective and  $Y$  is compact as well.*

*Proof.* Since  $f(X)$  is open and compact it is open and closed. Therefore,  $f(X) = Y$  since  $Y$  is connected.  $\square$

**Exercise.** Let  $\Gamma$  be a lattice in  $\mathbb{C}$ . Show that every non-constant elliptic function with respect to  $\Gamma$  attains every value  $b \in \hat{\mathbb{C}}$ .

**Corollary 3.10.** *Let  $X$  be a compact RS. Then  $\mathcal{O}_X(X) = \mathbb{C}$ .*

*Proof.* Let  $f \in \mathcal{O}_X(X)$  and consider it as a holomorphic map  $X \xrightarrow{f} \mathbb{C}$ . If  $f$  is non-constant, then  $\mathbb{C}$  must be compact, which is wrong. So  $f$  is a constant function.  $\square$

**Remark 3.11.** As we saw in Exercise 6 this implies that every meromorphic function on  $\hat{\mathbb{C}}$  is rational.

### 3.1. Exercises.

**Exercise 8.** Let  $X \xrightarrow{f} Y$  be a non-constant holomorphic map of Riemann surfaces and let  $a \in X$ . Show that the multiplicity of  $f$  at  $a$  is uniquely determined, i. e., does not depend on the choice of local charts.

**Hint:** Notice that  $k = \text{mult}_a f$  can be thought of as the smallest  $k$  such that the  $k$ -th derivative of  $F = \psi \circ f \circ \varphi^{-1}$  does not vanish at 0, where  $\varphi$  is a chart around  $a$  and  $\psi$  is a chart around  $b = f(a)$ .

**Exercise 9.** Let  $f(z) \in \mathbb{C}[z]$  be a polynomial of degree  $k$ . This gives a holomorphic map  $\hat{f} : \hat{\mathbb{C}} \rightarrow \hat{\mathbb{C}}$ ,  $\hat{f}(\infty) = \infty$ . Show that  $\hat{f}$  has multiplicity  $k$  at  $\infty$ . What is the multiplicity at 0?

**Exercise 10.** 1) Consider the holomorphic map  $f : \mathbb{C} \rightarrow \mathbb{C}$ ,  $f(z) = z^k$ , where  $k$  is a positive integer. Compute  $\text{mult}_a f$  for an arbitrary  $a \in \mathbb{C}$ .

2) Consider the holomorphic map  $f : \mathbb{C} \rightarrow \mathbb{C}$ ,  $f(z) = (z - 1)^3(z - 2)^7$ . Compute  $\text{mult}_a f$  for an arbitrary  $a \in \mathbb{C}$ .

**Exercise 11.** Let  $\hat{\mathbb{C}} \xrightarrow{f} \hat{\mathbb{C}}$  be a holomorphic map given by

$$f(z) = \frac{(z - 3)^3}{(z + 1)(z - 2)^2}.$$

Compute  $\text{mult}_3 f$ ,  $\text{mult}_{-1} f$ ,  $\text{mult}_2 f$ ,  $\text{mult}_1 f$ .



## 4. LECTURE 4

**Definition 4.1** (Elliptic functions<sup>1</sup>). Let  $\Gamma$  be a lattice in  $\mathbb{C}$ . Then a meromorphic function  $f \in \mathcal{M}_{\mathbb{C}}(\mathbb{C})$  is called doubly periodic (or elliptic) with respect to  $\Gamma$  if  $f(z) = f(z + \gamma)$  for all  $z \in \mathbb{C}$  and for all  $\gamma \in \Gamma$ .

**Claim.** *There is a one-to-one correspondence between elliptic functions on  $\mathbb{C}$  with respect to  $\Gamma$  and meromorphic functions on  $\mathbb{C}/\Gamma$ . In particular there are only constant doubly periodic holomorphic functions on  $\mathbb{C}$ .*

*Proof.* Every elliptic function  $f : \mathbb{C} \rightarrow \hat{\mathbb{C}}$  uniquely factorizes through the canonical projection  $\mathbb{C} \xrightarrow{\pi} \mathbb{C}/\Gamma$  and hence defines a holomorphic map  $\mathbb{C}/\Gamma \rightarrow \hat{\mathbb{C}}$ .

$$\begin{array}{ccc} \mathbb{C} & \xrightarrow{f} & \hat{\mathbb{C}} \\ & \searrow \pi & \nearrow \hat{f} \\ & \mathbb{C}/\Gamma & \end{array}$$

Every holomorphic map  $\hat{f} : \mathbb{C}/\Gamma \rightarrow \hat{\mathbb{C}}$  defines  $f = \hat{f} \circ \pi$ .

This gives the required one-to-one correspondence.  $\square$

**Exercise.** Try to invent a non-trivial elliptic function with respect to a given lattice.

**Definition 4.2.** Let  $X$  be a topological space. Then a path in  $X$  is a continuous map  $\gamma : [0, 1] \rightarrow X$ . The point  $\gamma(0)$  is called the initial point of  $\gamma$ , the point  $\gamma(1)$  is called the end point of  $\gamma$ .

If  $\gamma(0) = \gamma(1)$ , then  $\gamma$  is called a closed path.

**Definition 4.3.** A topological space  $X$  is called path-connected if every two points  $a, b \in X$  can be connected by a path.

**Reminder 4.4.** Path connectedness implies connectedness.

**Exercise.** Riemann surfaces are path connected.

**Definition 4.5.** Two paths  $\gamma, \delta$  from  $a$  to  $b$  are called homotopic if there exists a continuous map

$$H : [0, 1] \times [0, 1] \rightarrow X$$

such that

$$H(t, 0) = \gamma(t), \quad H(t, 1) = \delta(t) \quad \text{for all } t \in [0, 1]$$

---

<sup>1</sup>cf. Exercise 7

$$H(0, s) = a, \quad H(1, s) = b \quad \text{for all } s \in [0, 1].$$

One writes  $\gamma \sim \delta$  if  $\gamma$  and  $\delta$  are homotopic.

**Claim.** *Homotopy is an equivalence relation on the set of all paths from  $a$  to  $b$ .*

**Definition 4.6** (Composition). Let  $X$  be a topological space. Let  $\gamma$  be a path from  $a$  to  $b$ . Let  $\delta$  be a path from  $b$  to  $c$ . Define

$$(\gamma \cdot \delta)(t) = \begin{cases} \gamma(2t), & t \in [0, \frac{1}{2}] \\ \delta(2t - 1), & t \in [\frac{1}{2}, 1]. \end{cases}$$

**Definition 4.7** (Inverse curve). Let  $X$  be a topological space. Let  $\gamma$  be a path from  $a$  to  $b$ . Define

$$\gamma^{-1}(t) = \gamma(1 - t), \quad t \in [0, 1].$$

**Claim.** *The composition of paths and the inverse path are compatible with the homotopy equivalence, i. e., if  $\gamma \sim \gamma'$ ,  $\delta \sim \delta'$ , and if  $\gamma \cdot \delta$ ,  $\gamma' \cdot \delta'$  are well-defined, then*

$$\gamma \cdot \delta \sim \gamma' \cdot \delta', \quad \text{and} \quad \gamma^{-1} \sim \gamma'^{-1}.$$

**Definition-Theorem 4.8** (Fundamental group). Let  $x_0 \in X$ . Let  $\pi_1(X, x_0)$  denote the set of the homotopy classes of closed paths from  $x_0$  to  $x_0$ . Let  $[\gamma]$  denote the homotopy class of  $\gamma$ . Let  $[x_0]$  denote the homotopy class of the constant path

$$[0, 1] \rightarrow X, \quad t \mapsto x_0.$$

Then  $\pi_1(X, x_0)$  is a group with respect to the multiplication

$$[\gamma] \cdot [\delta] := [\gamma \cdot \delta],$$

the constant class  $[x_0]$  is the identity element with respect to this multiplication, for a class  $[\gamma]$  its inverse is given by  $[\gamma]^{-1} = [\gamma^{-1}]$ .

$\pi_1(X, x_0)$  is called the fundamental group of  $X$  with respect to the base point  $x_0$ .

*Proof.* Exercise. □

**Claim.** *If  $a, b \in X$  are connected by a path  $\delta : [0, 1] \rightarrow X$ , then the map*

$$\pi_1(X, a) \rightarrow \pi_1(X, b), \quad [\gamma] \mapsto [\delta^{-1} \cdot \gamma \cdot \delta]$$

*is an isomorphism of groups.*

*Proof.* Exercise. □

**Remark 4.9.** Note that the isomorphism above depends on  $\delta$ . It does not depend on  $\delta$  if and only if  $\pi_1(X, a)$  is an abelian group.

**Definition 4.10.** A path-connected topological space  $X$  is called simply-connected if  $\pi_1(X, a)$  is trivial for some (equivalently: for every)  $a \in X$ . By abuse of notation we write  $\pi_1(X, a) = 0$  to say that  $\pi_1(X, a)$  is trivial.

**Remark 4.11.** 1) The fundamental group is functorial. Namely, every continuous map  $f : X \rightarrow Y$ , induces a homomorphism of groups

$$f_* : \pi_1(X, x_0) \rightarrow \pi_1(Y, f(x_0)), \quad [\gamma] \mapsto f_*([\gamma]) := [f \circ \gamma]$$

such that for two continuous maps

$$X \xrightarrow{f} Y \xrightarrow{g} Z$$

it holds

$$(g \circ f)_* = g_* \circ f_*.$$

2) In particular this implies that homeomorphic path-connected topological spaces have isomorphic fundamental groups. Therefore,  $\pi_1(X, a)$  (its isomorphism class to be more precise) is a topological invariant.

**Claim.** *Two non-homeomorphic compact RS have different fundamental groups.*

*Explanation.* Compact RS are orientable compact 2-dimensional real manifolds, i. e, surfaces. The latter are completely classified up to a homeomorphism.

Namely, for every non-negative integer  $p$  there is exactly one homeomorphism class.

For  $p = 0$ ,  $X \cong \hat{\mathbb{C}} \cong \mathbb{S}^2$ , the corresponding fundamental group  $\pi_1(X)$  is trivial.

For  $p \geq 1$ , the fundamental group of  $X$  can be described as

$$\pi_1(X) \cong \langle a_1, \dots, a_p, b_1, \dots, b_p \mid \prod_i a_i b_i a_i^{-1} b_i^{-1} = 1 \rangle.$$

We will discuss it in more details in the next lecture. □

#### 4.1. Exercises.

**Exercise 12.** Show that Riemann surfaces are path-connected.

**Hint:** For a point  $x_0$  of a Riemann surface  $X$  consider the set  $S$  of all points that can be connected with  $x_0$  by a path. Show that  $S$  is non-empty, closed and open.

**Exercise 13.** 1) Let  $a$  and  $b$  be two points in a topological space  $X$ . Check that the homotopy is an equivalence relation on the set of all curves from  $a$  to  $b$ .

2) Fill in the gaps and check the technical details in the definition of the fundamental group from the lecture. You may consult the *Algebraic topology* book of Allen Hatcher [8].

**Exercise 14.** 0) Let  $X$  be an open disc in  $\mathbb{C}$  of radius 1 with centre at zero. Show that  $\pi_1(X, 0) = 0$ .

1) Show that the fundamental group of  $\hat{\mathbb{C}}$  is trivial. Consult the *Algebraic topology* book of Allen Hatcher [8] for some technical details.

2) Compute the fundamental group of a complex torus  $\mathbb{C}/\Gamma$ . Use that  $\pi_1(\mathbb{S}^1) \cong \mathbb{Z}$  and the fact that the fundamental group of the product of two path-connected topological spaces  $X$  and  $Y$  is naturally isomorphic to the product of the corresponding fundamental groups:

$$\pi_1(X \times Y) \cong \pi_1(X) \times \pi_1(Y).$$

**Exercise 15.** The so called uniformization theorem states that up to an isomorphism there are only 3 simply-connected Riemann surfaces, namely  $\hat{\mathbb{C}}$ ,  $\mathbb{C}$ , and the open disc in  $\mathbb{C}$ .

Let  $\mathbb{H} = \{z \in \mathbb{C} \mid \text{Im } z > 0\}$  be the upper half plane. Show that  $\mathbb{H}$  is simply-connected and find out to which isomorphism class it belongs.

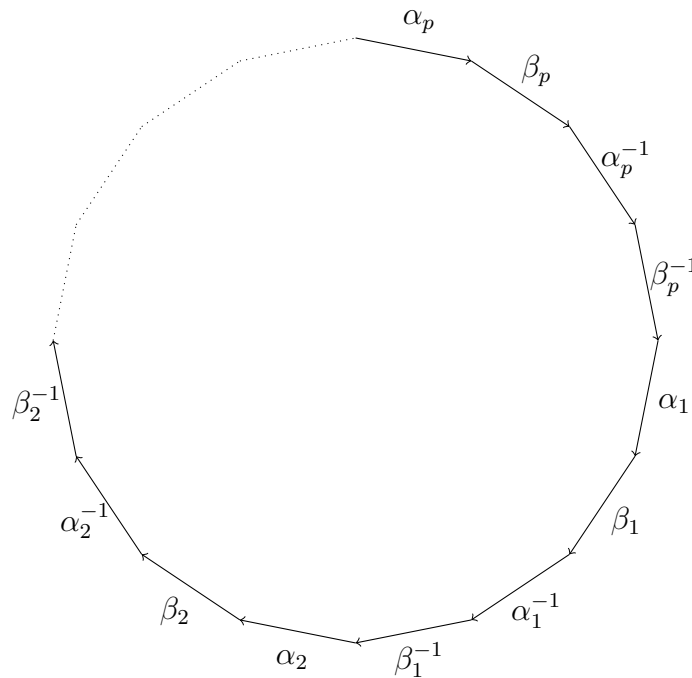
**Hint:** It may help looking at the meromorphic function  $\frac{z-i}{iz-1}$  on  $\mathbb{C}$ .

## 5. LECTURE 5

Last time we claimed that for every non-negative integer  $p$  there is exactly one homeomorphism class of 2-dimensional real oriented compact connected manifolds.

*Explanation.* For  $p = 0$ ,  $X \cong \hat{\mathbb{C}} \cong \mathbb{S}^2$ , the corresponding fundamental group  $\pi(X)$  is trivial.

For  $p \geq 1$ ,  $X$  is obtained as a result of gluing of a regular  $4p$ -gon along its sides as shown in the following picture.



Each edge can be seen as a path on a plain. The initial and the end points are indicated by arrows. For every  $i$  one glues together inverting the orientations the edges  $\alpha_i$  with the edges  $\alpha_i^{-1}$  and the edges  $\beta_i$  with the edges  $\beta_i^{-1}$ .

This means that the initial point of the edge labeled by  $\alpha_i$  or  $\beta_i$  is glued together with the end point of the edge labeled  $\alpha_i^{-1}$  or  $\beta_i^{-1}$  respectively.

Analogously, the end point of the edge labeled by  $\alpha_i$  or  $\beta_i$  is glued together with the initial point of the edge labeled  $\alpha_i^{-1}$  or  $\beta_i^{-1}$  respectively.

The images of  $\alpha_1, \dots, \alpha_p, \beta_1, \dots, \beta_p$  in  $X$  are denoted by abuse of notations by the same symbols. Then the path  $\alpha_i^{-1}$  is indeed the inverse path to  $\alpha_i$  and the path  $\beta_i^{-1}$  is indeed the inverse path to  $\beta_i$ . Notice that each of these paths becomes a closed path at the same point (the one obtained by gluing all the vertices of the  $4p$ -gon).

The fundamental group of  $X$  is generated by

$$\{[\alpha_1], \dots, [\alpha_p], [\beta_1], \dots, [\beta_p]\}$$

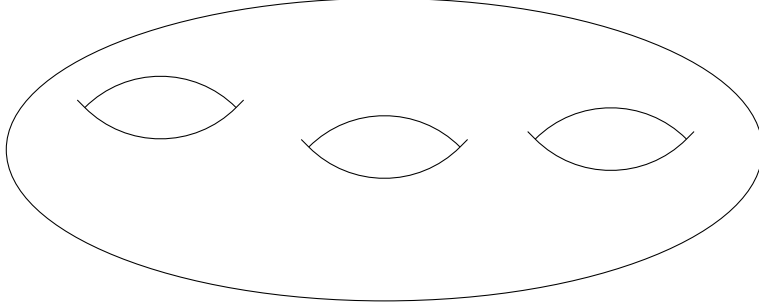
with the only relation

$$\prod_i [\alpha_i][\beta_i][\alpha_i]^{-1}[\beta_i]^{-1} = 1,$$

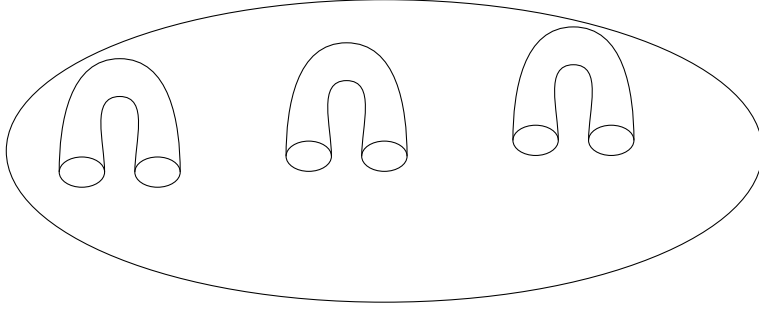
i. e.,

$$\pi_1(X) \cong \langle a_1, \dots, a_p, b_1, \dots, b_p \mid \prod_i a_i b_i a_i^{-1} b_i^{-1} = 1 \rangle.$$

In this case  $X$  is homeomorphic to a pretzel with  $p$  holes



or equivalently to a sphere with  $p$  handles.



The relation between the generators mentioned above can be understood in the following way. Let  $P$  denote the regular  $4p$ -gon on a plane mentioned above. Consider the closed path

$$\gamma = \alpha_1 \cdot \beta_1 \cdot \alpha_1^{-1} \cdot \beta_1^{-1} \cdot \alpha_2 \cdot \beta_2 \cdot \alpha_2^{-1} \cdot \beta_2^{-1} \cdot \dots \cdot \alpha_p \cdot \beta_p \cdot \alpha_p^{-1} \cdot \beta_p^{-1}$$

Then it is contractible (in  $P$ ), i. e., homotopic to a constant path.

Let  $X$  be the topological space obtained as a gluing of the edges of  $P$  as explained above. Consider the corresponding quotient map  $P \rightarrow X$ , which is continuous by the definition of quotient topology. By composing the homotopy contracting  $\gamma$  to a constant path with the quotient map  $P \rightarrow X$  we conclude that the image of  $\gamma$  in  $X$  is contractible as well, which gives  $\prod_i [\alpha_i][\beta_i][\alpha_i]^{-1}[\beta_i]^{-1} = 1$ .  $\square$

**Exercise.** Compute  $\pi_1(\hat{\mathbb{C}})$ ,  $\pi_1(\mathbb{C}/\Gamma)$ , where  $\Gamma \subset \mathbb{C}$  is a lattice.

**Definition 5.1.** Let  $f : X \rightarrow Y$  be a non-constant holomorphic map. Then  $x \in X$  is called a ramification point of  $f$  if there is no neighborhood  $U$  of  $x$  such that  $f|_U$  is injective.

One says that  $f$  is unramified if it has no ramification points.

**Remark 5.2.** Ramification points are those with multiplicities  $\text{mult}_x f > 1$ . This follows immediately from Theorem 3.1.

**Corollary 5.3.** *A non-constant holomorphic map of RS  $f : X \rightarrow Y$  is unramified if and only if it is a local homeomorphism.*

**Example 5.4.** 1)  $\mathbb{C} \rightarrow \mathbb{C}, z \mapsto z^k$ . Here 0 is the only ramification point.

2)  $\mathbb{C} \xrightarrow{\text{exp}} \mathbb{C}^*$  is unramified.

3) The standard projection  $\mathbb{C} \rightarrow \mathbb{C}/\Gamma$  is unramified for every lattice  $\Gamma \subset \mathbb{C}$ .

**Theorem 5.5.** *Let  $f : X \rightarrow Y$  be a non-constant holomorphic map of compact RS. Then for every  $y \in Y$  its preimage  $f^{-1}(y)$  is a finite set and the number*

$$d_y(f) := \sum_{x \in f^{-1}(y)} \text{mult}_x f$$

*does not depend on  $y$ .*

**Corollary 5.6.** *If  $Y = \hat{\mathbb{C}}$ , then  $f : X \rightarrow \hat{\mathbb{C}}$  is a meromorphic function and the number of zeroes of  $f$  is equal to the number of poles of  $f$  (counted with multiplicities).*

**Definition 5.7.** In the notations of Theorem 5.5 the number  $d(f) := d_y(f)$  (for some/every  $y \in X$ ) is called the degree of  $f : X \rightarrow Y$ .

**Example 5.8.** Consider the meromorphic function  $f(z) = \frac{(z-2)}{(z-3)^2(z-7)^3}$  on  $\hat{\mathbb{C}}$ . Let us compute the number of zeroes of this function with multiplicities and thus the degree of the corresponding holomorphic map  $\hat{\mathbb{C}} \xrightarrow{\hat{f}} \hat{\mathbb{C}}$ .

Note that  $\hat{f}^{-1}(0) = \{2, \infty\}$ . Since

$$f(z) = (z-2) \cdot \frac{1}{(z-3)^2(z-7)^3}$$

and since  $\frac{1}{(z-3)^2(z-7)^3}$  does not vanish at  $z = 2$ , one concludes

$$\text{mult}_2 \hat{f} = 1.$$

Since

$$f(z) = \left(\frac{1}{z}\right)^4 \cdot \frac{z^4(z-2)}{(z-3)^2(z-7)^3}$$

and  $\frac{z^4(z-2)}{(z-3)^2(z-7)^3}$  does not vanish at  $\infty$ , we get

$$\text{mult}_\infty \hat{f} = 4.$$

Therefore,  $d_0(\hat{f}) = \text{mult}_2 \hat{f} + \text{mult}_\infty \hat{f} = 1 + 4 = 5$  and hence  $d(\hat{f}) = 5$ .

Notice that the set of poles of  $f$  is  $\{3, 7\}$ . Since  $\text{mult}_3(\hat{f}) = 2$  and  $\text{mult}_7(\hat{f}) = 3$ , we get

$$\text{mult}_3(\hat{f}) + \text{mult}_7(\hat{f}) = 2 + 3 = 5 = 1 + 4 = \text{mult}_2(\hat{f}) + \text{mult}_\infty(\hat{f}),$$

which illustrates the statement of Corollary 5.6.

**Corollary 5.9.** *Let  $f \in \mathcal{M}(\mathbb{C}/\Gamma)$  be a non-constant meromorphic function on a torus. Then  $f$  has at least 2 poles (counted with multiplicities).*

*Proof.* Suppose  $f$  has less than 2 poles.

- 1) If  $f$  does not have poles at all, then  $f$  is a holomorphic function and hence by Corollary 3.10  $f$  is constant, which is a contradiction.
- 2) If  $f$  has only one pole, then for the corresponding holomorphic map  $X \xrightarrow{\hat{f}} \hat{\mathbb{C}}$  the point  $\infty \in \hat{\mathbb{C}}$  has only one preimage. Therefore, for an arbitrary point  $p \in \hat{\mathbb{C}}$

$$\#\hat{f}^{-1}(p) = \#\hat{f}^{-1}(\infty) = 1,$$

which means that  $\hat{f} : X \rightarrow \hat{\mathbb{C}}$  is a bijection. Hence  $\hat{f}$  is an isomorphism of RS (cf. Corollary 3.7 and Theorem 3.9). In particular  $X$  and  $\hat{\mathbb{C}}$  must be homeomorphic as topological spaces, which is not true, since, for example, they have non-isomorphic fundamental groups.

□

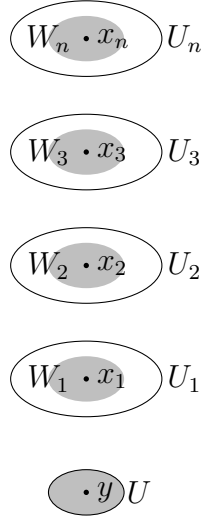
**Remark 5.10.** In fact, we showed even more. Namely, on every compact RS non-isomorphic to  $\hat{\mathbb{C}}$ , non-constant meromorphic functions must have at least 2 poles.

*Proof of Theorem 5.5.* First of all notice that  $f^{-1}(y)$  must be a discrete set because of the Identity theorem (Theorem 2.4). Since  $X$  is compact, it must be finite (again by the Identity theorem). Consider now the function

$$Y \rightarrow \mathbb{Z}, \quad y \mapsto d_y(f).$$

We shall show that this function is locally constant. Since  $Y$  is connected, it would imply that  $d_y(f)$  is a constant function.





Let  $y \in Y$ . Let  $f^{-1}(y) = \{x_1, \dots, x_n\}$ . Put  $m_i = \text{mult}_{x_i} f$ . For every  $i = 1, \dots, n$ , let  $U_i$  be an open neighbourhood of  $x_i$  such that  $f|_{U_i} : U_i \rightarrow f(U_i)$  is of the form  $z \mapsto z^{m_i}$  (in appropriate charts). Shrinking  $U_i$ , we can assume that  $U_i \cap U_j = \emptyset$  for  $i \neq j$ .

Since  $X$  is compact,  $f$  is a closed map, i. e., the image of a closed set is closed. Therefore,  $f(X \setminus \coprod_{i=1}^n U_i)$  is closed. Since  $y$  lies in its complement, which is open, there exists an open set  $U$ ,  $y \in U$ , such that  $U \subset Y \setminus f(X \setminus \coprod_{i=1}^n U_i)$ . This implies that  $f^{-1}(U) \subset \coprod_{i=1}^n U_i$ .

Put  $W_i = f^{-1}(U) \cap U_i$ , then  $f^{-1}(U) = \coprod_{i=1}^n W_i$ .

For every  $p \in U \setminus \{y\}$ , and for every  $x \in f^{-1}(p)$  the multiplicity  $\text{mult}_x f$  equals 1. Therefore,

$$d_p(f) = \sum_{x \in f^{-1}(p)} \text{mult}_x f = \sum_{i=1}^n \#(f^{-1}(p) \cap W_i) = \sum_{i=1}^n m_i.$$

On the other hand  $d_y(f) = \sum_{i=1}^n m_i$  as well.

This shows that  $d_p(f)$  is constant on  $U$ , so it is locally constant and hence constant, which concludes the proof.  $\square$

## 5.1. Exercises.

**Exercise 16.** Compute the degrees  $d(\hat{f})$ ,  $d(\hat{g})$  of the holomorphic maps  $\hat{\mathbb{C}} \rightarrow \hat{\mathbb{C}}$  corresponding to the following meromorphic functions on  $\hat{\mathbb{C}}$ :

$$f(z) = \frac{(z - 17)^2}{z^{13} + 2}, \quad g(z) = \frac{(z - 1)^3}{z^2 + 11}.$$

**Exercise 17.** As we already know every meromorphic function  $f$  on  $\hat{\mathbb{C}}$  is rational, i. e.,

$$f(z) = \frac{P(z)}{Q(z)}, \quad P(z), Q(z) \in \mathbb{C}[z], \quad Q(z) \neq 0.$$

Show that the degree of the corresponding holomorphic map  $\hat{f} : \hat{\mathbb{C}} \rightarrow \hat{\mathbb{C}}$  equals

$$\max\{\deg P, \deg Q\}.$$

**Exercise 18.** Find all ramification points of the morphism  $\hat{g}$  from Exercise 16.

**Exercise 19.** 1) Let  $a$  be a complex number. Let  $f$  be a meromorphic function on  $\hat{\mathbb{C}}$  with the only pole of multiplicity 1 at  $a$ . Show that

$$f(z) = \mu + \frac{\lambda}{z - a}$$

for some non-zero complex number  $\lambda$  and some  $\mu \in \mathbb{C}$ .

2) Consider the meromorphic function  $f(z) = \frac{\cos(z)}{z}$  on  $\mathbb{C}$ . Find all zeroes and poles of  $f$  and the corresponding multiplicities. Compare your results with the statements from the last lecture.

## 6. LECTURE 6

6.1. **Divisors.** Let  $X$  be a compact RS.

**Definition 6.1.** Let  $\text{Div}(X)$  be the free abelian group generated by the points of  $X$ . It is called the divisor group of  $X$ .

Elements of  $\text{Div}(X)$  are linear combinations

$$\sum_{x \in X} n_x \cdot x, \quad n_x \in \mathbb{Z}, \quad \text{finitely many } n_x \neq 0.$$

For a divisor

$$D = \sum_{x \in X} n_x \cdot x$$

let  $D(x) := n_x$ . This way, one can identify divisors with the functions  $X \rightarrow \mathbb{Z}$  with finite support.

Let  $\deg D = \sum_{x \in X} n_x$  be the degree of  $D$ .

Notice that

$$\deg : \text{Div } X \rightarrow \mathbb{Z}, \quad D \mapsto \deg D$$

is a group homomorphism. Its kernel consists of all divisors of degree zero and is denoted by  $\text{Div}^0(X)$ .

Let  $f \in \mathcal{M}_X(X)$  be a non-zero meromorphic function. Identify  $f$  with the corresponding holomorphic map  $X \rightarrow \hat{\mathbb{C}}$  and for  $p \in X$  define

$$\text{ord}_p f := \begin{cases} \text{mult}_p f, & \text{if } f(p) = 0 \\ -\text{mult}_p f, & \text{if } f(p) = \infty \\ 0, & \text{otherwise.} \end{cases}$$

Notice that this definition implies  $\text{mult}_p \lambda = 0$  for a non-zero constant function  $\lambda \in \mathbb{C}^*$ . It is useful to put  $\text{ord}_p 0 = \infty$ .

The number  $\text{ord}_p f$  is called the order of  $p$  with respect to  $f$ . So the points with positive order are zeros of  $f$ , the points with negative order are poles of  $f$ , and the points with zero order are neither zeroes nor poles of  $f$ .

**Definition 6.2.** For a meromorphic non-zero function  $f \in \mathcal{M}_X(X)$  put

$$(f) := \sum_{x \in X} (\text{ord}_x f) \cdot x \in \text{Div } X.$$

Divisors of this form are called principal divisors.

**Remark 6.3.** Notice that  $(f)$  keeps all the information about the zeroes and the poles of  $f$ .

**Observation.**  $(f \cdot g) = (f) + (g)$ ,  $(1/f) = -(f)$ .

Therefore, the set of the principal divisors is a subgroup in  $\text{Div } X$ , it is denoted by  $\text{PDiv } X$ . Since by Theorem 5.5  $d_0(f) = d_\infty(f)$ , we conclude that  $\deg(f) = 0$  for every meromorphic function  $f$  on  $X$ . Therefore,  $\text{PDiv } X$  is a subgroup of  $\text{Div}^0(X)$  and we have an inclusion of groups

$$\text{PDiv } X \subset \text{Div}^0 X \subset \text{Div } X.$$

The quotient group

$$\text{Pic}(X) := \text{Div } X / \text{PDiv } X$$

is called the Picard group of  $X$ . Its elements are called divisor classes.

The group

$$\text{Pic}^0(X) := \text{Div}^0 X / \text{PDiv } X,$$

which is a subgroup of  $\text{Pic } X$ , is called the restricted Picard group.

We say that two divisors  $D$  and  $D'$  are linearly equivalent and write  $D \sim D'$  if  $D$  and  $D'$  represent the same element in  $\text{Pic } X$ , i. e., if  $D - D' = (f)$  for some meromorphic function  $f$ .

Since  $\text{PDiv } X$  lies in the kernel of the degree homomorphism, we get a factorization homomorphism

$$\text{Pic } X \rightarrow \mathbb{Z}, \quad [D] \mapsto \deg D,$$

which is denoted (by abuse of notation) by  $\deg$  as well.

$$\begin{array}{ccc} \text{Div } X & \xrightarrow{\deg} & \mathbb{Z} \\ & \searrow & \nearrow \exists! \deg \\ & \text{Pic } X & \end{array}$$

Let  $D, D' \in \text{Div } X$ . Then we say  $D \geq D'$  or  $D' \leq D$  if

$$D(x) \geq D'(x) \text{ for all } x \in X.$$

Let  $D \in \text{Div } X$ , let  $U \subset X$  be open. Put

$$\mathcal{O}_D(U) := \mathcal{O}_X(D)(U) := \{f \in \mathcal{M}_X(U) \mid \text{ord}_x f \geq -D(x) \text{ for all } x \in U\}.$$

This defines a sheaf on  $X$ , denoted by  $\mathcal{O}_X(D)$ . This is a sheaf of  $\mathcal{O}_X$ -modules, in particular this means that  $\mathcal{O}_X(D)(U)$  is an  $\mathcal{O}_X(U)$  module for every open  $U \subset X$ .

Indeed, for  $f \in \mathcal{O}_X(D)(U)$  and  $u \in \mathcal{O}_X(U)$ , it holds  $\text{ord}_x(uf) = \text{ord}_x u + \text{ord}_x f$ . Since  $\text{ord}_x u \geq 0$ , one concludes that  $\text{ord}_x(uf) \geq \text{ord}_x f \geq -D(x)$ , i. e.,  $u \cdot f \in \mathcal{O}_X(D)(U)$ .

If  $V \subset U$  are two open sets, then there is a restriction homomorphism

$$\mathcal{O}_X(D)(U) \rightarrow \mathcal{O}_X(D)(V), \quad f \mapsto f|_V$$

compatible with the module structure, i. e.,

$$(u \cdot f)|_V = u|_V \cdot f|_V, \quad u \in \mathcal{O}_X(U), f \in \mathcal{O}_X(D)(U).$$

**Remark 6.4.**  $\mathcal{O}_X(0) = \mathcal{O}_X$ , i. e.,  $\mathcal{O}_X(0)(U) = \mathcal{O}_X(U)$  for all open subsets  $U \subset X$ .

**Proposition 6.5.** Let  $D, D' \in \text{Div } X$ . Assume  $D \sim D'$ , then the sheaves of  $\mathcal{O}_X$ -modules  $\mathcal{O}_X(D)$  and  $\mathcal{O}_X(D')$  are isomorphic.

**Remark 6.6.**  $\mathcal{O}_X(D) \cong \mathcal{O}_X(D)$  means that for every open  $U \subset X$  there exists an isomorphism of  $\mathcal{O}_X(U)$ -modules

$$\mathcal{O}_X(D)(U) \xrightarrow{\eta(U)} \mathcal{O}_X(D')(U)$$

compatible with the restriction maps, i. e., for an inclusion of open sets  $W \subset U \subset X$

$$\eta(U)(s)|_W = \eta(W)(s|_W) \quad \text{for every } s \in \mathcal{O}_X(D)(U),$$

or, equivalently, there is the commutative diagram

$$\begin{array}{ccc} \mathcal{O}_X(D)(U) & \xrightarrow{\eta(U)} & \mathcal{O}_X(D')(U) \\ \downarrow \rho_{UW} & & \downarrow \rho_{UW} \\ \mathcal{O}_X(D)(W) & \xrightarrow{\eta(W)} & \mathcal{O}_X(D')(W), \end{array}$$

where  $\rho_{UW}$  denotes the restriction map  $s \mapsto s|_W$ .

*Proof of Proposition 6.5.*  $D \sim D'$  means  $D - D' = (s)$  for some  $s \in \mathcal{M}_X(X)$ . Then for every open  $U \subset X$  and  $f \in \mathcal{O}_X(D)(U)$  (i. e.  $\text{ord}_x f \geq -D(x)$  for all  $x \in X$ ) we conclude that

$$\text{ord}_x(s|_U \cdot f) = \text{ord}_x(s) + \text{ord}_x f \geq \text{ord}_x s - D(x) = \text{ord}_x s - (D' + (s))(x) = -D'(x)$$

and hence the map

$$\mathcal{O}_X(D)(U) \xrightarrow{\eta(U)} \mathcal{O}_X(D')(U), \quad f \mapsto s|_U \cdot f$$

is well defined. One sees that it is an homomorphism of  $\mathcal{O}_X(U)$ -modules and it possesses the inverse map given by  $g \mapsto s^{-1}|_U \cdot g$ . Therefore,  $\eta(U)$  is an isomorphism. The compatibility with the restrictions follows as well.  $\square$

**Remark 6.7.** Even more is true. Let  $D, D' \in \text{Div } X$ . Then the sheaves of  $\mathcal{O}_X$ -modules  $\mathcal{O}_X(D)$  and  $\mathcal{O}_X(D')$  are isomorphic if and only if  $D \sim D'$ .

**Exercise.** Try to prove this. You could follow the following steps.

- 1) Notice that for small enough  $U \subset X$  the  $\mathcal{O}_X(U)$ -module  $\mathcal{O}_X(D)(U)$  is isomorphic to  $\mathcal{O}_X(U)$ .
- 2) Let  $R$  be an arbitrary  $\mathbb{C}$ -algebra. Notice that defining a homomorphism of  $R$ -modules  $R \rightarrow R$  is equivalent to choosing  $r \in R$  (the image of  $1 \in R$ ).
- 3) Using the previous observations show that every isomorphism  $\eta(U) : \mathcal{O}_X(D)(U) \rightarrow \mathcal{O}_X(D')(U)$  is of the form  $f \mapsto s \cdot f$ ,  $s \in \mathcal{M}_X(U)$ , for small enough  $U$ .
- 4) Analyze the situation and obtain the required statement.

**Definition 6.8.** Let  $D \in \text{Div } X$ . Then

$$\mathcal{L}(D) := \mathcal{O}_X(D)(X) = \{f \in \mathcal{M}_X(X) \mid \text{ord}_x f \geq -D(x)\} = \{f \in \mathcal{M}_X(X) \mid (f) \geq -D\} \cup \{0\}$$

is called the Riemann-Roch space of  $D$ . It is a vector space over  $\mathbb{C}$ .

**Example 6.9.** 1) Let  $D = a$  for some  $a \in X$ . Then

$$\mathcal{L}(D) = \{f \in \mathcal{M}_X(X) \mid (f) \geq -a\} = \left\{ f \in \mathcal{M}_X(X) \left| \begin{array}{l} f \text{ has at most 1 pole of multiplicity 1} \\ \text{and this pole can only be at } a \end{array} \right. \right\}.$$

2) Let  $D = n \cdot a$  for some  $a \in X$  and a positive integer  $n$ . Then

$$\mathcal{L}(D) = \{f \in \mathcal{M}_X(X) \mid (f) \geq -n \cdot a\} = \left\{ f \in \mathcal{M}_X(X) \left| \begin{array}{l} f \text{ has at most 1 pole of multiplicity at} \\ \text{most } n \text{ and this pole can only be at } a \end{array} \right. \right\}.$$

3) Let  $D = -n \cdot a$  for some  $a \in X$  and a positive integer  $n$ . Then

$$\mathcal{L}(D) = \{f \in \mathcal{M}_X(X) \mid (f) \geq n \cdot a\} = \left\{ f \in \mathcal{M}_X(X) \left| \begin{array}{l} f \text{ does not have any poles and must} \\ \text{have a zero of multiplicity at least } n \text{ at} \\ a \end{array} \right. \right\}.$$

## 6.2. Exercises.

**Exercise 20.** Compute the principal divisors  $(f)$ ,  $(g)$  of the following meromorphic functions on  $\hat{\mathbb{C}}$  (cf. Exercise 16):

$$f(z) = \frac{(z-17)^2}{z^{13}+2}, \quad g(z) = \frac{(z-1)^3}{z^2+11}.$$

**Exercise 21.** Show that  $\text{Pic}^0 \hat{\mathbb{C}} = 0$ , i. e.,  $\text{PDiv } X = \text{Div}^0 X$ . Conclude that  $\text{Pic } \hat{\mathbb{C}} \cong \mathbb{Z}$ .

**Exercise 22.** Let  $X = \hat{\mathbb{C}}$ .

1) Compute the Riemann-Roch space  $\mathcal{L}_{\hat{\mathbb{C}}}(D)$  for

$$D = n \cdot p, \quad p = 0 \in X, \quad n \in \mathbb{Z}.$$

2) Notice that Exercise 21 says that two divisors on  $\hat{\mathbb{C}}$  are linearly equivalent if and only if they have the same degree, in particular for every divisor  $D$  on  $\hat{\mathbb{C}}$  and every  $p \in \hat{\mathbb{C}}$

$$D \sim \deg D \cdot p.$$

In the lecture we mentioned that two linearly equivalent divisors have isomorphic Riemann-Roch spaces. If  $D - D' = (s)$  for some  $s \in \mathcal{M}_X(X)$ , then the isomorphism is given by

$$\mathcal{L}(D) \rightarrow \mathcal{L}(D'), \quad f \mapsto s \cdot f.$$

Using this and your computations from part 1) of this exercise compute the Riemann-Roch spaces  $\mathcal{L}(D)$  for the following divisors.

$$D = p, \quad p = 5 + 2i;$$

$$D = p - q, \quad p = 3, q = 4 - i;$$

$$D = 2p + 3q - 18r, \quad p = 6 - 2i, q = 47i, r = 356 - 3i;$$

$$D = 2 \cdot x_1 + 8 \cdot x_2 - 6 \cdot x_3 - 3 \cdot x_4, \quad x_1 = 11i, \quad x_2 = (2 - i), \quad x_3 = 44, \quad x_4 = \infty.$$

3) Check which of the following divisors on  $\hat{\mathbb{C}}$  are linearly equivalent and describe the isomorphisms of the corresponding Riemann-Roch spaces for the pairs of linearly equivalent divisors.

$$D_1 = 3 \cdot (5 + 8i) + 27 \cdot (1 - i) - 6 \cdot (8i), \quad D_2 = 5 \cdot (i), \quad D_3 = 7 \cdot (28 + 3i) - 1 \cdot (i) - 1 \cdot (48),$$

$$D_4 = 4 \cdot (18) + 20 \cdot (33i), \quad D_5 = 3 \cdot (16 + 11i).$$

**Exercise 23.** Consider the complex torus  $X = \mathbb{C}/\Gamma$ ,  $\Gamma = \mathbb{Z} + \mathbb{Z} \cdot 3i$ . Compute  $\mathcal{L}(D)$  for

$$D = p, \quad p = [4 + 5i] \in X;$$

$$D = p - q, \quad p = [8], q = [2i].$$

## 7. LECTURE 7

It turns out that the Riemann-Roch spaces are finite dimensional.

**Theorem 7.1.**  $\dim \mathcal{L}(D) < \infty$  for all  $D \in \text{Div } X$ .

**Notation.**  $l(D) := \dim_{\mathbb{C}} \mathcal{L}(D)$ .

*Proof. Idea.* We are going to follow the following steps.

- 1)  $l(D) = 0$  for  $D$  with  $\deg D < 0$ ,  $l(0) = 1$ .
- 2) For  $D' = D + a$  for some  $a \in X$  there is an inclusion of vector spaces  $\mathcal{L}(D) \subset \mathcal{L}(D')$  and  $\dim \mathcal{L}(D')/\mathcal{L}(D) \leq 1$ .
- 3) Hence, by induction,  $\dim \mathcal{L}(D) < \infty$  for every divisor  $D$ .

**Details.**

- 1) Let  $\deg D < 0$ . Assume  $l(D) \neq 0$ , then  $\mathcal{L}(D) \neq 0$ . Take some non-zero  $f \in \mathcal{L}(D) \subset \mathcal{M}_X(X)$ . Then  $(f) \geq -D$  and in particular  $\deg f \geq \deg(-D) = -\deg D > 0$ . This is a contradiction.

Since  $\mathcal{L}(0) = \mathcal{O}_X(X) = \mathbb{C}$ , one gets  $l(0) = 1$ .

This gives a basis of the induction.

- 2) Let  $D \in \text{Div } X$ , let  $a \in X$ , let  $D' = D + a$ . Then  $D' \geq D$  and hence  $-D(x) \geq -D'(x)$  and  $\mathcal{L}(D) \subset \mathcal{L}(D')$ .

Choose a chart  $\varphi : U \rightarrow V$  around  $a$  such that  $\varphi(a) = 0$ . For every  $f \in \mathcal{L}(D')$  put  $f_\varphi := f|_U \circ \varphi^{-1}$ . Then  $f_\varphi$  is a meromorphic function on  $V$ . Consider its Laurent expansion at 0. Since  $f \in \mathcal{L}(D')$ ,  $f_\varphi$  may have at 0 a pole of order at most  $D'(a) = 1 + D(a) = 1 + d$ , where  $d = D(a)$ .

So

$$f_\varphi(z) = a_{-d-1}(f) \cdot z^{-d-1} + a_{-d}(f) \cdot z^{-d} + \dots = \sum_{i=-d-1}^{\infty} a_i(f) \cdot z^i, \quad a_i(f) \in \mathbb{C}$$

around 0.

Now consider the map  $\mathcal{L}(D') \xrightarrow{\xi} \mathbb{C}$ ,  $f \mapsto a_{-d-1}(f)$ . It is a linear map. Its kernel coincides with  $\mathcal{L}(D)$ . So  $\mathcal{L}(D')/\mathcal{L}(D) = \mathcal{L}(D')/\ker \xi \cong \text{Im } \xi \subset \mathbb{C}$  and hence  $\dim_{\mathbb{C}} \mathcal{L}(D')/\mathcal{L}(D) \geq 1$ .

- 3) Notice that every divisor  $D'$  can be written as  $D' = D + a$  for some  $a \in X$  and  $D \in \text{Div } X$ . Moreover  $\deg D < \deg D'$ . This provides the step of the induction.

This concludes the proof. □

**Example 7.2.** 1) Let  $p, q \in X$ ,  $p \neq q$ .



- (a) If  $D = p$ , then  $l(D) \leq 2$  because  $D = 0 + p$  and  $l(0) = 1$ .
  - (b) If  $D = -p$ , then  $l(D) = 0$ .
  - (c) If  $D = p - q$ , then  $l(D) \leq 1$  because  $D = (-q) + p$  and  $l(-q) = 0$ .
- 2) Let  $X = \mathbb{C}/\Gamma$  be a complex torus. Then  $l(p) = 1$  for every  $p \in X$ .
- 3) Let  $X = \hat{\mathbb{C}}$ . Then  $l(p) = 2$  for every  $p \in X$ .

**Stalks of the structure sheaf.** Let  $a \in X$ . Consider the set of pairs

$$\{(U, f) \mid U \subset X \text{ open, } a \in U, f \in \mathcal{O}_X(U)\}.$$

One defines the relation

$$(U, f) \sim (V, g) \stackrel{\text{df}}{\iff} \exists \text{ open } W \subset U \cap V, a \in W \text{ such that } f|_W = g|_W.$$

**Claim.** “ $\sim$ ” is an equivalence relation.

*Proof.* Exercise. □

**Definition 7.3.** The set of the equivalence classes is denoted by  $\mathcal{O}_{X,a}$  and is called the **stalk** of the structure sheaf  $\mathcal{O}_X$  at the point  $a$ .

We write  $[(U, f)]$  or  $[U, f]$  for the equivalence class of  $(U, f)$ . By abuse of notation one also writes  $f_a$ , which means the equivalence class of a holomorphic function  $f$  defined in some neighbourhood of  $a$ . This equivalence class is called the **germ** of  $(U, f)$  (or simply the germ of  $f$ ) at  $a$ .

**Claim.**  $\mathcal{O}_{X,a}$  is a  $\mathbb{C}$ -algebra with operations defined by

$$f_a + g_a = (f + g)_a, \quad f_a \cdot g_a = (fg)_a, \quad \lambda \cdot f_a = (\lambda f)_a.$$

*Proof.* Exercise. □

**Claim** (Model example).  $\mathcal{O}_{\mathbb{C},a} \cong \mathbb{C}\{z - a\} \cong \mathbb{C}\{z\}$  (convergent power series).

*Proof.* Define

$$\mathcal{O}_{\mathbb{C},a} \mapsto \mathbb{C}\{z - a\}, \quad [U, f] \mapsto \text{Taylor expansion of } f \text{ at } a: f(z) = \sum_{i \geq 0} c_i (z - a)^i.$$

This gives the required isomorphism. □

Since every RS is locally isomorphic to  $\mathbb{C}$ , we conclude that  $\mathcal{O}_{X,a} \cong \mathbb{C}\{z\}$  for every  $a \in X$ .

Indeed, fix a chart  $\varphi : U \rightarrow V$  around  $a \in X$ . Then

$$\mathcal{O}_{X,a} \rightarrow \mathcal{O}_{\mathbb{C},\varphi(a)}, \quad f_a \mapsto (f \circ \varphi^{-1})_{\varphi(a)}$$

gives an isomorphism of  $\mathbb{C}$ -algebras  $\mathcal{O}_{X,a} \cong \mathcal{O}_{\mathbb{C},\varphi(a)} \cong \mathbb{C}\{z\}$ .

**Exercises.**

**Exercise 24.** Let  $D$  be a divisor on a compact Riemann surface. Let

$$\mathcal{L}(D) = \{f \in \mathcal{M}_X(X) \mid (f) \geq -D\} \cup \{0\}$$

be its Riemann-Roch space. In the lecture we proved that  $\mathcal{L}(D)$  is a finite dimensional vector space over  $\mathbb{C}$ . Assume that  $\deg D \geq 0$  and using our proof obtain the following estimation for the dimension  $l(D)$  of  $\mathcal{L}(D)$ :

$$l(D) \leq \deg D + 1.$$

**Exercise 25.** Let  $X = \hat{\mathbb{C}}$  and let  $D \in \text{Div } \hat{\mathbb{C}}$  be a divisor with non-negative degree. Show that the inequality from the previous exercise becomes an equality, i. e.,

$$l(D) = \deg D + 1.$$

**Hint:** *It is enough to find  $\deg D + 1$  linear independent meromorphic functions from  $\mathcal{L}(D)$ . Have a look at Exercise 22.*

**Exercise 26.** Define  $X = \{\langle x_0, x_1, x_2 \rangle \in \mathbb{P}_2 \mid x_1^2 - x_0x_2 = 0\}$ . Then  $X$  is a 1-dimensional complex submanifold of  $\mathbb{P}_2$ . Let  $p = \langle 0, 0, 1 \rangle \in X$ , let  $D = p$ . Compute  $l(D) = \dim_{\mathbb{C}} \mathcal{L}(D)$ .

**Hint:** *Study the map  $\mathbb{P}_1 \rightarrow X$ ,  $\langle s, t \rangle \mapsto \langle s^2, st, t^2 \rangle$ .*

**Exercise 27.** (0) Let  $a$  be a point of a Riemann surface  $X$ . Show that the stalk  $\mathcal{O}_{X,a}$  is a  $\mathbb{C}$ -algebra with the operations defined in the lecture:

$$f_a + g_a := (f + g)_a, \quad f_a \cdot g_a := (fg)_a, \quad \lambda \cdot f_a := (\lambda f)_a, \quad f_a, g_a \in \mathcal{O}_{X,a}, \lambda \in \mathbb{C}.$$

In particular check that the definitions given in the lecture are well-defined, i. e., do not depend on the choice of representatives.

(1) Consider the evaluation homomorphism of  $\mathbb{C}$ -algebras

$$\mathcal{O}_{X,a} \xrightarrow{\text{ev}} \mathbb{C}, \quad [U, f] \mapsto f(a).$$

Show that its kernel is the only maximal ideal of  $\mathcal{O}_{X,a}$ .

## 8. LECTURE 8

Consider the evaluation homomorphism

$$\text{ev} : \mathcal{O}_{X,a} \rightarrow \mathbb{C}, \quad f_a \mapsto f(a).$$

Its kernel is an ideal  $\mathfrak{m}_{X,a} \subset \mathcal{O}_{X,a}$  given by

$$\mathfrak{m}_{X,a} = \{[U, f] \in \mathcal{O}_{X,a} \mid f(a) = 0\}.$$

Since  $\mathcal{O}_{X,a}/\mathfrak{m}_{X,a} \cong \mathbb{C}$  and  $\mathbb{C}$  is a field we conclude that  $\mathfrak{m}_{X,a}$  is a maximal ideal of  $\mathcal{O}_{X,a}$ .

**Claim.**  $\mathfrak{m}_{X,a}$  is the only maximal ideal of  $\mathcal{O}_{X,a}$ . One says that  $\mathcal{O}_{X,a}$  is the local algebra (or the local ring) of  $X$  at  $a$ .

**Remark 8.1.** Recall that a ring with only one maximal ideal is called local.

Under the isomorphism  $\mathcal{O}_{X,a} \cong \mathbb{C}\{z\}$  the ideal  $\mathfrak{m}_{X,a}$  corresponds to the ideal in  $\mathbb{C}\{z\}$  consisting of all convergent power series with trivial free term, i. e., the principal ideal  $\langle z \rangle$  generated by  $z$ .

**Remark 8.2.** Notice that  $\mathbb{C}\{z\}$  is a principal domain, i. e., all ideals are principal, i. e., generated by a single element. Moreover, every ideal of  $\mathbb{C}\{z\}$  is of the form  $\langle z^m \rangle$  for some  $m \geq 0$ .

*Proof.* Exercise. □

Let  $\mathfrak{m}_{X,a}^2$  be the ideal generated by the products  $s_1 \cdot s_2$ ,  $s_1, s_2 \in \mathfrak{m}_{X,a}$ . It corresponds to the principal ideal  $\langle z^2 \rangle$ . Clearly  $\mathfrak{m}_{X,a}^2 \subset \mathfrak{m}_{X,a}$ . Consider the quotient  $\mathcal{O}_{X,a}$ -module and the corresponding quotient  $\mathbb{C}\{z\}$ -module  $\langle z \rangle / \langle z^2 \rangle$ . Then

$$\mathfrak{m}_{X,a} / \mathfrak{m}_{X,a}^2 \cong \langle z \rangle / \langle z^2 \rangle \cong \mathbb{C} \cdot [z],$$

where  $[z]$  denotes the class of  $z$  in  $\langle z \rangle / \langle z^2 \rangle$ .

We see that though  $\mathfrak{m}_{X,a}$  and  $\mathfrak{m}_{X,a}^2$  are infinite dimensional vector spaces over  $\mathbb{C}$ , their quotient  $\mathfrak{m}_{X,a} / \mathfrak{m}_{X,a}^2$  is a 1-one dimensional vector space over  $\mathbb{C}$ .

**Definition 8.3.** The vector space  $\mathfrak{m}_{X,a} / \mathfrak{m}_{X,a}^2$  is called the cotangent space of  $X$  at  $a$  and will be denoted in this lecture by  $\text{CT}_a X$ .

Its dual space

$$(\mathfrak{m}_{X,a} / \mathfrak{m}_{X,a}^2)^* = \text{Hom}_{\mathbb{C}}(\mathfrak{m}_{X,a} / \mathfrak{m}_{X,a}^2, \mathbb{C})$$

is called the tangent space of  $X$  at  $a$  and is denoted by  $T_a X$ .

**Definition 8.4.** Let  $[U, f] \in \mathcal{O}_{X,a}$ . Put  $d_a f := [f - f(a)] \in \text{CT}_a X$ .

For every open  $U \subset X$  this defines the map

$$df : U \rightarrow \bigsqcup_{a \in U} \text{CT}_a X, \quad a \mapsto d_a f.$$

**Definition 8.5.** Let  $\varphi : U \rightarrow V$  be a chart of a Riemann surface  $X$ . Let  $a \in U$ . We call  $\varphi$  a local coordinate at  $a$  if  $\varphi(a) = 0$ .

We will often denote local coordinates by Latin letters, say  $z : U \rightarrow V \subset \mathbb{C}$ .

Let  $z : U \rightarrow V \subset \mathbb{C}$  be a local coordinate at  $a \in U$ . Then  $d_a z$  is a non-zero element in  $\text{CT}_a X$ . Therefore, it can be taken as a basis of  $\text{CT}_a X$ .

In particular one should be able to write  $df(x) = g(x) \cdot dz(x)$  for some function  $g : U \rightarrow \mathbb{C}$ . Let us study this in more details.

Consider the composition  $F = f \circ z^{-1}$ . It is a holomorphic function in a neighbourhood  $V$  of  $0 \in \mathbb{C}$ . For  $b \in U$ , take the Taylor expansion of  $F$  at  $z(b) \in V$ .

$$F(t) = \sum_{i \geq 0} c_i (t - z(b))^i.$$

Then

$$f(x) = f \circ z^{-1} \circ z(x) = F(z(x)) = \sum_{i \geq 0} (z(x) - z(b))^i$$

and hence

$$\begin{aligned} d_b f &= [f - f(b)] = \left[ \sum_{i \geq 1} c_i (z - z(b))^i \right] = [c_1(z - z(b)) + (z - z(b))^2 \sum_{i \geq 2} c_i (z - z(b))^{i-2}] = \\ &= [c_1(z - z(b))] = c_1 [z - z(b)] = F'(z(b)) \cdot d_b z. \end{aligned}$$

**Definition 8.6.** Let  $z : U \rightarrow V$  be a local coordinate at  $a \in U$ . Let  $f \in \mathcal{O}_X(U)$ . Put as above  $F = f \circ z^{-1}$  and define

$$\frac{\partial f}{\partial z}(b) := F'(z(b)) = \frac{\partial F}{\partial t}(z(b)).$$

In these notations  $d_b f = \frac{\partial f}{\partial z}(b) \cdot d_b z$  and finally

$$(1) \quad df = \frac{\partial f}{\partial z} \cdot dz,$$

a formula which looks familiar.

**Sheaf of differential forms.** Let  $U \subset X$  be an open subset of a RS  $X$ . We have just seen that every  $f \in \mathcal{O}_X(U)$  gives us a map

$$df : U \rightarrow \bigsqcup_{a \in U} \text{CT}_a X, \quad a \mapsto d_a f.$$

Moreover, we computed that for a local coordinate  $z : W \rightarrow \mathbb{C}$ ,  $W \subset U$  it holds  $df|_W = \frac{\partial f}{\partial z} \cdot dz$ .

Let now  $\omega : U \rightarrow \bigsqcup_{a \in U} \text{CT}_a X$  be an arbitrary map such that  $\omega(a) \in \text{CT}_a X$ . Then, as above, for a local coordinate  $z : W \rightarrow \mathbb{C}$ ,  $W \subset U$ , we conclude that

$$\omega|_W = g \cdot dz$$

for some function  $g : W \rightarrow \mathbb{C}$ .

**Definition 8.7.** Let  $\omega$  be as above. If  $g$  is a holomorphic function for every local coordinate  $z : W \rightarrow \mathbb{C}$ , then  $\omega$  is called a holomorphic differential form on  $U$ .

Equivalently,  $\omega$  is a holomorphic differential form if  $U$  can be covered by open sets  $U_i$  with local coordinates  $z_i : U_i \rightarrow \mathbb{C}$  such that after representing the restrictions of  $\omega$  as  $\omega|_{U_i} = f_i \cdot dz_i$ , the functions  $f_i : U_i \rightarrow \mathbb{C}$  are holomorphic.

The set of all holomorphic differential forms on  $U$  is denoted by  $\Omega_X(U)$ . It is naturally an  $\mathcal{O}_X(U)$ -module. This defines a sheaf of  $\mathcal{O}_X$ -modules. The sheaf  $\Omega_X$  is called the sheaf of differential forms on  $X$ .

**Example 8.8.** As we saw above,  $df$  is a holomorphic differential form on  $U$  for every  $f \in \mathcal{O}_X(U)$ .

**Remark 8.9.** For every open set  $U \subset X$  the map

$$\mathcal{O}_X(U) \rightarrow \Omega_X(U), \quad f \mapsto df$$

is a linear map of  $\mathbb{C}$ -vector spaces, which gives a morphism of sheaves of  $\mathbb{C}$ -vector spaces  $\mathcal{O}_X \rightarrow \Omega_X$ .

**Example 8.10.** Let us compute  $\Omega_{\hat{\mathbb{C}}}(\hat{\mathbb{C}})$ . Let  $\omega \in \Omega_{\hat{\mathbb{C}}}(\hat{\mathbb{C}})$ . Let  $z_0 : U_0 \rightarrow \mathbb{C}$  and  $z_1 : U_1 \rightarrow \mathbb{C}$  be the standard charts of  $\hat{\mathbb{C}}$ . Then  $\omega|_{U_0} = f_0 dz_0$  and  $\omega|_{U_1} = f_1 dz_1$  for some holomorphic functions  $f_0$  and  $f_1$  on  $U_0$  and  $U_1$  respectively. It should also hold  $f_0 dz_0|_{U_0 \cap U_1} = f_1 dz_1|_{U_0 \cap U_1}$ . Since  $z_0 = 1/z_1$  on  $U_0 \cap U_1 = \mathbb{C}^*$ , using (1) one gets  $dz_0 = (-1/z_1^2) dz_1$ , hence  $f_0(1/z_1) \cdot (-1/z_1^2) dz_1 = f_1(z_1) dz_1$ , and therefore  $f_0(1/z_1) = -z_1^2 f_1(z_1)$ . Comparing the Laurent expansions of these two holomorphic functions on  $\mathbb{C}^*$ , one immediately concludes that  $f_0 = 0$ ,  $f_1 = 0$ , which means  $\Omega_{\hat{\mathbb{C}}}(\hat{\mathbb{C}}) = 0$ .

**Definition 8.11.** Let  $U$  be an open subset of a Riemann surface  $X$ . A meromorphic differential form on  $U$  is an element  $\omega \in \Omega_X(U \setminus S)$  for some discrete set  $S$  such that for every chart  $U' \xrightarrow{z} V'$  with  $U' \subset U$  the local expressions  $\omega|_{U' \setminus S} = f dz$  are given by meromorphic functions  $f \in \mathcal{M}_X(U')$ .

Let  $\mathcal{K}_X(U)$  denote the set of all meromorphic differential forms on  $U$ .

**Remark 8.12.**  $\mathcal{K}_X(U)$  is naturally an  $\mathcal{M}_X(U)$ -module: for  $f \in \mathcal{M}_X(U)$  and for  $\omega \in \mathcal{K}_X(U)$

$$(f \cdot \omega)(x) = f(x) \cdot \omega(x).$$

Moreover,  $\mathcal{K}_X$  is a sheaf of  $\mathcal{M}_X$ -modules. In particular,  $\mathcal{K}_X$  is a sheaf of  $\mathcal{O}_X$ -modules.

Analogously to the case of holomorphic differential forms, there is the homomorphism of sheaves of vector spaces over  $\mathbb{C}$  (note that it is not a homomorphism of  $\mathcal{O}_X$ -modules!)

$$\mathcal{M}_X \xrightarrow{d} \mathcal{K}_X.$$

Namely, for every open  $U \subset X$  there is the linear map of vector spaces

$$\mathcal{M}_X(U) \rightarrow \mathcal{K}_X(U), \quad f \mapsto df$$

and the commutative diagram

$$\begin{array}{ccc} \mathcal{O}_X(U) \hookrightarrow \mathcal{M}_X(U) & & f \longmapsto f \\ \downarrow d & & \downarrow \\ \Omega_X(U) \hookrightarrow \mathcal{K}_X(U) & & df \longmapsto df. \end{array}$$

**Definition 8.13.** Let  $\omega \in \mathcal{K}_X(U)$  for some open  $U \subset X$ . Let  $a \in U$ , let  $z : U' \rightarrow V'$  be a local coordinate at  $a$ . Write  $\omega|_{U'} = f dz$  for some  $f \in \mathcal{M}_X(U')$ . Define now the order of  $\omega$  at  $a$  by

$$\text{ord}_a \omega := \text{ord}_a f.$$

**Claim.**  $\text{ord}_a \omega$  does not depend on the choice of  $z$ .

*Proof.* Exercise. □

**Definition 8.14.** Let  $X$  be a compact RS. Let  $\omega \in \mathcal{K}_X(X)$ . Define the divisor associated to  $\omega$  by

$$(\omega) := \sum_{x \in X} \text{ord}_x \omega \cdot x \in \text{Div } X.$$

**Example 8.15.** Let  $X = \hat{\mathbb{C}}$ . We know already (cf. Example 8.10) that there are no non-trivial holomorphic differential forms on  $\hat{\mathbb{C}}$ .

Let us mimic the reasoning from Example 8.10 in order to find a non-trivial meromorphic differential form on  $\hat{\mathbb{C}}$ .

Let  $\omega \in \mathcal{K}_{\hat{\mathbb{C}}}(\hat{\mathbb{C}})$ . Let  $z_0 : U_0 \rightarrow \mathbb{C}$  and  $z_1 : U_1 \rightarrow \mathbb{C}$  be the standard charts of  $\hat{\mathbb{C}}$ . Then  $\omega|_{U_0} = f_0 dz_0$  and  $\omega|_{U_1} = f_1 dz_1$  for some meromorphic functions  $f_0$  and  $f_1$  on  $U_0$  and  $U_1$  respectively. It should also hold  $f_0 dz_0|_{U_0 \cap U_1} = f_1 dz_1|_{U_0 \cap U_1}$ . Since  $z_0 = 1/z_1$  on  $U_0 \cap U_1 = \mathbb{C}^*$ , using (1) one gets  $dz_0 = (-1/z_1^2) dz_1$ , hence  $f_0(1/z_1) \cdot (-1/z_1^2) dz_1 = f_1(z_1) dz_1$ , and therefore  $f_0(1/z_1) = -z_1^2 f_1(z_1)$ . Take  $f_0(z_0) = 1$ . Then  $1 = -z_1^2 f_1(z_1)$ , i. e.,  $f_1(z_1) = -1/z_1^2$ . Thus we have just found a non-trivial meromorphic differential form  $\omega$  on  $\hat{\mathbb{C}}$ . This form coincides with  $dz_0$  on  $U_0$  and equals  $-\frac{1}{z_1^2} dz_1$  on  $U_1$ .

Let us compute the divisor corresponding to  $\omega$ . Since  $\text{ord}_a \omega = \text{ord}_a 1 = 0$  for  $a \in \mathbb{C}$  and  $\text{ord}_\infty \omega = \text{ord}_\infty(-\frac{1}{z_1^2}) = -2$ , we conclude that

$$(\omega) = -2 \cdot \infty.$$

In particular  $\deg(\omega) = -2$ .

**Exercise.** Find a non-trivial meromorphic differential form  $\omega'$  on  $\hat{\mathbb{C}}$  different from the one presented in Example 8.15. Compute the corresponding divisor  $(\omega') \in \text{Div } \hat{\mathbb{C}}$  and its degree  $\deg(\omega')$ .

**Exercises.**

**Exercise 28.** Consider the following holomorphic functions on  $\mathbb{C}$ .

$$f_1(z) = (z - 3)(z + 5i)^6 + 11, \quad f_2(z) = \exp(z), \quad f_3(z) = \sin(z^2).$$

For  $a = 0, 3, -5i$ , find a generator of the cotangent space  $\text{CT}_a \mathbb{C}$  and express  $d_a f_i$ ,  $i = 1, 2, 3$ , in terms of this generator.

**Exercise 29.** Consider the Riemann sphere  $\hat{\mathbb{C}}$  and let  $z_0 = \varphi_0$  and  $z_1 = \varphi_1$  be its standard charts. Consider the meromorphic function

$$f(z) = \frac{z(z+1)}{(z-1)(z-2)^3} \in \mathcal{M}_{\hat{\mathbb{C}}}(\hat{\mathbb{C}})$$

as a holomorphic function on  $\hat{\mathbb{C}} \setminus \{1, 2\}$ .

Compute

$$\frac{\partial f}{\partial z_0}(0), \quad \frac{\partial f}{\partial z_1}(\infty), \quad \frac{\partial f}{\partial z_0}(-1), \quad \frac{\partial f}{\partial z_1}(-1), \quad \frac{\partial f}{\partial z_0}(3), \quad \frac{\partial f}{\partial z_1}(3).$$

For  $a = 0, \infty, -1, 3$  express if possible  $d_a f$  in terms of  $d_a z_0$  and  $d_a z_1$ .

**Exercise 30.** Let  $X = \mathbb{C}/\Gamma$  be a complex torus. Find a non-trivial holomorphic differential form  $\omega_0$  on  $X$ . Compute the corresponding divisor  $(\omega_0)$ .

**Exercise 31.** Find two linear independent non-trivial meromorphic differential forms  $\omega_1$  and  $\omega_2$  on  $\hat{\mathbb{C}}$ . Compute the corresponding divisors  $(\omega_1), (\omega_2) \in \text{Div } \hat{\mathbb{C}}$  and their degrees  $\deg(\omega_1)$  and  $\deg(\omega_2)$ .



## 9. LECTURE 9

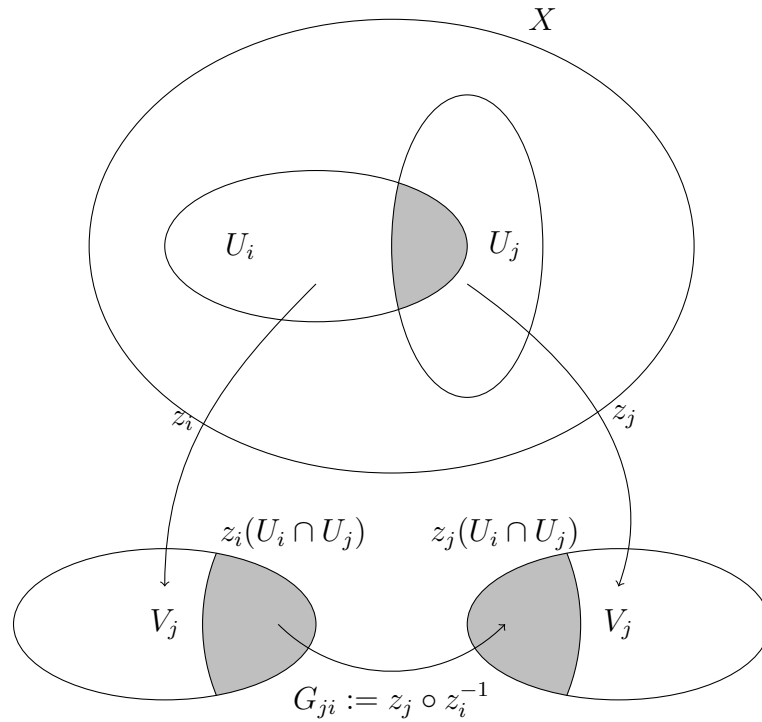
**Proposition 9.1.** *Let  $\omega_0 \in \mathcal{K}_X(X)$ ,  $\omega_0 \not\equiv 0$ . Then  $\mathcal{K}_X(X) = \{f \cdot \omega_0 \mid f \in \mathcal{M}_X(X)\}$ , i. e.,*

$$\mathcal{M}_X(X) \rightarrow \mathcal{K}_X(X), \quad f \mapsto f \cdot \omega_0$$

*is an isomorphism of  $\mathbb{C}$ -vector spaces.*

*Proof.* Let  $\omega \in \mathcal{K}_X(X)$  be an arbitrary meromorphic differential form on  $X$ . Let  $\bigcup U_i = X$  be a covering of  $X$  by charts  $z_i : U_i \rightarrow V_i$  such that  $\omega_0|_{U_i}$  is given by  $f_i dz_i$  and  $\omega|_{U_i}$  is given by  $g_i dz_i$  for some meromorphic functions  $f_i$  and  $g_i$  on  $U_i$ .

Note that  $f_i \not\equiv 0$  for every  $i$ . Otherwise, by an argument similar to the one from the proof of Theorem 2.4 (identity theorem),  $\omega_0 \equiv 0$ . Consider  $h_i = g_i/f_i \in \mathcal{M}_X(U_i)$ .



Using (1) we get

$$dz_j = \frac{\partial z_j}{\partial z_i} dz_i.$$

So on  $U_i \cap U_j$  we obtain

$$\omega_0|_{U_i \cap U_j} = f_j dz_j = f_j \cdot \frac{\partial z_j}{\partial z_i} dz_i = f_i dz_i, \quad \omega|_{U_i \cap U_j} = g_j dz_j = g_j \cdot \frac{\partial z_j}{\partial z_i} dz_i = g_i dz_i.$$

Therefore,

$$f_i = f_j \cdot \frac{\partial z_j}{\partial z_i}, \quad g_i = g_j \cdot \frac{\partial z_j}{\partial z_i},$$

and finally

$$h_i|_{U_i \cap U_j} = g_i/f_i = \frac{g_j \cdot \frac{\partial z_j}{\partial z_i}}{f_j \cdot \frac{\partial z_j}{\partial z_i}} = f_j/g_j = h_j|_{U_i \cap U_j}.$$

This means that there exists  $h \in \mathcal{M}_X(X)$  such that  $h|_{U_i} = h_i$ .

We conclude that  $g_i = h_i f_i = h f_i$  for every  $i$ . This means  $\omega = h \cdot \omega_0$ .

This concludes the proof.  $\square$

**Definition 9.2.** Let  $D \in \text{Div } X$ . Let  $U \subset X$  be an open subset. Define

$$\Omega_X(D)(U) := \{\omega \in \mathcal{K}_X(U) \mid \text{ord}_a \omega \geq -D(a) \text{ for all } a \in U\}.$$

Then  $\Omega_X(D)(U)$  is an  $\mathcal{O}_X(U)$ -module, in particular  $\Omega_X(D)(X) = \{\omega \in \mathcal{K}_X(X) \mid (\omega) \geq -D\}$  is a  $\mathbb{C}$ -vector space.

Moreover,  $\Omega_X(D)$  is a sheaf of  $\mathcal{O}_X$ -modules.

**Definition 9.3.** Let  $\omega_0 \in \mathcal{K}_X(X)$ ,  $\omega_0 \neq 0$ . Then the divisor  $K = (\omega_0)$  is called the canonical divisor on  $X$ .

**Remark 9.4.** On a compact Riemann surface there always exists a non-zero meromorphic differential form.

Note however that this fact is not at all trivial!

**Remark 9.5.** Note that  $K$  is not uniquely determined, it depends on  $\omega_0$ . However, its divisor class

$$[K] \in \text{Pic } X = \text{Div } X / \text{PDiv } X$$

does not depend on the choice of  $\omega_0$ .

**Proposition 9.6.** Let  $X$  be a compact Riemann surface. Let  $K = (\omega_0)$ . For every divisor  $D \in \text{Div}(X)$  there is an isomorphism of  $\mathcal{O}_X$ -modules  $\mathcal{O}_X(D) \rightarrow \Omega_X(D - K)$  defined for every open  $U \subset X$  by

$$\mathcal{O}_X(D)(U) \rightarrow \Omega_X(D - K)(U), \quad f \mapsto f \cdot \omega_0.$$

Equivalently:  $\mathcal{O}_X(K + D) \cong \Omega_X(D)$ ,

$$\mathcal{O}_X(K + D)(U) \rightarrow \Omega_X(D)(U), \quad f \mapsto f \cdot \omega_0.$$

**Corollary 9.7.**  $\Omega_X(D)(X) \cong \mathcal{O}_X(K + D)(X) = \mathcal{L}(K + D)$ , in particular

$$\dim_{\mathbb{C}} \Omega_X(D)(X) < \infty$$

for every divisor  $D \in \text{Div } X$ .

**Definition 9.8.** The dimension of  $\mathcal{L}(K) \cong \Omega_X(0)(X) = \Omega_X(X)$  is called the genus of  $X$  and is denoted by

$$g = g_X := \dim_{\mathbb{C}} \Omega_X(X).$$

**Example 9.9.** 1) Since by Example 8.10  $\Omega_{\hat{\mathbb{C}}}(\hat{\mathbb{C}}) = 0$ , one concludes that  $g_{\hat{\mathbb{C}}} = 0$ .

2) By Exercise 32  $g_{\mathbb{C}/\Gamma} = 1$  for every complex torus  $\mathbb{C}/\Gamma$ .

**Theorem 9.10** (Riemann-Roch).

$$l(D) - l(K - D) = \deg D + 1 - g.$$

*Equivalently,*

$$l(D) - \dim \Omega_X(-D)(X) = \deg D + 1 - g.$$

*Proof.* No proof. □

**Example 9.11.** 1) Let  $D = 0$ . Then Theorem 9.10 reads as  $l(0) - l(K) = \deg 0 + 1 - g$ , hence  $g = l(K)$ , i. e., we get back the definition of the genus.

2) Let  $D = K$ . Then  $l(K) - l(0) = \deg K + 1 - g$  and therefore

$$\deg K = 2g - 2.$$

3) If  $\deg D \geq 2g - 1$ , then  $\deg(K - D) = \deg K - \deg D = 2g - 2 - \deg D < 0$ , thus  $l(K - D) = 0$  and finally

$$l(D) = \deg D + 1 - g.$$

One can summarize this as follows.

$$\begin{cases} l(D) = 0, & \text{if } \deg D < 0; \\ l(D) \geq \deg D + 1 - g, & \text{if } 0 \leq \deg D < 2g - 1; \\ l(D) = \deg D + 1 - g, & \text{if } \deg D \geq 2g - 1. \end{cases}$$

**Theorem 9.12** (Riemann-Hurwitz formula). *Let  $f : X \rightarrow Y$  be a non-constant holomorphic map of compact RS. Then*

$$2g_X - 2 = d(f)(2g_Y - 2) + \sum_{x \in X} (\text{mult}_x f - 1)$$

*Equivalently  $\deg K_X = d(f) \deg K_Y + \deg R_f$ , where  $K_X$  and  $K_Y$  are canonical divisors on  $X$  and  $Y$  respectively and  $R_f = \sum_{x \in X} (\text{mult}_x f - 1) \cdot x$  is the so called ramification divisor of  $f$ .*

**Remark 9.13.** Note that  $\text{mult}_x f > 1$  only for finitely many points of  $X$  (ramification points, cf. Definition 5.1).

**Exercises.**

**Exercise 32.** Let  $X = \mathbb{C}/\Gamma$  be a complex torus.

- 1) Find a non-trivial holomorphic differential form  $\omega_0$  on  $X$ . Compute the corresponding divisor  $(\omega_0)$ .
- 2) Let  $\omega$  be an arbitrary holomorphic differential form on  $X$ . Then  $\omega = f\omega_0$  for some meromorphic function  $f$ . Conclude that  $f$  must be holomorphic.
- 3) Conclude that  $\Omega_X(X) = \mathbb{C} \cdot \omega_0$ , i. e., vector space generated by  $\omega_0$ .

**Exercise 33.** 1) Let  $X$  be a compact Riemann surface of genus  $g$ . Let  $p \in X$  and let  $D = (g+1)p$ . Apply the Riemann-Roch formula to  $D$  and conclude that  $l(D) \geq 2$ . The latter means that there exists a non-constant meromorphic function  $f \in \mathcal{L}(D)$ .

- 2) Estimate the degree of the corresponding holomorphic map  $X \xrightarrow{f} \hat{\mathbb{C}}$ ?
- 3) Conclude that every compact Riemann surface of genus 0 is isomorphic to  $\hat{\mathbb{C}}$ .

**Exercise 34.** Using your computations from Exercise 32 compute the genus of a complex torus  $X = \mathbb{C}/\Gamma$  using two different methods.

- (1) Compute the degree of the canonical divisor and use the the Riemann-Roch formula.
- (2) Compute explicitly  $\Omega_X(X)$  and its dimension.

**Exercise 35.** 1) Let  $X \subset \mathbb{P}_2$  be the subspace

$$X_2 = \{\langle z_0, z_1, z_2 \rangle \in \mathbb{P}_2 \mid z_0^2 + z_1^2 + z_2^2 = 0\}.$$

Show that  $X_2$  is a submanifold of  $\mathbb{P}_2$ , i. e., a Riemann surface. Consider the map

$$X_2 \xrightarrow{f} \hat{\mathbb{C}}, \quad \langle z_0, z_1, z_2 \rangle \mapsto \frac{z_1}{z_2},$$

where  $\frac{a}{0}$  is assumed to be  $\infty$ . Show that this is a holomorphic map of RS. Apply the Riemann-Hurwitz formula and compute the genus of  $X_2$ . Conclude that  $X_2$  is isomorphic to the Riemann sphere.

**Hint:** Compute the number of preimages of  $f^{-1}(p)$  for every  $p \in \hat{\mathbb{C}}$ . Using that there can be only finitely many ramification points, find the ramification points and obtain the value of  $d(f)$ .

- 2) Generalize the computations to the case of

$$X_d = \{\langle z_0, z_1, z_2 \rangle \in \mathbb{P}_2 \mid z_0^d + z_1^d + z_2^d = 0\}, \quad d \in \mathbb{N}.$$

What is the genus of  $X_d$ ?

## 10. LECTURE 10

Let us consider some corollaries from the Riemann-Roch theorem.

**Corollary 10.1.** *On every compact RS  $X$  there exists a non-constant meromorphic function  $f \in \mathcal{M}_X(X)$ .*

*Proof.* Let  $p \in X$  be an arbitrary point, take  $D = (g + 1) \cdot p$ . Then  $l(D) \geq g + 1 + 1 - g = 2$ . This means that the dimension of the Riemann-Roch space  $\mathcal{L}(D)$  is at least 2. Therefore, this space must contain a non-constant meromorphic function.  $\square$

**Observation.** *Take  $f \in \mathcal{L}(D)$  as above. The only point that could be a pole of this meromorphic function is  $p$ . Its multiplicity is at most  $g + 1$ , therefore the degree of the corresponding holomorphic non-constant map  $X \xrightarrow{\hat{f}} \hat{\mathbb{C}}$  is at most  $g + 1$ .*

**Corollary 10.2.** *Every compact RS of genus 0 is isomorphic to  $\hat{\mathbb{C}}$*

*Proof.* As above one gets a holomorphic map  $X \xrightarrow{\hat{f}} \hat{\mathbb{C}}$  of degree 1, which must be an isomorphism (cf. Theorem 3.9 and Corollary 3.7).  $\square$

### Some facts about coverings.

**Definition 10.3.** A continuous map of topological spaces  $X \xrightarrow{f} Y$  is called a covering if for every  $y \in Y$  there exists an open neighbourhood  $U$  of  $y$  such that  $f^{-1}(U) = \bigsqcup_i V_i$  and  $f|_{V_i} : V_i \rightarrow U$  is a homeomorphism.

**Observation.** *If  $Y$  is a RS and  $X \xrightarrow{f} Y$  is a covering, then there is a unique complex structure on  $X$  such that  $f$  is a holomorphic map.*

*Proof.* Exercise.  $\square$

So every covering of a RS is then a locally biholomorphic map.

**Remark 10.4.** Not every local biholomorphism is a covering. For example, take  $X = B(0, 1) = \{z \in \mathbb{C} \mid |z| < 1\}$ ,  $Y = \mathbb{C}$ . Then the natural inclusion  $X \subset Y$  is locally biholomorphic but not a covering.

**Claim.** *Every locally biholomorphic map of compact RS is a covering.*

*Proof.* Use an argument similar to the one from the proof of Theorem 5.5.  $\square$

**Definition 10.5.** Let  $\tilde{X} \xrightarrow{f} X$  be a covering of RS. Then it is called a universal covering if  $\tilde{X}$  is simply connected, i. e., if  $\pi_1(\tilde{X}) = 0$ .

**Proposition 10.6.** 1) A universal covering exists for every  $RS$ .

2) (Universal property):  $\tilde{X} \xrightarrow{f} X$  is a universal covering if and only if for every covering  $Y \xrightarrow{g} X$  and every choice of points  $x_0 \in X$ ,  $y_0 \in g^{-1}(x_0)$ ,  $\tilde{x}_0 \in f^{-1}(x_0)$  there exists a unique holomorphic map  $\tilde{X} \xrightarrow{h} Y$  with  $h(\tilde{x}_0) = y_0$  such that  $g \circ h = f$ .

$$\begin{array}{ccc} & \tilde{X} & \\ & \swarrow h & \searrow f \\ Y & \xrightarrow{g} & X \end{array}, \quad \begin{array}{ccc} & \tilde{x}_0 & \\ & \swarrow h & \searrow f \\ y_0 & \xrightarrow{g} & x_0 \end{array}$$

*Proof.* Topology. □

**Morphisms of complex tori.** Let  $X = \mathbb{C}/\Gamma$  and  $Y = \mathbb{C}/\Gamma'$  be two complex tori. Our aim is to describe all holomorphic maps  $X \rightarrow Y$ .

**Reminder 10.7.** Remind (cf. Example 2.5) that for  $\alpha \in \mathbb{C}^*$  such that  $\alpha\Gamma \subset \Gamma'$  one obtains a holomorphic map

$$X \rightarrow Y, \quad [z] \mapsto [\alpha \cdot z].$$

Let  $X \xrightarrow{f} Y$  be an arbitrary non-constant holomorphic map. Then by Riemann-Hurwitz formula (Theorem 9.12), one concludes that  $f$  has no ramification points. So it must be a covering.

Note that the canonical maps  $\mathbb{C} \xrightarrow{\pi} \mathbb{C}/\Gamma$ ,  $z \mapsto [z]$ , and  $\mathbb{C} \xrightarrow{\pi'} \mathbb{C}/\Gamma'$ ,  $z \mapsto [z]$  are coverings and even universal coverings. Then by the universal property of universal coverings there exists a holomorphic map  $F : \mathbb{C} \rightarrow \mathbb{C}$  such that  $\pi' \circ F = f \circ \pi$ .

$$(2) \quad \begin{array}{ccc} \mathbb{C} & \xrightarrow{F} & \mathbb{C} \\ \downarrow \pi & & \downarrow \pi' \\ X & \xrightarrow{f} & Y. \end{array}$$

Consider now for a fixed  $\gamma \in \Gamma$  the function  $\Phi_\gamma(z) = F(z + \gamma) - F(z)$ . From the commutativity of diagram (2) we get that  $\Phi_\gamma(z) \in \Gamma'$  for every  $z \in \mathbb{C}$ . Since  $\Phi_\gamma$  is continuous, there exists  $\gamma' \in \Gamma'$  such that  $\Phi_\gamma(z) = \gamma'$  for all  $z \in \mathbb{C}$ . Hence  $\Phi'_\gamma(z) = 0$  and thus  $F'(z + \gamma) - F'(z) = 0$ . This means that  $F'$  is a doubly periodic (elliptic) holomorphic function on  $\mathbb{C}$ , therefore it must be constant, i. e., there exists  $a \in \mathbb{C}$  such that  $F'(z) = a$  for all  $z \in \mathbb{C}$ . This implies  $F(z) = az + b$  for some  $a, b \in \mathbb{C}$ . Therefore,  $f([z]) = [az] + [b]$ . This can only be well-defined if for every  $\gamma \in \Gamma$  it holds  $f([z + \gamma]) = f([z])$ , which implies  $a\Gamma \subset \Gamma'$ .

On the other hand one sees that for every choice of  $a, b \in \mathbb{C}$  such that  $a\Gamma \subset \Gamma'$  the map

$$X \rightarrow Y, \quad [z] \mapsto [az] + [b]$$

is holomorphic. It can be represented as a composition of

$$X \rightarrow Y, \quad [z] \mapsto [az]$$

with the automorphism of  $Y = \mathbb{C}/\Gamma'$

$$Y \rightarrow Y, \quad [z] \mapsto [z] + [b].$$

We obtained the following.

**Proposition 10.8.** *Every holomorphic map of complex tori  $\mathbb{C}/\Gamma \rightarrow \mathbb{C}/\Gamma'$  can be represented as a composition of a holomorphic map*

$$\mathbb{C}/\Gamma \rightarrow \mathbb{C}/\Gamma', \quad [z] \mapsto [az], \quad a \in \mathbb{C}, \quad a\Gamma \subset \Gamma',$$

and an isomorphism

$$\mathbb{C}/\Gamma' \rightarrow \mathbb{C}/\Gamma', \quad [z] \mapsto [z] + [b], \quad b \in \mathbb{C}.$$

**Isomorphism classes of complex tori.** Let  $\Gamma = \mathbb{Z}\omega_1 + \mathbb{Z}\omega_2$  be a lattice in  $\mathbb{C}$ . Let  $\Gamma' = \mathbb{Z} + \mathbb{Z} \cdot \frac{\omega_2}{\omega_1}$ . Then  $\omega_1\Gamma' = \Gamma$  and

$$\mathbb{C}/\Gamma' \rightarrow \mathbb{C}/\Gamma, \quad [z] \mapsto [\omega_1 z]$$

is an isomorphism of complex tori.

So, while studying the isomorphism classes of complex tori, it is enough to consider only the lattices

$$\mathbb{Z} + \mathbb{Z} \cdot \tau, \quad \text{Im } \tau \neq 0.$$

Moreover, if  $\text{Im } \tau < 0$ , then  $\text{Im } \tau^{-1} > 0$  and  $\tau(\mathbb{Z} + \mathbb{Z}\tau^{-1}) = (\mathbb{Z} + \mathbb{Z}\tau)$ , i. e., the lattices  $\mathbb{Z} + \mathbb{Z}\tau^{-1}$  and  $\mathbb{Z} + \mathbb{Z}\tau$  define isomorphic tori. Therefore, it is enough to consider only lattices

$$\mathbb{Z} + \mathbb{Z} \cdot \tau, \quad \text{Im } \tau > 0.$$

**Notation.** Let  $\mathbb{H}$  denote the upper half-plane  $\mathbb{H} := \{\tau \in \mathbb{C} \mid \text{Im } \tau > 0\}$ .

For  $\tau \in \mathbb{H}$  denote  $\Gamma(\tau) := \mathbb{Z} + \mathbb{Z} \cdot \tau$ .

Let now  $\Gamma_1 = \Gamma(\tau_1) = \mathbb{Z} + \mathbb{Z} \cdot \tau_1$ ,  $\Gamma_2 = \Gamma(\tau_2) = \mathbb{Z} + \mathbb{Z} \cdot \tau_2$ . Assume they define isomorphic tori  $\mathbb{C}/\Gamma_1 \cong \mathbb{C}/\Gamma_2$ . Then the isomorphism is given by  $[z] \mapsto [az] + [b]$ . Since the translation  $[z] \mapsto [z] + [b]$  is an isomorphism, the map  $[z] \mapsto [az]$  must be an isomorphism as well. So it must hold  $a\Gamma_1 = \Gamma_2$  (cf. Example 2.5).

In particular it means that  $a \cdot \tau_1$  and  $a \cdot 1$  belong to  $\Gamma_2$ . Write

$$a\tau_1 = \alpha\tau_2 + \beta, \quad a = \gamma\tau_2 + \delta, \quad \alpha, \beta, \delta, \gamma \in \mathbb{Z}.$$



In other words

$$a \cdot \begin{pmatrix} \tau_1 \\ 1 \end{pmatrix} = \begin{pmatrix} \alpha & \beta \\ \gamma & \delta \end{pmatrix} \cdot \begin{pmatrix} \tau_2 \\ 1 \end{pmatrix}.$$

Analogously, since the equality  $a\Gamma_1 = \Gamma_2$  is equivalent to  $a^{-1}\Gamma_2 = \Gamma_1$ , one concludes that

$$a^{-1} \cdot \begin{pmatrix} \tau_2 \\ 1 \end{pmatrix} = \begin{pmatrix} \alpha' & \beta' \\ \gamma' & \delta' \end{pmatrix} \cdot \begin{pmatrix} \tau_1 \\ 1 \end{pmatrix}$$

for some integer matrix  $\begin{pmatrix} \alpha' & \beta' \\ \gamma' & \delta' \end{pmatrix}$ .

One has

$$\begin{aligned} \begin{pmatrix} \tau_2 \\ 1 \end{pmatrix} &= aa^{-1} \begin{pmatrix} \tau_2 \\ 1 \end{pmatrix} = \left[ \begin{pmatrix} \alpha & \beta \\ \gamma & \delta \end{pmatrix} \cdot \left[ a^{-1} \cdot \begin{pmatrix} \tau_2 \\ 1 \end{pmatrix} \right] \right] = \\ &= \begin{pmatrix} \alpha & \beta \\ \gamma & \delta \end{pmatrix} \cdot \begin{pmatrix} \alpha' & \beta' \\ \gamma' & \delta' \end{pmatrix} \cdot \begin{pmatrix} \tau_2 \\ 1 \end{pmatrix} = \begin{pmatrix} c_{11} & c_{12} \\ c_{21} & c_{22} \end{pmatrix} \begin{pmatrix} \tau_2 \\ 1 \end{pmatrix} = \begin{pmatrix} c_{11}\tau_2 + c_{12} \\ c_{21}\tau_2 + c_{22} \end{pmatrix}, \end{aligned}$$

where

$$\begin{pmatrix} c_{11} & c_{12} \\ c_{21} & c_{22} \end{pmatrix} = \begin{pmatrix} \alpha & \beta \\ \gamma & \delta \end{pmatrix} \cdot \begin{pmatrix} \alpha' & \beta' \\ \gamma' & \delta' \end{pmatrix}.$$

Therefore, from the equalities  $\tau_2 = c_{11}\tau_2 + c_{12}$  and  $1 = c_{21}\tau_2 + c_{22}$  we get

$$\begin{pmatrix} c_{11} & c_{12} \\ c_{21} & c_{22} \end{pmatrix} = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix},$$

which means that  $\begin{pmatrix} \alpha & \beta \\ \gamma & \delta \end{pmatrix}$  and  $\begin{pmatrix} \alpha' & \beta' \\ \gamma' & \delta' \end{pmatrix}$  are invertible to each other integer matrices. Therefore, their determinants equal either 1 or  $-1$ .

Since  $\tau_1 = \frac{a\tau_1}{a} = \frac{\alpha\tau_2 + \beta}{\gamma\tau_2 + \delta}$ , we obtain

$$\tau_1 = \frac{\alpha\tau_2 + \beta}{\gamma\tau_2 + \delta} = \frac{(\alpha\tau_2 + \beta)(\gamma\bar{\tau}_2 + \delta)}{|\gamma\tau_2 + \delta|^2} = \frac{\alpha\gamma|\tau_2|^2 + \beta\delta + \alpha\delta\tau_2 + \beta\gamma\bar{\tau}_2}{|\gamma\tau_2 + \delta|^2}.$$

Hence

$$(3) \quad \text{Im } \tau_1 = \frac{1}{|\gamma\tau_2 + \delta|^2} \cdot (\alpha\delta - \beta\gamma) \text{Im } \tau_2$$

Since  $\text{Im } \tau_1 > 0$  and  $\text{Im } \tau_2 > 0$ , one concludes that  $\alpha\delta - \beta\gamma = \det \begin{pmatrix} \alpha & \beta \\ \gamma & \delta \end{pmatrix} > 0$  and hence  $\det \begin{pmatrix} \alpha & \beta \\ \gamma & \delta \end{pmatrix} = 1$ . We have shown that  $\begin{pmatrix} \alpha & \beta \\ \gamma & \delta \end{pmatrix} \in \text{SL}_2(\mathbb{Z})$ .

So, if  $\Gamma_1$  and  $\Gamma_2$  define isomorphic tori, then  $\tau_1 = \frac{\alpha\tau_2 + \beta}{\gamma\tau_2 + \delta}$  for  $\begin{pmatrix} \alpha & \beta \\ \gamma & \delta \end{pmatrix} \in \mathrm{SL}_2(\mathbb{Z})$ .

On the other hand, if  $\tau_1 = \frac{\alpha\tau_2 + \beta}{\gamma\tau_2 + \delta}$  for  $\begin{pmatrix} \alpha & \beta \\ \gamma & \delta \end{pmatrix} \in \mathrm{SL}_2(\mathbb{Z})$ , then  $a\Gamma_1 = \Gamma_2$  for  $a = \gamma\tau_2 + \delta$ . We obtained the following result.

**Theorem 10.9.** *Two lattices  $\Gamma(\tau_1)$  and  $\Gamma(\tau_2)$ ,  $\tau_1, \tau_2 \in \mathbb{H}$ , define isomorphic complex tori if and only if*

$$\tau_1 = \frac{\alpha\tau_2 + \beta}{\gamma\tau_2 + \delta}$$

for  $\begin{pmatrix} \alpha & \beta \\ \gamma & \delta \end{pmatrix} \in \mathrm{SL}_2(\mathbb{Z})$ .

In other words, if one defines an action of  $\mathrm{SL}_2(\mathbb{Z})$  on  $\mathbb{H}$  by

$$\begin{pmatrix} \alpha & \beta \\ \gamma & \delta \end{pmatrix} \cdot \tau = \frac{\alpha\tau + \beta}{\gamma\tau + \delta},$$

the set of its orbits  $\mathbb{H}/\mathrm{SL}_2(\mathbb{Z})$  can be seen as the set of all isomorphism classes of complex tori.

**Exercises.**

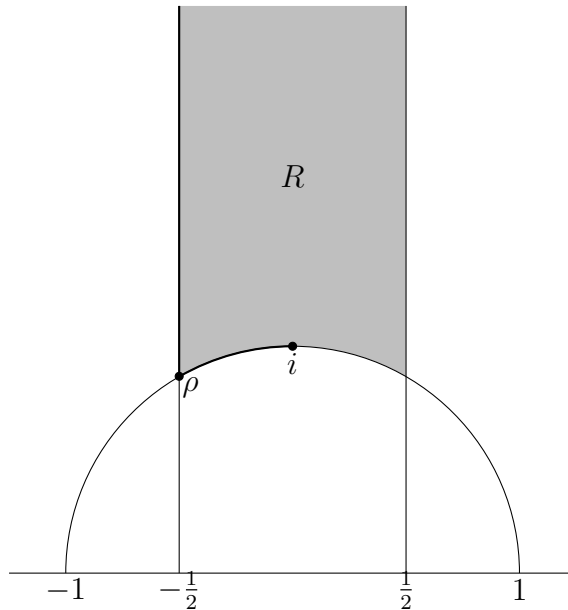
**Exercise 36.** Let  $\Gamma = \mathbb{Z} + \mathbb{Z} \cdot \tau$ ,  $\tau \in \mathbb{C}$ , be a lattice in  $\mathbb{C}$ . Let  $n$  be a natural number and let  $\Gamma' = \mathbb{Z} + \mathbb{Z} \cdot (n\tau)$ . Put  $X = \mathbb{C}/\Gamma$  and  $X' = \mathbb{C}/\Gamma'$  and consider the map

$$X \rightarrow X', \quad [z] \mapsto [nz].$$

By Exercise 5 it is a holomorphic map of Riemann surfaces. Prove that it is a covering. What is the number of points in the fibres?

**Exercise 37.** Let  $R = \{z \in \mathbb{C} \mid |z| > 1, |\operatorname{Re} z| < \frac{1}{2}\}$  and let

$$F = R \cup \{z \in \mathbb{C} \mid \operatorname{Re}(z) = -\frac{1}{2}, |z| \geq 1\} \cup \{z \in \mathbb{C} \mid |z| = 1, -\frac{1}{2} \leq \operatorname{Re}(z) \leq 0\}.$$



Let  $\mathbb{H}/\mathrm{SL}_2(\mathbb{Z})$  denote the space of the orbits of the action of  $\mathrm{SL}_2(\mathbb{Z})$  on  $\mathbb{H}$ . Prove that the restriction of the projection map

$$\pi : \mathbb{H} \rightarrow \mathbb{H}/\mathrm{SL}_2(\mathbb{Z}), \quad \tau \mapsto \text{orbit of } \tau = \left\{ \tau' \in \mathbb{H} \mid \tau' = \frac{a\tau + b}{c\tau + d}, \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \mathrm{SL}_2(\mathbb{Z}) \right\}$$

to  $F$  is a bijection. This means that the points of  $F$  are in one-to-one correspondence with the isomorphism classes of complex tori.

**Exercise 38.** In the lecture we realized the group  $\mathrm{SL}_2(\mathbb{Z})$  as the group of transformations of the upper half-plane  $\mathbb{H}$  of the form

$$\tau \mapsto \frac{a\tau + b}{c\tau + d}, \quad \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \mathrm{SL}_2(\mathbb{Z}).$$

(1) Show that this group is generated by the transformations

$$\tau \mapsto \tau + 1 \quad \text{and} \quad \tau \mapsto -\frac{1}{\tau}.$$

(2) What is the image of the region  $R$  from the previous exercise under the generators of  $\mathrm{SL}_2(\mathbb{Z})$  from the first part of this exercise?

**Exercise 39.** Let  $\Gamma$  be a lattice in  $\mathbb{C}$  and let  $\mathbb{C}/\Gamma$  be the corresponding complex torus. In the lecture we showed that the automorphisms of  $X$  must be of the form

$$[z] \mapsto [az] + [b], \quad a, b \in \mathbb{C}, \quad a \cdot \Gamma = \Gamma.$$

Let  $\mathrm{Aut}_0(\mathbb{C}/\Gamma)$  denote the subgroup in the group of all automorphisms of  $\mathbb{C}/\Gamma$  consisting of the automorphisms  $\mathbb{C}/\Gamma \xrightarrow{f} \mathbb{C}/\Gamma$  such that  $f([0]) = [0]$ , i. e.,

$$\mathrm{Aut}_0(\mathbb{C}/\Gamma) = \{\mathbb{C}/\Gamma \rightarrow \mathbb{C}/\Gamma, [z] \mapsto [az] \mid a \in \mathbb{C}, a \cdot \Gamma = \Gamma\}.$$

(0) Show that  $a \cdot \Gamma = \Gamma$  implies  $|a| = 1$ .

(1) Compute  $\mathrm{Aut}_0(\mathbb{C}/\Gamma(i)) \cong \mathbb{Z}/4\mathbb{Z}$ , where  $\Gamma(i) = \mathbb{Z} + \mathbb{Z} \cdot i$ .

(2) Compute  $\mathrm{Aut}_0(\mathbb{C}/\Gamma(\rho)) \cong \mathbb{Z}/6\mathbb{Z}$ , where  $\Gamma(\rho) = \mathbb{Z} + \mathbb{Z} \cdot \rho$ ,  $\rho = e^{\frac{2}{3}\pi i} = -\frac{1}{2} + \frac{\sqrt{3}}{2}i$ .

(3) Compute  $\mathrm{Aut}_0(\mathbb{C}/\Gamma(\tau)) \cong \mathbb{Z}/2\mathbb{Z}$ , where  $\Gamma(\tau) = \mathbb{Z} + \mathbb{Z} \cdot \tau$ , for  $\tau = 2i$  and  $\tau = \frac{1}{2} + i$ .

(4) Try to compute  $\mathrm{Aut}_0(\mathbb{C}/\Gamma(\tau))$ , for an arbitrary  $\tau \in F$ .

## 11. LECTURE 11

In the last lecture we obtained a description of the isomorphism classes of complex tori.

Consider now the quotient map

$$\mathbb{H} \xrightarrow{\pi} \mathbb{H}/\mathrm{SL}_2(\mathbb{Z}), \quad \tau \mapsto \text{orbit of } \tau.$$

Introduce on  $\mathbb{H}/\mathrm{SL}_2(\mathbb{Z})$  the quotient topology, i. e., call the set  $U \subset \mathbb{H}/\mathrm{SL}_2(\mathbb{Z})$  open if and only if  $\pi^{-1}U \subset \mathbb{H}$  is open.

**Exercise.**  $\pi$  is a local homeomorphism outside of the orbits of the points  $i, \rho \in \mathbb{H}$ ,  $\rho = \exp(\frac{2\pi}{3} \cdot i) = -\frac{1}{2} + \frac{\sqrt{3}}{2}i$ . This allows us to introduce a structure of a Riemann surface on

$$(\mathbb{H}/\mathrm{SL}_2(\mathbb{Z})) \setminus \{\pi(i), \pi(\rho)\},$$

i. e., on the quotient space without the two points  $\pi(i)$  and  $\pi(\rho)$ .

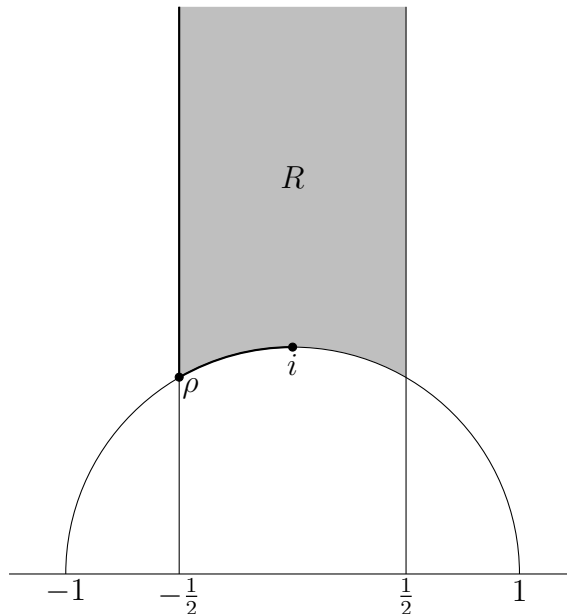
**Remark 11.1.** Notice that the restriction of  $\pi$  to every neighbourhood of  $i$  or  $\rho$  is never injective. This shows that  $\pi$  can not be a local homeomorphism around these points.

Let us visualize the space  $\mathbb{H}/\mathrm{SL}_2(\mathbb{Z})$ . Let

$$R = \{z \in \mathbb{C} \mid |z| > 1, |\mathrm{Re} z| < \frac{1}{2}\}$$

and take

$$F = R \cup \{z \mid \mathrm{Re} z = -\frac{1}{2}, |z| \geq 1\} \cup \{z \mid |z| = 1, -\frac{1}{2} \leq \mathrm{Re} z \leq 0\}.$$



**Exercise.** Then the restriction of  $\pi$  to  $F$  is a bijection, i. e.,  $F$  can be seen as the set of all isomorphism classes of complex tori.

*Proof of the surjectivity.* Let  $\tau \in \mathbb{H}$ . Let us show that there exists  $A \in \mathrm{SL}_2(\mathbb{Z})$  such that  $A \cdot \tau \in F$ . More details can be found in [5].

First of all notice that

$$\mathrm{Im} \left( \frac{a\tau + b}{c\tau + d} \right) = \frac{1}{|c\tau + d|^2} \cdot \mathrm{Im} \tau, \quad \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \mathrm{SL}_2(\mathbb{Z}).$$

This assures for a fixed  $\tau$  the existence of

$$\max_{A \in \mathrm{SL}_2(\mathbb{Z})} \{\mathrm{Im}(A \cdot \tau)\}.$$

Therefore, there exists  $A_0 \in \mathrm{SL}_2(\mathbb{Z})$  such that for  $\tau_0 = A_0 \cdot \tau$

$$\mathrm{Im} \tau_0 \geq \mathrm{Im} A \cdot \tau, \quad \text{for every } A \in \mathrm{SL}_2(\mathbb{Z}).$$

Since  $\mathrm{Im}(\tau_0 + n) = \mathrm{Im} \tau_0$  for every  $n \in \mathbb{Z}$ , we may assume, possibly taking  $\begin{pmatrix} 1 & n \\ 0 & 1 \end{pmatrix} \cdot A_0$  instead of  $A_0$ , that  $|\mathrm{Re} \tau_0| \leq \frac{1}{2}$ .

Since  $\mathrm{Im} \tau_0 \geq \mathrm{Im} A\tau$  for every  $A \in \mathrm{SL}_2(\mathbb{A})$ , let us apply this to the matrix  $\begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix} \cdot A_0$ . We get

$$\mathrm{Im} \tau_0 \geq \mathrm{Im} \left( \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix} A_0 \cdot \tau \right) = \mathrm{Im} \left( \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix} \cdot \tau_0 \right) = \mathrm{Im}(-1/\tau_0) = \frac{\mathrm{Im} \tau_0}{|\tau_0|^2},$$

which implies  $|\tau_0| \geq 1$ .

If  $\tau_0$  does not belong to  $F$ , then either  $\mathrm{Re} \tau_0 = \frac{1}{2}$  or  $|\tau_0| = 1$  and  $0 < \mathrm{Re} \tau_0 \leq \frac{1}{2}$ . One can easily correct this. Namely, if  $\mathrm{Re} \tau_0 = \frac{1}{2}$ , then  $\begin{pmatrix} 1 & -1 \\ 0 & 1 \end{pmatrix} A_0 \cdot \tau = \tau_0 - 1 \in F$ ; if  $|\tau_0| = 1$  and  $0 < \mathrm{Re} \tau_0 \leq \frac{1}{2}$ , then  $\begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix} A_0 \cdot \tau = -1/\tau_0 \in F$ .  $\square$

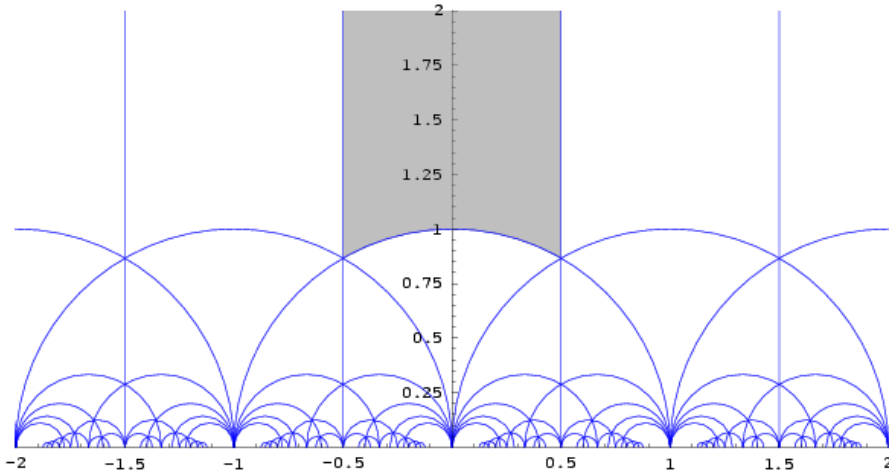


FIGURE 1. The interior of every triangular region (with one of the vertices lying possibly “at infinity”) is the image of  $R$  under the action of some element from  $\mathrm{SL}_2(\mathbb{Z})$ .

**Automorphism of complex tori.** Let us study the automorphism of complex tori. By Proposition 10.8 it is enough to study the automorphisms  $\mathbb{C}/\Gamma \xrightarrow{f} \mathbb{C}/\Gamma$  such that  $f([0]) = 0$ . So let  $\text{Aut}_0(\mathbb{C}/\Gamma)$  denote the subgroup in the group of all automorphisms of  $\mathbb{C}/\Gamma$  consisting of the automorphisms  $\mathbb{C}/\Gamma \xrightarrow{f} \mathbb{C}/\Gamma$  such that  $f([0]) = [0]$ . Then, as already mentioned,

$$\text{Aut}_0(\mathbb{C}/\Gamma) = \{\mathbb{C}/\Gamma \rightarrow \mathbb{C}/\Gamma, [z] \mapsto [az] \mid a \in \mathbb{C}, a \cdot \Gamma = \Gamma\}.$$

An automorphism from  $\text{Aut}_0(\mathbb{C}/\Gamma(\tau))$ ,  $\tau \in \mathbb{H}$ , is given by a matrix  $\begin{pmatrix} \alpha & \beta \\ \gamma & \delta \end{pmatrix} \in \text{SL}_2(\mathbb{Z})$  such that  $\tau = \frac{\alpha\tau + \beta}{\gamma\tau + \delta}$ . Namely, the automorphism is given by the rule

$$[z] \mapsto [az], \quad a = \gamma\tau + \delta.$$

Notice that (3) implies in this case  $|a| = 1$ .

If  $\gamma = 0$ , then this provides two different automorphisms of  $\mathbb{C}/\Gamma(\tau)$ : the identity  $[z] \mapsto [z]$  and  $[z] \mapsto -[z]$ .

Analyzing the case of  $\gamma \neq 0$  one can obtain the following statement.

**Claim.** *Let  $\tau \in F$ . If  $\tau \neq i$  and  $\tau \neq \rho$ , then*

$$\text{Aut}_0(\mathbb{C}/\Gamma(\tau)) = \{\pm \text{id}_{\mathbb{C}/\Gamma(\tau)}\} \cong \mathbb{Z}/2\mathbb{Z}.$$

*It holds also*

$$\text{Aut}_0(\mathbb{C}/\Gamma(i)) \cong \mathbb{Z}/4\mathbb{Z}, \quad \text{Aut}_0(\mathbb{C}/\Gamma(\rho)) \cong \mathbb{Z}/6\mathbb{Z}.$$

*Proof.* Exercise. □

**Remark 11.2.** 1) Notice that the automorphism group of the Riemann sphere  $\text{Aut}(\hat{\mathbb{C}})$  coincides with the group of the transformations

$$\hat{\mathbb{C}} \rightarrow \hat{\mathbb{C}}, \quad x \mapsto \frac{ax + b}{cx + d}, \quad \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \text{GL}_2(\mathbb{C}).$$

which is isomorphic to the quotient of the general linear group  $\text{GL}_2(\mathbb{C})$  by the subgroup of the matrices  $\left\{ \begin{pmatrix} \lambda & 0 \\ 0 & \lambda \end{pmatrix} \mid \lambda \in \mathbb{C}^* \right\}$ . This quotient is denoted by  $\text{PGL}_2(\mathbb{C})$ . Notice that  $\text{PGL}_2(\mathbb{C})$  is an infinite group. The subgroup  $\text{Aut}_0(\hat{\mathbb{C}})$  of the automorphisms preserving  $0 \in \hat{\mathbb{C}}$  consists of the transformations

$$\hat{\mathbb{C}} \rightarrow \hat{\mathbb{C}}, \quad x \mapsto \frac{ax}{cx + d}, \quad \begin{pmatrix} a & 0 \\ c & d \end{pmatrix} \in \text{GL}_2(\mathbb{C}).$$

This group is infinite as well.

2) Notice that though  $\text{Aut}_0(\mathbb{C}/\Gamma)$  is finite for every lattice  $\Gamma$ , the whole automorphism group  $\text{Aut}(\mathbb{C}/\Gamma)$  is infinite.

3) The Hurwitz's automorphisms theorem says that for a compact Riemann surface  $X$  of genus  $g \geq 2$  the automorphism group  $\text{Aut}(X)$  is finite and

$$|\text{Aut}(X)| \leq 84(g-1).$$

**Meromorphic functions on complex tori.** Consider the Riemann-Roch formula from Theorem 9.10 for a complex torus  $X = \mathbb{C}/\Gamma$ . We know that  $g = g_X = 1$ , hence  $2g - 1 = 1$  and thus for every divisor  $D$  on  $X$  with  $\deg D > 0$  it holds  $\deg D \geq 2g - 1$  and we obtain

$$l(D) = \deg D + 1 - g = \deg D.$$

In particular for  $D = n \cdot [0]$  we obtain

$$(4) \quad l(D) = \begin{cases} 1, & \text{if } n = 0; \\ n, & \text{if } n \geq 1. \end{cases}$$

This gives  $l(2 \cdot [0]) = 2$ , i. e., there exists a non-constant meromorphic function on  $X$  with the only pole at  $[0]$  or multiplicity 2.

**Reminder 11.3.** Recall that meromorphic functions on  $\mathbb{C}/\Gamma$  are in one-to-one correspondence with doubly periodic (elliptic) meromorphic functions on  $\mathbb{C}$  with respect to  $\Gamma$  (Theorem 2.8).

So there must exist an elliptic function on  $\mathbb{C}$  with respect to  $\Gamma$  with poles of order 2 at the points of  $\Gamma$ .

A naïve attempt to construct such a function could be to consider the sum

$$\sum_{\gamma \in \Gamma} \frac{1}{(z - \gamma)^2},$$

but this sum is infinite and is not convergent in any reasonable sense. However one can slightly modify this idea in order to get the required function. Put

$$\wp(z) = \frac{1}{z^2} + \sum_{0 \neq \gamma \in \Gamma} \left( \frac{1}{(z - \gamma)^2} - \frac{1}{\gamma^2} \right).$$

This infinite sum is summable (one can read about this (in German) in [11]) and defines an elliptic function on  $\mathbb{C}$  with respect to  $\Gamma$  with poles of order 2 at the points of  $\Gamma$ . Of course, this function depends on a given  $\Gamma = \mathbb{Z}\omega_1 + \mathbb{Z}\omega_2$  or  $\Gamma = \mathbb{Z} + \mathbb{Z}\tau$ , so to indicate this dependence one uses the notations

$$\wp(z) = \wp(z; \Gamma) = \wp(z; \omega_1, \omega_2) = \wp(z; \tau).$$

**Definition 11.4.**  $\wp$  is called the Weierstraß  $\wp$ -function.



The derivative of the Weierstraß  $\wp$ -function

$$\wp'(z) = - \sum_{\gamma \in \Gamma} \frac{2}{(z - \gamma)^3}$$

has clearly poles of order 3 at the points of  $\Gamma$ , so it defines a meromorphic function on  $\mathbb{C}/\Gamma$  with the only pole of multiplicity 3 at  $[0]$ . Note that  $\wp(z)$  and  $\wp'(z)$  are linearly independent. Therefore, (4) implies

$$\mathcal{L}([0]) = \mathbb{C} \cdot 1, \quad \mathcal{L}(2 \cdot [0]) = \mathbb{C} \cdot 1 + \mathbb{C} \cdot \wp(z), \quad \mathcal{L}(3 \cdot [0]) = \mathbb{C} \cdot 1 + \mathbb{C} \cdot \wp(z) + \mathbb{C} \cdot \wp'(z),$$

where we use the same notations for elliptic functions and the corresponding meromorphic functions on  $\mathbb{C}/\Gamma$ .

Combining  $\wp(z)$  and  $\wp'(z)$  with each other one easily produces examples of meromorphic functions from  $\mathcal{L}(n \cdot [0])$  for every  $n \in \mathbb{N}$ . For example  $\wp^2(z) \in \mathcal{L}(4 \cdot [0])$ ,  $\wp(z)\wp'(z) \in \mathcal{L}(5 \cdot [0])$ . Of course, one can also take higher derivatives, then  $\wp''(z) \in \mathcal{L}(4 \cdot [0])$ , etc.

Combining  $\wp(z)$  and  $\wp'(z)$  and using (4) one easily computes  $\mathcal{L}(4 \cdot [0])$  and  $\mathcal{L}(5 \cdot [0])$ .

**Exercise.**  $\mathcal{L}(4 \cdot [0]) = \mathbb{C} \cdot 1 + \mathbb{C} \cdot \wp(z) + \mathbb{C} \cdot \wp'(z) + \mathbb{C} \cdot \wp^2(z)$ ,  $\mathcal{L}(5 \cdot [0]) = \mathbb{C} \cdot 1 + \mathbb{C} \cdot \wp(z) + \mathbb{C} \cdot \wp'(z) + \mathbb{C} \cdot \wp^2(z) + \mathbb{C} \cdot \wp(z)\wp'(z)$ .

Let now  $n = 6$ . Then  $l(6 \cdot [0]) = 6$ . However the functions

$$1, \quad \wp, \quad \wp', \quad \wp^2, \quad \wp\wp', \quad \wp^3, \quad (\wp')^2$$

all belong to  $\mathcal{L}(6 \cdot [0])$ . Therefore they must be linearly dependent. This means that there must exist a polynomial in two variables  $f(x, y) \in \mathbb{C}[x, y]$ , with monomials  $1, x, y, x^2, xy, x^3, y^2$  such that

$$f(\wp, \wp') = 0.$$

Let us find this polynomial.

**Algebraic relation between  $\wp$  and  $\wp'$ .**

**Claim.** *The Weierstraß  $\wp$ -function can be given as*

$$\wp(z) = \frac{1}{z^2} + \sum_{n=1}^{\infty} (2n+1)G_{2(n+1)} \cdot z^{2n},$$

where the coefficients

$$G_m = \sum_{0 \neq \gamma \in \Gamma} \gamma^{-m}, \quad m \geq 3.$$

are called the Eisenstein series.

*Proof.* Exercise. □

One computes

$$\begin{aligned}\wp(z) &= \frac{1}{z^2} + 3G_4z^2 + 5G_6z^4 + \dots, \\ \wp'(z) &= -\frac{2}{z^3} + 6G_4z + 20G_6z^3 + \dots, \\ (\wp'(z))^2 &= \frac{4}{z^6} - 24G_4\frac{1}{z^2} - 80G_6 + \dots, \\ \wp^3(z) &= \frac{1}{z^6} + 9G_4\frac{1}{z^2} + 15G_6 + \dots\end{aligned}$$

Therefore,

$$\begin{aligned}(\wp'(z))^2 - 4\wp^3(z) &= -60G_4\frac{1}{z^2} - 140G_6 + \dots, \\ (\wp'(z))^2 - 4\wp^3(z) + 60G_4\wp(z) &= -140G_6 + \dots,\end{aligned}$$

which means that  $(\wp'(z))^2 - 4\wp^3(z) + 60G_4\wp(z)$  is holomorphic, thus it must be constant, i. e.,

$$(\wp'(z))^2 - 4\wp^3(z) + 60G_4\wp(z) = -140G_6.$$

We obtained the following statement.

**Proposition 11.5.** *Let  $g_2 = 60G_4$ ,  $g_3 = 140G_6$ . Put*

$$f(x, y) = y^2 - 4x^3 + g_2x + g_3.$$

*Then  $f(\wp, \wp') = 0$ .*

**Exercises.**

**Exercise 40.** Let  $\pi : \mathbb{H} \rightarrow \mathbb{H}/\mathrm{SL}_2(\mathbb{Z})$  be the projection map and let the set of orbits  $\mathbb{H}/\mathrm{SL}_2(\mathbb{Z})$  be equipped with the quotient topology.

(1) Let  $\rho = -\frac{1}{2} + \frac{\sqrt{3}}{2} = e^{\frac{2\pi}{3}i}$ . Show that  $\pi$  is a local homeomorphism outside of the orbits of  $i$  and  $\rho$ .

(2) Show that for every  $\tau \in \mathbb{H}$  from the orbit of  $i$  or  $\rho$  every open neighbourhood of  $\tau$  contains different points with the same image under  $\pi$ .

**Hint:** For small  $\epsilon$  consider in the case  $\tau = i$  the pair of numbers  $e^{i(\frac{\pi}{2}+\epsilon)}$  and  $e^{i(\frac{\pi}{2}-\epsilon)}$ ; for  $\tau = \rho$  consider the pair  $e^{i(\frac{2\pi}{3}+\epsilon)}$  and  $-1 + e^{i(\frac{\pi}{3}-\epsilon)}$ .

**Exercise 41.** Let  $\Gamma$  be a lattice in  $\mathbb{C}$ , let  $X = \mathbb{C}/\Gamma$  be the corresponding complex torus, and let  $\wp(z)$  be the corresponding Weierstraß function.

Notice that by the Riemann-Roch theorem  $l(4 \cdot [0]) = 4$ . On the other hand the functions  $1, \wp, \wp', \wp^2, \wp''$  belong to  $\mathcal{L}(4 \cdot [0])$ . Conclude that they are linear dependant and find a linear relation between them. You could do it directly or using the relation

$$(\wp')^2 = 4\wp^3 - g_2\wp - g_3$$

from the lecture.

**Exercise 42.** Consider for a lattice  $\Gamma \subset \mathbb{C}$  the Eisenstein series  $G_4 = G_4(\Gamma) = \sum_{0 \neq \gamma \in \Gamma} \gamma^{-4}$ ,  $G_6 = G_6(\Gamma) = \sum_{0 \neq \gamma \in \Gamma} \gamma^{-6}$ . Let  $\Gamma(\tau) = \mathbb{Z} + \mathbb{Z} \cdot \tau$ . As in the lecture, denote  $\rho = e^{i\frac{2\pi}{3}}$ . Compute

$$G_4(\Gamma(\rho)) = 0, \quad G_6(\Gamma(i)) = 0.$$

**Hint:** Notice that one can exchange the order of the summands in the Eisenstein series.

For  $\Gamma = \Gamma(\rho)$  define the subset  $\Gamma' \subset \Gamma$  by  $\Gamma' = \{\gamma \in \Gamma \mid \gamma = r \cdot e^{i\varphi} \text{ with } 0 \leq \varphi < \frac{\pi}{3}\}$ . Observe that  $\Gamma$  can be seen as the disjoint union of the rotations of  $\Gamma'$ , namely of the sets  $e^{i\frac{\pi k}{3}} \cdot \Gamma'$ ,  $k = 0, 1, \dots, 5$ . Notice that  $\sum_{k=0}^5 e^{-4i\frac{\pi k}{3}} = 0$ .

For  $\Gamma = \Gamma(i)$  define  $\Gamma' = \{\gamma \in \Gamma \mid \gamma = r \cdot e^{i\varphi} \text{ with } 0 \leq \varphi < \frac{\pi}{2}\}$ . Observe that  $\Gamma$  is the disjoint union of  $\Gamma', i\Gamma', -\Gamma'$ , and  $-i\Gamma'$ . Use that  $\sum_{k=0}^3 e^{-6i\frac{\pi k}{2}} = 0$ .

**Exercise 43.** Let  $\Gamma$  be a lattice in  $\mathbb{C}$  and let  $\wp$  be the corresponding Weierstraß function.

(1) Notice that  $\wp'(z)$  considered as a meromorphic function on  $\mathbb{C}/\Gamma$  has its only pole at  $[0]$  of multiplicity 3. How many zeroes could  $\wp'(z)$  have? Using that  $\wp'$  is elliptic and odd, show that the points  $[\frac{\omega_1}{2}], [\frac{\omega_2}{2}], [\frac{\omega_1+\omega_2}{2}]$  are zeroes of  $\wp'(z)$ . Are there any other zeroes of  $\wp'(z)$ ?

(2) Show that  $\wp(z) = \wp(w)$  if and only if either  $z = w \pmod{\Gamma}$  or  $z = -w \pmod{\Gamma}$ .

**Hint:** For a fixed  $w$  consider  $h(z) = \wp(z) - \wp(w)$  and study its set of zeroes using that  $\wp(z)$  is an even function. How many zeroes can  $h(z)$  have? When can  $h(z)$  have a multiple zero?

## 12. LECTURE 12

Our next aim is to determine the field  $\mathcal{M}_X(X)$  of meromorphic functions on a complex torus  $X$ .

Identify  $\mathcal{M}_X(X)$  with the field of elliptic functions on  $\mathbb{C}$  with respect to  $\Gamma$ .

Let  $f(z)$  be an elliptic function, then

$$f(z) = \frac{1}{2}(f(z) + f(-z)) + \frac{1}{2}(f(z) - f(-z)).$$

Put  $g(z) = \frac{1}{2}(f(z) + f(-z))$  and  $h(z) = \frac{1}{2}(f(z) - f(-z))$ , then  $f(z) = g(z) + h(z)$ ,  $g(-z) = g(z)$  and  $h(-z) = -h(z)$ , i. e.,  $g$  is even and  $h$  is odd. This proves the following.

**Claim.** *Every elliptic function on  $\mathbb{C}$  can be represented as a sum of an even elliptic function  $f$  with an odd elliptic function  $h$ .*

**Even elliptic functions.** Our first observation is that  $\wp(z)$  is even.

**Theorem 12.1.** *Let  $f(z)$  be an even elliptic function. Then there exists a rational function in one variable  $\Phi(t) \in \mathbb{C}(t)$  such that  $f = \Phi(\wp)$ . Moreover, if the poles of  $f$  are contained in  $\Gamma$ , then  $\Phi$  can be taken polynomial.*

*Proof.* Assume that the poles of  $f$  are contained in  $\Gamma$ . Consider the Laurent expansion of  $f$  at 0. Since  $f$  is even, we get

$$f = \sum_{i > -n} a_{2i} z^{2i}.$$

Hence the poles of  $f$  must have an even order. Consider the principal part of  $f$  at 0:

$$a_{-2n} z^{-2n} + \cdots + a_{-1} z^{-2}.$$

Note that the Laurent expansion of  $\wp(z)$  at zero is

$$\frac{1}{z^2} + b_2 z^2 + b_4 z^4 + \dots$$

Its principal part is  $\frac{1}{z^2}$ . One concludes that the principal part of  $\wp^l(z)$  is of the form

$$\frac{1}{z^{2l}} + \text{linear combination of } \frac{1}{z^{2\nu}} \text{ with } \nu < l.$$

Then  $f - a_{-2n} \wp^{2n}(z)$  has poles of smaller multiplicity than  $f$ . So, by induction one gets that for some coefficients  $\lambda_i \in \mathbb{C}$  the function  $f - \sum_{i \geq 1}^n \lambda_i \wp^i$  is holomorphic, hence constant, say  $\lambda_0$ .

Then

$$f = \sum_{i \geq 0}^n \lambda_i \wp^i = \Phi(\wp), \quad \Phi(t) = \sum_{i \geq 0}^n \lambda_i t^i.$$

Let now  $f$  be an arbitrary even elliptic function. Modulo  $\Gamma$  it can have only finitely many poles outside  $\Gamma$ . Let  $p_1, \dots, p_r$  be the corresponding representatives of all poles not belonging to  $\Gamma$ . Then  $\wp(z) - \wp(p_i)$  has a zero at  $p_1$ . Let  $\nu_i$  be the multiplicity of the pole  $p_i$  of  $f$ . Then

$$h(z) = f \cdot \prod_{i=1}^r (\wp(z) - \wp(p_i))^{\nu_i}$$

does not have any poles outside of  $\Gamma$  and therefore there exists a polynomial  $\Psi(t) \in \mathbb{C}[t]$  such that  $\Psi(\wp) = h(z)$ . Then

$$f = \frac{h(z)}{\prod_{i=1}^r (\wp(z) - \wp(p_i))^{\nu_i}} = \frac{\Psi(\wp)}{\prod_{i=1}^r (\wp(z) - \wp(p_i))^{\nu_i}},$$

i. e.,  $f = \Phi(\wp)$  for

$$\Phi(t) = \frac{\Psi(t)}{\prod_{i=1}^r (t - \wp(p_i))^{\nu_i}} \in \mathbb{C}(t).$$

This concludes the proof.  $\square$

**Odd elliptic functions.** Notice that  $\wp'(z)$  is odd. Let  $f$  be an arbitrary odd elliptic function. Then  $\frac{f}{\wp'}$  is an even elliptic function, hence there exists  $\Phi(t) \in \mathbb{C}(t)$  such that  $f = \wp' \cdot \Phi(\wp)$ . Finally we get

**Theorem 12.2.** *Let  $X = \mathbb{C}/\Gamma$  be a complex torus. Let  $\wp(z) = \wp(z; \Gamma)$  be the corresponding Weierstraß  $\wp$ -function. Then  $\mathcal{M}_{\mathbb{C}/\Gamma}(\mathbb{C}/\Gamma) = \mathbb{C}(\wp) + \wp'(z)\mathbb{C}(\wp)$*

**Remark 12.3.** Notice that the proof of Theorem 12.2 is constructive

**Corollary 12.4.**  $\mathcal{M}_{\mathbb{C}/\Gamma}(\mathbb{C}/\Gamma) \cong \mathbb{C}(x)[y]/(y^2 - 4x^3 + g_2x + g_3)$ , where  $g_2 = 60 \sum_{0 \neq \gamma \in \Gamma} \frac{1}{\gamma^4}$ ,  $g_3 = 140 \sum_{0 \neq \gamma \in \Gamma} \frac{1}{\gamma^6}$

*Proof.* Define a surjective homomorphism

$$\mathbb{C}(x)[y] \rightarrow \mathcal{M}_{\mathbb{C}/\Gamma}(\mathbb{C}/\Gamma), \quad x \mapsto \wp(z), \quad y \mapsto \wp'(z).$$

Then by Proposition 11.5  $y^2 - 4x^3 + g_2x + g_3$  lies in the kernel and we obtain a surjection

$$\mathbb{C}(x)[y]/(y^2 - 4x^3 + g_2x + g_3) \rightarrow \mathcal{M}_{\mathbb{C}/\Gamma}(\mathbb{C}/\Gamma).$$

Since  $f$  is irreducible polynomial over  $\mathbb{C}(x)$ , we conclude that  $\mathbb{C}(x)[y]/(y^2 - 4x^3 + g_2x + g_3)$  is a field. Since non-zero field homomorphisms are injective, we conclude that  $\mathcal{M}_{\mathbb{C}/\Gamma}(\mathbb{C}/\Gamma) \cong \mathbb{C}(x)[y]/(y^2 - 4x^3 + g_2x + g_3)$ . This concludes the proof.  $\square$

**Complex tori as smooth projective algebraic plane curves.** Recall that the projective plane

$$\mathbb{P}_2 = \{\langle x_0, x_1, x_2 \rangle \mid (x_0, x_1, x_2) \in \mathbb{C}^3 \setminus \{0\}\},$$

has a natural structure of a complex manifold.

**Definition 12.5.** A plane projective curve  $C$  is the set of zeroes of a homogeneous polynomial  $f \in \mathbb{C}[z_0, z_1, z_2]$

$$C = Z(f) = \{\langle x_0, x_1, x_2 \rangle \in \mathbb{P}_2 \mid f(x_0, x_1, x_2) = 0\}.$$

$C$  is called smooth if it is a complex submanifold of  $\mathbb{P}_2$  (in this case it is a Riemann surface).

**Claim.**  $C = Z(f) \subset \mathbb{P}_2$  is smooth if and only if

$$Z\left(\frac{\partial f}{\partial z_0}, \frac{\partial f}{\partial z_1}, \frac{\partial f}{\partial z_2}\right) = \{\langle x_0, x_1, x_2 \rangle \in \mathbb{P}_2 \mid \frac{\partial f}{\partial z_i}(x_0, x_1, x_2) = 0, i = 0, 1, 2\}$$

is empty, i. e., the partial derivatives of  $f$  do not have common zeroes in  $\mathbb{P}_2$ .

*Proof.* Exercise. □

**Theorem 12.6.** Every complex torus  $\mathbb{C}/\Gamma$  is isomorphic to a smooth projective plane cubic curve. More precisely,  $\mathbb{C}/\Gamma \cong Z(f)$ , where

$$f = z_0 z_2^2 - 4z_1^3 + g_2 z_0^2 z_1 + g_3 z_0^3, \quad g_2 = 60 \sum_{0 \neq \gamma \in \Gamma} \frac{1}{\gamma^4}, \quad g_3 = 140 \sum_{0 \neq \gamma \in \Gamma} \frac{1}{\gamma^6}.$$

The isomorphism is given by the map

$$\mathbb{C}/\Gamma \xrightarrow{\varphi} \mathbb{P}_2, \quad [z] \mapsto \begin{cases} \langle 1, \wp(z), \wp'(z) \rangle, & [z] \neq [0]; \\ \langle 0, 0, 1 \rangle, & [z] = [0]. \end{cases}$$

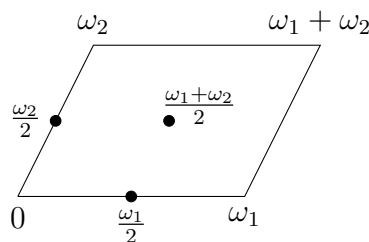
*Proof(Sketch).* Let  $C = Z(f)$ . From the discussion above it is clear that  $\varphi(\mathbb{C}/\Gamma) \subset C$ .

I. **Bijectivity of  $\varphi : \mathbb{C}/\Gamma \rightarrow C$ .**

I.1. **Injectivity.**

**Lemma 12.7.** 1)  $\wp(z) = \wp(w)$  if and only if  $z = w \pmod{\Gamma}$  or  $z = -w \pmod{\Gamma}$ .

2)  $\wp'(z) = 0$  if and only if  $2z \in \Gamma$ , i. e., there are three different  $\pmod{\Gamma}$  zeroes  $\frac{\omega_1}{2}, \frac{\omega_2}{2}, \frac{\omega_1 + \omega_2}{2}$ .



So if  $z, w \notin \Gamma$  such that  $\varphi(z) = \varphi(w)$ , then  $\wp(z) = \wp(w)$ ,  $\wp'(z) = \wp'(w)$ . So either  $w = z \pmod{\Gamma}$  (and hence  $[z] = [w]$ ) or  $z = -w \pmod{\Gamma}$  and  $\wp'(z) = \wp'(-w) = -\wp'(w) = -\wp'(z)$ . In the second case  $2\wp'(z) = 0$ , thus  $\wp'(z) = 0$ . Then by Lemma 12.7  $2z \in \Gamma$  and finally  $z = w \pmod{\Gamma}$ . Since  $\varphi([z]) \neq \langle 0, 0, 1 \rangle$  for all  $[z] \neq [0]$ , we conclude that  $\varphi$  is injective.

**Remark 12.8.** In particular  $\wp$  takes different values at  $\frac{\omega_1}{2}, \frac{\omega_2}{2}, \frac{\omega_1 + \omega_2}{2}$  (i. e., at zeroes of  $\wp'$ ). Put  $h(x) = 4x^3 - g_2x - g_3$ . Then since  $\wp'(z)^2 = h(\wp(z))$ , we conclude that  $\wp(\frac{\omega_1}{2}), \wp(\frac{\omega_2}{2}), \wp(\frac{\omega_1 + \omega_2}{2})$  are 3 different zeroes of  $h$ , thus

$$h(x) = 4 \left( x - \wp\left(\frac{\omega_1}{2}\right) \right) \cdot \left( x - \wp\left(\frac{\omega_2}{2}\right) \right) \cdot \left( x - \wp\left(\frac{\omega_1 + \omega_2}{2}\right) \right).$$

**I.2 Surjectivity.** It is clear that  $\langle 0, 0, 1 \rangle \in \varphi(\mathbb{C}/\Gamma)$ .

Take an arbitrary  $\langle 1, a, b \rangle \in C$ . Since  $\wp$  takes all values, there exists  $z \in \mathbb{C}$  with  $\wp(z) = a$ . Since  $b^2 = \wp'(z)^2 = h(\wp(z)) = h(a)$  we conclude  $\wp'(z) = \pm b$ . If  $\wp'(z) = b$ , then  $\varphi([z]) = \langle 1, a, b \rangle$ . If  $\wp'(z) = -b$ , then  $\varphi([-z]) = \langle 1, \wp(-z), \wp'(-z) \rangle = \langle 1, \wp(z), -\wp'(z) \rangle = \langle 1, a, b \rangle$ .

**II.  $C$  is a smooth curve in  $\mathbb{P}_2$  (i. e., submanifold).** Indeed. Suppose the contrary. Then there exists  $s = \langle s_0, s_1, s_2 \rangle \in \mathbb{P}_2$  such that

$$\frac{\partial f}{\partial z_0}(s) = \frac{\partial f}{\partial z_1}(s) = \frac{\partial f}{\partial z_2}(s) = 0.$$

One computes that this implies that

$$\Delta = g_2^3 - 27g_3^2 = 0.$$

On the other hand one notes that  $\Delta$  is the discriminant of  $h(x) = 4x^3 - g_2x - g_3$ . Since the latter has 3 zeroes, we get  $\Delta \neq 0$  and thus a contradiction. Therefore  $C$  is smooth.

**III.** From the definition of  $\varphi$  it follows that it is continuous. Clearly  $\varphi$  is holomorphic on  $\mathbb{C}/\Gamma \setminus \{[0]\}$ . By Theorem 2.1  $\varphi$  is a holomorphic map to  $\mathbb{P}_2$ . Its image  $C$  is a submanifold, so  $\varphi : \mathbb{C}/\Gamma \rightarrow C$  is a holomorphic map of Riemann surfaces. Since it is bijective, we conclude that  $\varphi$  is an isomorphism, which concludes the proof.  $\square$

**Definition 12.9.** Smooth projective plane cubic curves are called elliptic curves. So complex tori are elliptic curves.

**$j$ -invariant.** We defined for  $\tau \in \mathbb{H}$   $g_2 = g_2(\tau)$ ,  $g_3 = g_3(\tau)$ . Thus one can consider  $g_2$  and  $g_3$  as functions on  $\mathbb{H}$ . These functions are holomorphic on  $\mathbb{H}$ . One can show that for  $\begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \mathrm{SL}_2(\mathbb{C})$

$$g_2\left(\frac{a\tau + b}{c\tau + d}\right) = (c\tau + d)^4 \cdot g_2(\tau), \quad g_3\left(\frac{a\tau + b}{c\tau + d}\right) = (c\tau + d)^6 \cdot g_3(\tau).$$

One says in this situation that  $g_2$  is a modular form of weight 4 and  $g_3$  is a modular form of weight 6.



Then  $\Delta = g_2^3 - 27g_3^2$  has the property

$$\Delta\left(\frac{a\tau + b}{c\tau + d}\right) = (c\tau + d)^{12} \cdot \Delta(\tau)$$

and one says that  $\Delta$  is a modular form of weight 12. We showed above that  $\Delta = g_2^3 - 27g_3^2 \neq 0$ , so one obtains the following holomorphic function on  $\mathbb{H}$ :

$$j(\tau) = \frac{g_2^3(\tau)}{\Delta(\tau)}.$$

Then

$$j\left(\frac{a\tau + b}{c\tau + d}\right) = j(\tau),$$

so  $j$  is invariant under the action of  $\mathrm{SL}_2(\mathbb{Z})$  on  $\mathbb{H}$ .

**Definition 12.10.** The holomorphic function  $j : \mathbb{H} \rightarrow \mathbb{C}$  is called  $j$ -invariant.

Therefore, there exists a unique factorization through  $\mathbb{H} \xrightarrow{\pi} \mathbb{H}/\mathrm{SL}_2(\mathbb{Z})$ , which by abuse of notation is denoted by  $j$  as well.

$$\begin{array}{ccc} \mathbb{H} & \xrightarrow{j} & \mathbb{C} \\ & \searrow \pi & \swarrow \exists! \\ & \mathbb{H}/\mathrm{SL}_2(\mathbb{Z}) & \end{array}$$

**Theorem 12.11.** *The map*

$$\mathbb{H}/\mathrm{SL}_2(\mathbb{Z}) \xrightarrow{j} \mathbb{C}, \quad [\tau] \mapsto j(\tau)$$

*is a bijection, i. e., two complex tori  $\mathbb{C}/\Gamma(\tau)$  and  $\mathbb{C}/\Gamma(\tau')$  are isomorphic if and only if  $j(\tau) = j(\tau')$ .*

*Proof.* No proof. A proof can be found for example in [5]. □

**Exercises.**

**Exercise 44.** Let  $\Gamma$  be a lattice in  $\mathbb{C}$  and let  $\wp$  be the corresponding Weierstraß function. Notice that the elliptic functions  $\wp'''(z)$  and  $\wp'(z) \cdot \wp'''(z)$  are even with poles in  $\Gamma$ . Represent them as polynomials in  $\wp$ .

**Exercise 45.** Let  $\Gamma$  be a lattice in  $\mathbb{C}$  and let  $\wp$  be the corresponding Weierstraß function. Notice that the elliptic functions  $\wp'''(z)$  and  $\wp^{(5)}(z)$  are odd. Represent them as  $\wp' \cdot \Psi(\wp)$  for some  $\Psi(t) \in \mathbb{C}(t)$ .

**Exercise 46.** In the lecture we showed that

$$\mathcal{M}_{\mathbb{C}/\Gamma}(\mathbb{C}/\Gamma) \cong \mathbb{C}(x)[y]/(y^2 - 4x^3 + g_2x + g_3).$$

Find the inverse of  $y^3$  in  $\mathbb{C}(x)[y]/(y^2 - 4x^3 + g_2x + g_3)$ . Use it to express  $(1/\wp'(z))^3$  as a polynomial in  $\wp'$  with coefficients in  $\mathbb{C}(\wp)$ .

**Exercise 47.** In the lecture we defined  $j$ -invariant

$$j(\tau) = \frac{g_2^3(\tau)}{\Delta(\tau)}, \quad \Delta(\tau) = g_2^3(\tau) - 27g_3^2(\tau).$$

Compute the following values of  $j$ -invariant:

$$j\left(\frac{1}{2} + i\frac{\sqrt{3}}{2}\right) = 0, \quad j(i) = 1.$$

In other words show that

$$g_2\left(\frac{1}{2} + i\frac{\sqrt{3}}{2}\right) = 0, \quad g_3(i) = 0.$$

## 13. LECTURE 13

**Integration of differential forms.** Let  $U \subset X$  be an open subset of a Riemann surface  $X$ .

Let  $\omega \in \Omega_X(U)$ .

Let  $\gamma : [a, b] \rightarrow U$  be a smooth (i. e., piece-wise differentiable) path. This means that for every chart  $\varphi_i : U_i \rightarrow V_i$ ,  $U_i \subset U$ , the functions  $\varphi_i \circ \gamma : \gamma^{-1}(U_i) \rightarrow V_i$  are piece-wise differentiable.

I. Assume there exists a chart  $\varphi : W \rightarrow V$ ,  $W \subset U$  such that  $\gamma([a, b]) \subset W$ . Write  $\omega|_W = f \cdot d\varphi$  for  $f \in \mathcal{O}_X(W)$  and define

$$\int_{\gamma} \omega := \int_a^b f(\gamma(t)) \cdot (\varphi(\gamma(t)))' dt$$

**Claim.** *This definition does not depend on the choice of  $\varphi$ .*

*Proof.* Exercise. □

II. One can always choose a partition of the interval  $[a, b]$ , i. e.,

$$a = a_0 < a_1 < \cdots < a_m = b$$

such that for  $\gamma_i := \gamma|_{[a_{i-1}, a_i]} : [a_{i-1}, a_i] \rightarrow X$  there exists a chart  $\varphi_i : U_i \rightarrow V_i$  of  $X$  with  $\gamma_i([a_{i-1}, a_i]) \subset U_i$ . Define now

$$\int_{\gamma} \omega := \sum_{i=1}^m \int_{\gamma_i} \omega.$$

**Claim.** *This definition does not depend on the choice of the partition.*

*Proof.* Exercise. □

So, for every open subset  $U \subset X$ , for every  $\omega \in \Omega_X(U)$ , and for every smooth path  $\gamma : [a, b] \rightarrow U$ , we get

$$\int_{\gamma} \omega \in \mathbb{C}.$$

**Remark 13.1.** Analogously, for an open set  $U \subset X$ , for  $\omega \in \mathcal{K}_X(U)$ , and for a smooth path  $\gamma : [a, b] \rightarrow U$  such that  $\gamma([a, b])$  does not contain poles of  $\omega$ , one gets  $\int_{\gamma} \omega$  as well. Indeed, just replace  $U$  by  $U' = U \setminus \{\text{poles of } \omega\}$ . Then  $\omega \in \Omega_X(U')$  and  $\gamma([a, b]) \subset U'$ .

**Properties. I. Reparameterisation invariance.** Let  $[a', b'] \xrightarrow{\alpha} [a, b]$  be a smooth map such that  $\alpha(a') = a$ ,  $\alpha(b') = b$ . Let  $\gamma : [a, b] \rightarrow X$  be a smooth path. Then  $\gamma \circ \alpha : [a', b']$  is a smooth path as well and

$$\int_{\gamma} \omega = \int_{\gamma \circ \alpha} \omega.$$

**II. Linearity.**  $\int_{\gamma} (\lambda\omega_1 + \mu\omega_2) = \lambda \int_{\gamma} \omega_1 + \mu \int_{\gamma} \omega_2$  for differential forms  $\omega_1, \omega_2$  around  $\gamma$  and for  $\lambda, \mu \in \mathbb{C}$ .

**III.** Let  $\gamma : [a, b] \rightarrow X$  be a smooth path, let  $U$  be a neighbourhood of  $\gamma([a, b])$ , let  $f \in \mathcal{O}_X(U)$ . Then

$$\int_{\gamma} df = f(\gamma(b)) - f(\gamma(a)).$$

**IV.** Let  $\{\gamma_i\}_1^n$  be a partition of a smooth path  $\gamma$ , i. e,  $\gamma = \gamma_1\gamma_2 \dots \gamma_n$ . Then

$$\int_{\gamma} \omega = \sum_{i=1}^n \int_{\gamma_i} \omega.$$

**V.** Let  $\gamma^{-1}$  be the inverse path to a smooth path  $\gamma$ . Then

$$\int_{\gamma^{-1}} \omega = - \int_{\gamma} \omega.$$

**Remark 13.2.** Every continuous path can be approximated by smooth paths. This allows to define integrals of differential forms over arbitrary continuous paths.

**Theorem 13.3.** Let  $X$  be a Riemann surface. Let  $\omega \in \Omega_X(X)$ . Let  $\gamma \sim \delta$  be two homotopic paths. Then

$$\int_{\gamma} \omega = \int_{\delta} \omega.$$

*Proof (hint).* This is a consequence of the Stokes' theorem. □

**Corollary 13.4.** Let  $X$  be a RS, let  $x_0 \in X$ . Consider the fundamental group  $\pi_1(X, x_0)$ . Let  $\omega \in \Omega_X(X)$ , then

$$\pi_1(X, x_0) \rightarrow \mathbb{C}, \quad [\gamma] \mapsto \int_{\gamma} \omega$$

is a well-defined group homomorphism.

*Proof.* The map is well-defined by the previous theorem. Let  $\gamma, \delta$  be two closed paths at  $x_0$ . By property (IV) of integrals it holds

$$\int_{\gamma \cdot \delta} \omega = \int_{\gamma} \omega + \int_{\delta} \omega.$$

Thus the map  $[\gamma] \mapsto \int_{\gamma} \omega$  is a group homomorphism for every  $\omega \in \Omega_X(X)$ . □

**Definition 13.5.** The number  $\int_{\gamma} \omega$  is called period of  $\gamma$  with respect to  $\omega$ . The homomorphism

$$\int_{\gamma} \omega : \pi_1(X, x_0) \rightarrow \mathbb{C}, \quad [\gamma] \mapsto \int_{\gamma} \omega,$$

is called the period homomorphism.

**Exercise.** Compute the periods of the generators of  $\pi_1(\mathbb{C}/\Gamma)$  with respect to some generator  $\omega$  of  $\Omega_{\mathbb{C}/\Gamma}(\mathbb{C}/\Gamma)$ .

**Definition 13.6.** Let  $\omega \in \mathcal{K}_X(U)$  for some open subset  $U$  of a RS  $X$ . Let  $a \in U$ . Let  $z : U' \rightarrow V$  be a local coordinate at  $a$ . Let  $\omega|_{U'} = fdz$  for some  $f \in \mathcal{M}_X(U')$ . Define

$$\text{res}_a \omega := \text{res}_{z(a)}(f \circ z^{-1}),$$

this number is called the residue of  $\omega$  at  $a$ .

**Reminder 13.7.** Let  $U \subset \mathbb{C}$  be open, let  $b \in U$ ,  $f \in \mathcal{O}_X(U \setminus \{b\})$ , and let

$$f(z) = \sum_i c_i (z - b)^i$$

be its Laurent power series at  $b$ . Then

$$\text{res}_b f = c_{-1}.$$

Equivalently

$$\text{res}_b f = \frac{1}{2\pi i} \oint_b f dz.$$

**Remark 13.8.** It makes no sense to define residues of meromorphic functions on RS because it would depend on the choice of local coordinates.

**Claim.**  $\text{res}_a \omega$  defined as in Definition 13.6 does not depend on the choice of a local coordinate.

**Theorem 13.9** (Residue theorem). *Let  $X$  be a compact RS, let  $\omega \in \mathcal{K}_X(X)$ . Then*

$$\sum_{x \in X} \text{res}_x \omega = 0.$$

*Proof (hint).* Follows from the Stokes' theorem. □

**Example 13.10.** Let  $f \in \mathcal{M}_X(X)$ . Put  $\omega = \frac{df}{f}$ . The residue theorem reads then as

$$\sum_{p \in X} \text{res}_p \frac{df}{f} = 0.$$

For every  $p \in X$  choose a local coordinate  $z$  at  $p$  and write  $f$  locally around  $p$  as  $f = z^k \tilde{f}$ , where  $\tilde{f}$  is a holomorphic function around  $p$  such that  $\tilde{f}(p) \neq 0$  and  $k = \text{ord}_p f$ . Then

$$df = (kz^{k-1}\tilde{f} + z^k \frac{\partial \tilde{f}}{\partial z}) dz$$

and therefore

$$\frac{df}{f} = \left( \frac{k}{z} + \frac{\frac{\partial \tilde{f}}{\partial z}}{\tilde{f}} \right) dz.$$

This means  $\text{res}_p \frac{df}{f} = k = \text{ord}_p f$ , so the residue theorem reads as

$$\sum_{p \in X} \text{ord}_p f = 0,$$

which we already know.

**Theorem 13.11.** *Let  $S \subset X$  be a finite set. For  $a \in S$  let  $U_a$  be an open neighbourhood such that  $U_a \cap U_b = \emptyset$  for  $a \neq b$ . Let  $\omega_a \in \mathcal{K}_X(U_a)$  such that  $\omega_a \in \Omega_X(U_a \setminus \{a\})$ . Let  $\sum_{a \in S} \text{res}_a \omega_a = 0$ . Then there exists  $\omega \in \mathcal{K}_X(X)$  such that  $S$  is its set of poles and  $\omega|_{U_a} - \omega_a \in \Omega_X(U_a)$ .*

*Proof.* Without. □

**Remark 13.12.** This means that the condition  $\sum_{x \in X} \text{res}_x \omega = 0$  from the residue theorem is the only restriction for the existence of meromorphic differential forms.

**Corollary 13.13.** *On every compact Riemann surface  $X$  there exists a non-constant meromorphic function  $f \in \mathcal{M}_X(X)$ .*

*Proof.* For every two different points  $p_1, p_2 \in X$  there exist differential forms  $\omega_1, \omega_2 \in \mathcal{K}_X(X)$  such that  $p_1$  is the only pole of  $\omega_1$  with  $\text{ord}_{p_1} \omega_1 = -2$ ,  $p_2$  is the only pole of  $\omega_2$ ,  $\text{ord}_{p_2} \omega_2 = -2$ . Then  $\omega_1 = f \cdot \omega_2$  for some  $f \in \mathcal{M}_X(X)$ . One sees that  $f$  should be non-constant. □

**Exercises.**

**Exercise 48.** Consider the lattice  $\Gamma = \mathbb{Z} \cdot 5 + \mathbb{Z} \cdot (2 + 3i)$ . Let  $X = \mathbb{C}/\Gamma$  be the corresponding complex torus. Consider the path  $\gamma : [0, 1] \rightarrow X$ ,  $\gamma(t) = [(12 + 9i) \cdot t]$ . Let  $\omega$  be the standard generator of  $\Omega_X(X)$ , i. e., for every chart  $\varphi : U \rightarrow V$  it holds  $\omega|_U = d\varphi$ . Compute

$$\int_{\gamma} \omega.$$

**Exercise 49.** Let  $\Gamma = \mathbb{Z}\gamma_1 + \mathbb{Z}\gamma_2$  be a lattice in  $\mathbb{C}$ . Let  $X = \mathbb{C}/\Gamma$  be the corresponding complex torus.

Define  $\delta_1 : [0, 1] \rightarrow X$  by  $\delta_1(t) = [t \cdot \gamma_1]$  and  $\delta_2 : [0, 1] \rightarrow X$  by  $\delta_2(t) = [t \cdot \gamma_2]$ . Notice that  $\delta_1$  and  $\delta_2$  are smooth closed paths at the point  $[0] \in X$ . Moreover, they generate the fundamental group of  $X$ .

Let  $\omega$  be the standard generator of  $\Omega_X(X)$ , i. e., for every chart  $\varphi : U \rightarrow V$  it holds  $\omega|_U = d\varphi$ . Compute the integrals

$$\int_{\delta_1} \omega \quad \text{and} \quad \int_{\delta_2} \omega.$$

**Exercise 50.** Consider the Riemann sphere  $\hat{\mathbb{C}}$ . Let  $z = \varphi_0 : U_0 \rightarrow \mathbb{C}$  and  $w = \varphi_1 : U_1 \rightarrow \mathbb{C}$  be the standard charts. Consider the meromorphic function  $f = \frac{z^3}{z^2 - 1}$  on  $\hat{\mathbb{C}}$  and define  $\omega \in \mathcal{K}_{\hat{\mathbb{C}}}(\hat{\mathbb{C}})$  by the condition  $\omega|_{U_0} = f dz$ . Compute  $\text{res}_1 \omega$  and  $\text{res}_{-1} \omega$ . Use the Residue theorem to obtain the value of  $\text{res}_{\infty} \omega$ .

**Exercise 51.** Let  $D = \sum_{i=1}^r a_i \cdot x_i$  be a principal divisor on a complex torus  $X = \mathbb{C}/\Gamma$ , i. e.,  $D = (f)$  for some meromorphic function  $f \in \mathcal{M}_X(X)$ . Show that

$$\sum_{i=1}^r a_i \cdot x_i = 0$$

as an element of  $X = \mathbb{C}/\Gamma$ .

**Hint:** Let  $\pi : \mathbb{C} \rightarrow X$  be the canonical projection. Consider  $F(z) = f \circ \pi(z)$ . Choose a fundamental parallelogram  $V$  in  $\mathbb{C}$  such that there are no poles or zeros of  $F$  on its boundary  $\partial V$ . Consider the integral

$$\int_{\partial V} z \cdot \frac{F'(z)}{F(z)} dz$$

and apply the standard residue theorem.

**Theorem.** For a meromorphic function  $g$  on an open set  $V \subset \mathbb{C}$  which possesses a continuous extension to the closure of  $V$  one has

$$\frac{1}{2\pi i} \int_{\partial V} g(z) dz = \sum_{a \in V} \operatorname{res}_a g.$$



## 14. LECTURE 14

**Definition 14.1.** Let  $X$  be a compact RS, let

$$\alpha_1, \dots, \alpha_p, \beta_1, \dots, \beta_p$$

be some representatives of generators of the fundamental group  $\pi_1(X)$  of  $X$  (cf. Lecture 4).

Let  $\omega \in \Omega_X(X)$ , define  $A_i(\omega) = \int_{\alpha_i} \omega$ ,  $B_i(\omega) = \int_{\beta_i} \omega$ . We obtain the linear maps

$$\Omega_X(X) \xrightarrow{A} \mathbb{C}^p, \quad \omega \mapsto (A_1(\omega), A_2(\omega), \dots, A_p(\omega)),$$

$$\Omega_X(X) \xrightarrow{B} \mathbb{C}^p, \quad \omega \mapsto (B_1(\omega), B_2(\omega), \dots, B_p(\omega)).$$

**Theorem 14.2.**  $A$  and  $B$  are isomorphisms of vector spaces.

*Proof.* No proof. A proof can be deduced from the theory of harmonic functions.  $\square$

**Corollary 14.3.** Let  $\omega \in \Omega_X(X)$ . Then

$$\omega = 0 \quad \Leftrightarrow \quad A_i(\omega) = 0 \quad \forall i \quad \Leftrightarrow \quad B_i(\omega) = 0 \quad \forall i.$$

**Definition 14.4.** Fix a basis of  $\Omega_X(X)$ , say  $\{\omega_1, \dots, \omega_g\}$  (assume  $g \geq 1$ ). Then for every closed curve  $\alpha$  in  $X$  at  $x_0 \in X$  the vector

$$\left( \int_{\alpha} \omega_1, \dots, \int_{\alpha} \omega_g \right) \in \mathbb{C}^g$$

is called a period of  $X$  with respect to  $\{\omega_1, \dots, \omega_g\}$ .

Denote by  $L = L(\omega_1, \dots, \omega_g) \subset \mathbb{C}^g$  the set of all periods of  $X$  with respect to  $\{\omega_1, \dots, \omega_g\}$ .

Since

$$\int_{\alpha} \omega + \int_{\beta} \omega = \int_{\alpha \cdot \beta} \omega,$$

we see that  $L$  is subgroup of  $\mathbb{C}^g$ .

Consider an arbitrary period  $(\int_{\alpha} \omega_1, \dots, \int_{\alpha} \omega_g)$ . Since  $[\alpha_1], \dots, [\alpha_g], [\beta_1], \dots, [\beta_g]$  generate the fundamental group,  $[\alpha]$  can be expressed as a product of their powers. Then

$$\left( \int_{\alpha} \omega_1, \dots, \int_{\alpha} \omega_g \right)$$

is a linear combination of

$$\left( \int_{\alpha_i} \omega_1, \dots, \int_{\alpha_i} \omega_g \right), \quad i = 1, \dots, g, \quad \text{and} \quad \left( \int_{\beta_j} \omega_1, \dots, \int_{\beta_j} \omega_g \right), \quad j = 1, \dots, g,$$

with integer coefficients. In other words,

$$\left( \int_{\alpha} \omega_1, \dots, \int_{\alpha} \omega_g \right)$$

is a linear combination with integer coefficients of the rows of the **period matrix**

$$\begin{pmatrix} A_1(\omega_1) & \dots & A_1(\omega_g) \\ \vdots & \ddots & \vdots \\ A_g(\omega_1) & \dots & A_g(\omega_g) \\ B_1(\omega_1) & \dots & B_1(\omega_g) \\ \vdots & \ddots & \vdots \\ B_g(\omega_1) & \dots & B_g(\omega_g) \end{pmatrix}.$$

So the rows of the period matrix generate  $L$  as an abelian group.

One sees that the rank (over  $\mathbb{C}$ ) of the period matrix is  $g$ . Moreover, one can show that its rows are linearly independent over  $\mathbb{R}$ . This means that  $L$  is a free abelian subgroup of  $\mathbb{C}^g$  of rank  $2g$ , i. e., a lattice in  $\mathbb{C}^g$ .

**Definition 14.5.** Define the Jacobian of  $X$  by

$$\text{Jac}(X) := \mathbb{C}^g / L.$$

One introduces a complex structure on  $\text{Jac}(X)$  as for one-dimensional complex tori (page 5). Then  $\text{Jac}(X)$  is a complex manifold of dimension  $g$ .

**Exercise.**  $\text{Jac}(\mathbb{C}/\Gamma) \cong \mathbb{C}/\Gamma$ .

Fix a point  $q \in X$  of a compact Riemann surface  $X$ . For a point  $x \in X$  take some path  $\gamma_x$  from  $q$  to  $x$  and consider

$$\left( \int_q^x \omega_1, \int_q^x \omega_2, \dots, \int_q^x \omega_g \right) := \left( \int_{\gamma_x} \omega_1, \dots, \int_{\gamma_x} \omega_g \right).$$

It is an element in  $\mathbb{C}^g$ . Of course it depends on the choice of  $\gamma_x$ . However if  $\delta_x$  is another path connecting  $q$  and  $x$ , for every  $\omega \in \Omega_X(X)$

$$\int_{\gamma_x} \omega - \int_{\delta_x} \omega = \int_{\gamma_x} \omega + \int_{\delta_x^{-1}} \omega = \int_{\gamma_x \cdot \delta_x^{-1}} \omega,$$

where  $\alpha_x = \gamma_x \cdot \delta_x^{-1}$  is a closed path at  $q$ . Therefore,

$$\left( \int_{\gamma_x} \omega_1, \dots, \int_{\gamma_x} \omega_g \right) - \left( \int_{\delta_x} \omega_1, \dots, \int_{\delta_x} \omega_g \right) = \left( \int_{\alpha_x} \omega_1, \dots, \int_{\alpha_x} \omega_g \right) \in L.$$

Thus the map

$$\lambda_q : X \rightarrow \text{Jac}(X) = \mathbb{C}^g/L, \quad x \mapsto \left[ \left( \int_q^x \omega_1, \dots, \int_q^x \omega_g \right) \right]$$

is well-defined.

Moreover, it is holomorphic.

**Exercise.** Show that  $\lambda_q$  is holomorphic.

Since  $\text{Jac}(X)$  has a natural structure of an abelian group, one can extend  $\lambda_q$  by linearity to a homomorphism

$$\Lambda_q : \text{Div } X \rightarrow \text{Jac } X, \quad \sum_{x \in X} a_x \cdot x \mapsto \sum_{x \in X} a_x \cdot \lambda_q(x).$$

**Remark 14.6.**  $\Lambda_q$  depends on the choice of  $q \in X$ .

Consider its restriction to the subgroup  $\text{Div}^0 X \subset \text{Div } X$ .

**Claim.**

$$\Lambda_q|_{\text{Div}^0 X} : \text{Div}^0 X \rightarrow \text{Jac } X$$

does not depend on the choice of  $q$ .

*Proof.* Since every  $D \in \text{Div}^0 X$  is a sum of divisors of the form  $a - b$ ,  $a, b \in X$ ,  $a \neq b$ , it is enough to check the statement for  $D = a - b$ ,  $a \neq b$ . Then

$$\begin{aligned} \Lambda_q(D) &= \left[ \left( \int_q^a \omega_1, \dots, \int_q^a \omega_g \right) \right] - \left[ \left( \int_q^b \omega_1, \dots, \int_q^b \omega_g \right) \right] = \\ &= \left[ \left( \int_q^a \omega_1 - \int_q^b \omega_1, \dots, \int_q^a \omega_g - \int_q^b \omega_g \right) \right] = \left[ \left( \int_b^a \omega_1, \dots, \int_b^a \omega_g \right) \right], \end{aligned}$$

i. e., does not depend on  $q$ . □

**Definition 14.7.** Define  $\Lambda := \Lambda_q|_{\text{Div}^0 X}$  for some (every)  $q \in X$ .

We obtained a homomorphism  $\Lambda : \text{Div}^0 X \rightarrow \text{Jac } X$ . Recall that for  $f \in \mathcal{M}_X(X)$ ,  $(f) \in \text{Div}^0 X$ . Notice that  $(f) = (g)$  for  $f, g \in \mathcal{M}_X(X)$  implies that  $\frac{f}{g} \in \mathcal{O}_X(X) = \mathbb{C}$ . Hence, to know the divisor of  $f \in \mathcal{M}_X(X)$  is the same as to know  $f$  up to a multiplication by a scalar. So, to describe  $\mathcal{M}_X(X)$  is the same as to describe  $\text{PDiv } X \subset \text{Div}^0 X$ .

**Theorem 14.8.** *I. (Abel)  $\text{PDiv } X = \text{Ker } \Lambda$ , i. e., a divisor  $D \in \text{Div}^0 X$  is a divisor of some meromorphic function  $f \in \mathcal{M}_X(X)$  ( $D = (f)$ ) if and only if  $\Lambda(D) = 0$ . In particular  $\text{Pic}^0 X = \text{Div}^0 X / \text{PDiv } X$  can be seen as a subgroup of  $\text{Jac } X$  by means of the induced embedding*

$$\text{Pic}^0 X \rightarrow \text{Jac } X, \quad [D] \mapsto \Lambda(D).$$

II. (Jacobi)  $\Lambda$  is surjective, in particular

$$\text{Pic}^0 X \rightarrow \text{Jac } X, \quad [D] \mapsto \Lambda(D).$$

is an isomorphism of abelian groups.

*Proof.* No proof. □

**Corollary 14.9.**  $\lambda_q : X \rightarrow \text{Jac } X$  is injective for every  $q \in X$

*Proof.* Suppose that  $\lambda_q$  is not injective. Then there exist  $a, b \in X$ ,  $a \neq b$ , with  $\lambda_q(a) = \lambda_q(b)$ . Then for  $D = a - b$ ,  $\Lambda(D) = \lambda_q(a) - \lambda_q(b) = 0$ , hence there exists  $f \in \mathcal{M}_X(X)$  such that  $D = (f)$ . Then  $f$  has degree 1 as a map of Riemann surfaces  $X \xrightarrow{\hat{f}} \hat{\mathbb{C}}$ . Therefore  $X \cong \hat{\mathbb{C}}$ , which is a contradiction because we assumed  $g_X \geq 1$ . □

**Corollary 14.10.** If  $g_X = 1$ , then  $\lambda_q : X \rightarrow \text{Jac } X = \mathbb{C}/L$  is an isomorphism, i. e., complex tori are the only compact Riemann surfaces of genus 1.

*Proof.*  $\lambda_q$  is a holomorphic injective map of Riemann surfaces  $X \rightarrow \mathbb{C}/L$ , hence surjective, and hence an isomorphism. □

**Corollary 14.11** (Abel-Jacobi theorem for complex tori). Let  $X = \mathbb{C}/\Gamma$  be a complex torus.

(0) Then  $\text{Jac } X$  can be identified with  $X$  itself.

(1) Let  $D = \sum_i a_i \cdot [x_i] \in \text{Div } X$  be a divisor on  $X$ ,  $a_i \in \mathbb{Z}$ ,  $x_i \in \mathbb{C}$ . Let  $D_{\mathbb{C}} = \sum_i a_i x_i \in \mathbb{C}$ . Then under the identification  $\text{Jac } X = X$ , the map  $\Lambda : \text{Div}^0 X \rightarrow \text{Jac } X = X$  is given by

$$D \mapsto [D_{\mathbb{C}}] = D_{\mathbb{C}} + \Gamma \in X = \mathbb{C}/\Gamma.$$

Hence

$$\text{Pic}^0 X \rightarrow X, \quad [D] \mapsto [D_{\mathbb{C}}],$$

is an isomorphism of abelian groups.

(2) In other words, for  $D \in \text{Div}^0 X$  there exists  $f \in \mathcal{M}_X(X)$  with  $D = (f)$  if and only if  $D_{\mathbb{C}} \in \Gamma$ .

*Proof.* Exercise. □

**Some final remarks.** Let  $X$  be a compact Riemann surface of genus  $g_X \geq 1$ . Then  $\text{Jac } X$  can be embedded into  $\mathbb{P}_n$  for some  $n$ . Then the chain of the embeddings

$$X \subset \text{Jac } X \subset \mathbb{P}_n$$

gives an embedding of  $X$  into  $\mathbb{P}_n$  as a submanifold.

**Remark 14.12.** Note that not every higher dimensional torus can be embedded into  $\mathbb{P}_n$ . However this is the case for the tori defined by period lattices.

**Definition 14.13.** A projective variety is a zero set of homogeneous polynomials  $f_1, \dots, f_m \in \mathbb{C}[x_0, \dots, x_n]$

$$Z(f_1, \dots, f_m) = \{ \langle x_0, \dots, x_n \rangle \in \mathbb{P}_n \mid f_i(x_0, \dots, x_n) = 0 \quad \forall i = 1, \dots, m \}.$$

**Theorem 14.14 (Chow).** *Compact complex submanifolds of  $\mathbb{P}_n$  are projective varieties.*

**Corollary 14.15.** *Every compact Riemann surface can be realized as a projective variety, i. e., a projective algebraic curve.*

**Remark 14.16.** Let  $C = Z(f) \subset \mathbb{P}_2$  be a smooth plane algebraic curve,  $\deg f = d$ . Then its genus is

$$g_C = \frac{(d-1)(d-2)}{2}.$$

In particular,  $g_C = 0$  for  $d = 1$  and  $d = 2$ ,  $g_C = 1$  for  $d = 3$ ,  $g_C = 3$  for  $d = 4$ ,  $g_C = 6$  for  $d = 5$ , so one sees that not all compact Riemann surfaces can be realized as plane algebraic curves (for example Riemann surfaces of genus 2).

**Dimension of the moduli space.** In our course we showed that the space of isomorphism classes (so called **moduli space**) of compact Riemann surfaces of genus

- $g = 0$  consists of one point;
- $g = 1$  has dimension 1 and can be identified with  $\mathbb{C}$  (using  $j$ -invariant).

One can show that for  $g \geq 2$ , the space  $\mathcal{M}_g$  of the isomorphism classes of compact Riemann surfaces of genus  $g$  has dimension  $3g - 3$ .

**Exercises.**

**Exercise 52.** (1) Let  $\Gamma$  be a lattice in  $\mathbb{C}$  and let  $X = \mathbb{C}/\Gamma$  be the corresponding complex torus. Fix some generators  $\alpha_1$  and  $\beta_1$  of the fundamental group of  $X$ , fix a basis of  $\Omega_X(X)$ , and compute the corresponding period matrix. You could use some of your results from Exercise 49.

(2) Let  $\Gamma$  be a lattice in  $\mathbb{C}$  and let  $X = \mathbb{C}/\Gamma$  be the corresponding complex torus. Show that  $\text{Jac}(X) \cong X$ .

**Exercise 53.** Let  $X$  be a compact Riemann surface of genus  $g \geq 1$ . Let  $\{\omega_1, \dots, \omega_g\}$  be a basis of  $\Omega_X(X)$ . Let  $L \subset \mathbb{C}^g$  be the corresponding lattice of periods. For a fixed point  $q \in X$  we constructed the map

$$\lambda_q : X \rightarrow \text{Jac}(X) = \mathbb{C}^g/L, \quad x \mapsto \left[ \left( \int_q^x \omega_1, \dots, \int_q^x \omega_g \right) \right].$$

Prove that  $\lambda_q$  is a holomorphic map.

**Hint:** Notice that it is enough to understand the following.

(1) Let  $w$  be a point in  $\mathbb{C}$ . Let  $f$  be a holomorphic function in some open neighbourhood  $W$  of  $w$ . Then in every open ball  $U$  around  $w$ ,  $U \subset W$ , for every point  $x \in U$ , and for every path  $\gamma_x$  that connects  $w$  and  $x$ , the integral

$$\int_{\gamma_x} f dz$$

depends only on  $x$  and not on the choice of  $\gamma_x$ , hence the notation  $\int_w^x f dz := \int_{\gamma_x} f dz$  makes sense.

(2) Moreover, there exists an open ball  $U$  around  $w$  where  $f$  has a primitive function, i. e., a holomorphic function  $F$  such that  $F'(z) = f(z)$ . Then  $\int_w^x f dz = \int_w^x F'(z) dz = F(x) - F(w)$  and hence the function

$$U \ni x \mapsto \int_w^x f dz$$

is holomorphic.

**Exercise 54.** Let  $X = \mathbb{C}/\Gamma$  be a complex torus,  $\Gamma = \mathbb{Z} \cdot \omega_1 + \mathbb{Z} \cdot \omega_2$ . Let  $D_1 = \left[ \frac{\omega_1}{2} \right] + \left[ \frac{\omega_2}{2} \right] - \left[ \frac{\omega_1 + \omega_2}{2} \right]$ ,  $D_2 = \left[ \frac{\omega_1}{2} \right] + \left[ \frac{\omega_2}{2} \right] - 2 \cdot \left[ \frac{\omega_1 + \omega_2}{2} \right]$ ,  $D_3 = \left[ \frac{\omega_1}{2} \right] + \left[ \frac{\omega_2}{2} \right] - 2 \cdot \left[ \frac{\omega_1 + \omega_2}{4} \right]$ .

Check whether  $D_1, D_2, D_3$  are principal divisors.

## APPENDIX A. EXAMPLES OF COMPACT RIEMANN SURFACES WITH DIFFERENT GENERA.

For an arbitrary genus  $g \in \mathbb{Z}_{\geq 0}$  we are going to present an example of a compact Riemann surface of genus  $g$ .

**A.1. Genus 0.** We know that up to an isomorphism there is only one Riemann compact surface of genus 0. This is the Riemann sphere  $\hat{\mathbb{C}}$  or the projective line  $\mathbb{P}_1$ .

**A.2. Genus 1.** We know that the only compact Riemann surfaces of genus 1 are complex tori. These can be seen as plane projective cubic curves given by the equation

$$zy^2 = 4x^3 - g_2xz^2 - g_3z^3.$$

In other words, complex tori are just closures in  $\mathbb{P}_2$  of the affine curves  $C \subset \mathbb{C}^2$ ,

$$C = \{(x, y) \mid y^2 = 4x^3 - g_2x - g_3\},$$

where  $\mathbb{C}^2$  is embedded into  $\mathbb{P}_2$  by

$$(x, y) \mapsto \langle x, y, 1 \rangle.$$

So, we can see elliptic curves as the closures in  $\mathbb{P}_2$  of the affine curves of the form

$$C = \{(x, y) \mid y^2 = h(x)\},$$

where  $h$  is a cubic polynomial with 3 different roots.

**Reminder A.1.** Notice that for a polynomial  $f \in \mathbb{C}[x, y]$  of degree  $d$  the closure of the affine zero set

$$Z(f) = \{(x, y) \mid f(x, y) = 0\} \subset \mathbb{C}^2$$

is a zero set of the homogenized polynomial  $F \in \mathbb{C}[x, y, z]$  defined by  $F(x, y, z) = z^d \cdot f(\frac{x}{z}, \frac{y}{z})$ . Namely,

$$\overline{Z(f)} = Z(F) = \{\langle x, y, z \rangle \mid F(x, y, z) = 0\}.$$

**A.3. Generalizing elliptic curves.** One could try to generalize the construction of elliptic curves in order to get examples of Riemann surfaces of higher genera.

A.3.1. *Trying a straightforward approach.* One easily notes that for a polynomial  $h \in \mathbb{C}[x]$  the curve

$$C = \{(x, y) \mid y^2 = h(x)\} \subset \mathbb{C}^2$$

is smooth (is a submanifold of  $\mathbb{C}^2$ ) if and only if all roots of  $h$  are different. Let  $h = c \cdot \prod_1^d (x - a_i)$ ,  $d \geq 3$ , with  $a_i \neq a_j$  for  $i \neq j$ .

Embed  $\mathbb{C}^2$  into  $\mathbb{P}_2$  as above by the map  $(x, y) \mapsto \langle x, y, 1 \rangle$  and consider the closure  $\bar{C}$  of  $C$  in  $\mathbb{P}_2$ . Then  $\bar{C}$  is defined by the equation

$$y^2 z^{d-2} = c \cdot \prod_1^d (x - a_i z).$$

One sees that  $\langle 0, 1, 0 \rangle$  is a singular point of  $\bar{C}$  if  $d > 3$ , so taking the closure in  $\mathbb{P}_2$  of a smooth curve in  $\mathbb{C}^2 \subset \mathbb{P}_2$  does not always produce a submanifold of  $\mathbb{P}_2$ , i. e.,  $\bar{C}$  is not always a Riemann surface.

A.3.2. *Another approach.* Let us look at  $\mathbb{C}^2$  as at the product  $\mathbb{C} \times \mathbb{C}$  keeping in mind that  $\mathbb{C}$  can be seen as an open subset of  $\hat{\mathbb{C}} \cong \mathbb{P}_1$ . This suggests to realize  $\mathbb{C}^2$  as an open subset of a line bundle over  $\hat{\mathbb{C}} \cong \mathbb{P}_1$ .

**Reminder A.2.** A line bundle over  $\hat{\mathbb{C}}$  is a 2-dimensional complex manifold  $E$  and a holomorphic map  $E \xrightarrow{\pi} \hat{\mathbb{C}}$  such that over the standard open charts  $U_0$  and  $U_1$  of  $\hat{\mathbb{C}}$  the restrictions  $E|_{U_0} = \pi^{-1}(U_0)$  and  $E|_{U_1} = \pi^{-1}(U_1)$  are isomorphic to  $U_0 \times \mathbb{C}$  and  $U_0 \times \mathbb{C}$  via isomorphisms  $\phi_0$  and  $\phi_1$  respectively such that  $\pi|_{\pi^{-1}(U_0)} = pr_1 \circ \phi_0$  and  $\pi|_{\pi^{-1}(U_1)} = pr_1 \circ \phi_1$  and the transition map

$$(U_0 \cap U_1) \times \mathbb{C} \xrightarrow{\phi_1 \phi_0^{-1}} (U_0 \cap U_1) \times \mathbb{C}, \quad (x, v) \mapsto (x, g_{10}(x)(v))$$

is given in the fibre over  $x \in U_0 \cap U_1$  by a linear map  $g_{10}(x) : \mathbb{C} \rightarrow \mathbb{C}$ , i. e.,  $g_{10}$  can be seen as a holomorphic map  $g_{10} : U_0 \cap U_1 \rightarrow \mathbb{C}^*$ .

Notice that it is enough to know  $g_{10}$  in order to reconstruct  $E$  up to an isomorphism. It is known that up to an isomorphism  $E$  is defined by a gluing map  $g_{10}$  of the form  $g_{10}(t) = t^n$  for some  $n \in \mathbb{Z}$ .

Let  $E$  be given by the cocycle  $g_{10}(t) = t^n$ . Then  $E$  can be glued together from two pieces  $U_0 \times \mathbb{C}$  and  $U_1 \times \mathbb{C}$ , each of which is identified with  $\mathbb{C}^2$ , the gluing is given by the map

$$\mathbb{C}^* \times \mathbb{C} \cong (U_0 \cap U_1) \times \mathbb{C} \xrightarrow{\phi_1 \phi_0^{-1}} (U_0 \cap U_1) \times \mathbb{C} \rightarrow \mathbb{C}^* \times \mathbb{C}, \quad (x, y) \mapsto (1/x, yx^n).$$



Then the point  $(x, y)$  is mapped to  $(\xi, \eta) = (1/x, yx^n)$ . Since  $y^2 = h(x)$  and  $x = 1/\xi$ , one obtains  $y = \eta/x^n = \eta\xi^n$  and therefore

$$\eta^2\xi^{2n} = h(1/\xi) = \frac{1}{\xi^d} \cdot c \cdot \prod_1^d (1 - a_i\xi).$$

Notice that the polynomial  $g(\xi) = c \cdot \prod_1^d (1 - a_i\xi)$  does not vanish at 0 and has different roots.

If  $\delta = 2n + d > 0$ , then

$$\eta^2\xi^\delta = g(\xi).$$

The curve in  $\mathbb{C}^2$  given by

$$C_1 = \{(\xi, \eta) \mid \eta^2\xi^\delta - g(\xi) = 0\}$$

is smooth. So the union of  $C_0 = C$  and  $C_1$  is a Riemann surface in  $E$ . However, since  $C_1$  does not contain any points of the form  $(0, \eta)$ ,  $C_1$  is contained in  $C_0$ . So this construction does not add any points to  $C_0$  and hence does not provide a compact Riemann surface.

If  $\delta = 2n + d \leq 0$ , then for  $\epsilon = -\delta$

$$\eta^2 = \xi^\epsilon g(\xi).$$

The curve in  $\mathbb{C}^2$  given by

$$C_1 = \{(\xi, \eta) \mid \eta^2 - \xi^\epsilon g(\xi) = 0\}$$

is smooth if only if the polynomial  $\xi^\epsilon g(\xi)$  does not have multiple roots, i. e., since  $g$  has only simple roots different from zero, if and only if  $\epsilon = 0$  or  $\epsilon = 1$ . Let  $X$  be the union of  $C_0 = C$  and  $C_1$ . Then  $X$  is a Riemann surface in  $E$ . Moreover,  $X$  is compact as a union of two compact sets

$$\{(x, y) \mid y^2 = h(x), |x| \leq 1\} \cup \{(\xi, \eta) \mid \eta^2 = \xi^\epsilon g(\xi), |\xi| \leq 1\}.$$

The Riemann surfaces of this type are called hyperelliptic curves.

A.3.3. *Genus of  $X$ .* Since  $X$  is constructed as a submanifold of a line bundle  $E$  over  $\hat{\mathbb{C}}$ , one obtains a natural holomorphic map

$$X \xrightarrow{\pi} \hat{\mathbb{C}}$$

which is given over  $U_0$  and  $U_1$  by  $(x, y) \mapsto x$  and  $(\xi, \eta) \mapsto \xi$  respectively.

First of all, let us compute the degree of  $X \xrightarrow{\pi} \hat{\mathbb{C}}$ . Notice that for every  $x \in U_0 \subset \hat{\mathbb{C}}$  such that  $h(x) \neq 0$ , there are exactly 2 points in the preimage  $\pi^{-1}(x)$ . Since there can be only finitely many ramification points, one concludes that  $d(\pi) = 2$ .

The set of the ramification points coincides with the preimages of the points  $x \in \hat{\mathbb{C}}$  such that either  $h(x) = 0$  if  $x \in U_0$  or  $\xi^\epsilon g(\xi) = 0$  if  $x = 1/\xi \in U_1$ . There are  $d$  such points lying over

$U_0$  and 1 more point over  $\infty \in \hat{\mathbb{C}}$  in the case  $\epsilon = 1$ , i. e., if  $d$  is odd. The multiplicity of each ramification point is 2, therefore

$$\sum_{x \in X} (\text{mult}_x \pi - 1) = d + \epsilon.$$

Let  $g$  denote the genus of  $X$ . Let us apply the Riemann-Hurwitz formula to this map. It reads

$$2g - 2 = 2(-2) + d + \epsilon.$$

Therefore,  $g = \frac{d+\epsilon}{2} - 1$ , so one can obtain this way a compact Riemann surface of an arbitrary genus  $g \in \mathbb{N}$ .

**Remark A.3.** We have shown that a hyperelliptic curve  $X$  of genus  $g$  comes together with a holomorphic map  $\pi : X \rightarrow \hat{\mathbb{C}}$  of degree 2.

One can also show that the converse is true: every compact Riemann surface of genus  $g$  with a holomorphic map  $\pi : X \rightarrow \hat{\mathbb{C}}$  of degree 2 is isomorphic to a hyperelliptic curve.

**Remark A.4.** A hyperelliptic curve of genus  $g$  and the corresponding holomorphic map  $X \rightarrow \hat{\mathbb{C}}$  define  $2(g+1)$  points on  $\hat{\mathbb{C}}$  (images of the ramification points). Acting by an automorphism of  $\hat{\mathbb{C}}$ , i. e., by the transformations  $x \mapsto \frac{ax+b}{cx+d}$ ,  $\begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \text{GL}_2(\mathbb{C})$ , we can always assume that 3 of the points are, for example,  $0, 1, \infty$ . Then the remaining  $2g-1$  points parameterize the isomorphism classes of hyperelliptic curves of genus  $g$ . Moreover, different  $(2g-1)$ -tuples of points in  $\hat{\mathbb{C}}$  provide different isomorphism classes of hyperelliptic curves.

The latter means that the subspace of the hyperelliptic curves in the moduli space  $\mathcal{M}_g$  (cf. page 77) has dimension  $2g-1$ . Since  $\dim \mathcal{M}_g = 3g-3$  for  $g \geq 2$ , one concludes that the codimension of the hyperelliptic locus in  $\mathcal{M}_g$  equals  $g-2$ .

So, for  $g \geq 3$  there are compact Riemann surfaces that are not hyperelliptic.

**A.4. Genus 2.** In order to obtain a hyperelliptic Riemann surface of genus 2, it should hold  $d + \epsilon = 6$ , so one can take  $d = 5$  or  $d = 6$ .

**Remark A.5.** It can be shown that every compact Riemann surface of genus 2 is a hyperelliptic curve. By Remark A.3 it is enough to show the existence of a holomorphic map  $X \rightarrow \hat{\mathbb{C}}$  of degree 2, or, equivalently, it is enough to find a meromorphic function on  $X$  with two poles.

**A.5. Higher genera.** As mentioned above, there must exist a non-hyperelliptic Riemann surface of genus  $g \geq 3$ .

**Example A.6.** Let  $C$  be a plane projective curve smooth curve of degree 4, for example

$$C = \{\langle x, y, z \rangle \in \mathbb{P}_2 \mid x^4 + y^4 + z^4 = 0\}.$$

As we know, the genus of  $C$  is 3. However,  $C$  is not hyperelliptic.

More generally, a hyperelliptic curve can not be realized as a submanifold of  $\mathbb{P}_2$ .

**Remark A.7.** Notice that  $X$  is obtained from  $C = C_0$  by adding one point if  $d$  is odd. In this case our construction is just a one-point compactification and therefore there is a natural homeomorphism of  $X$  and  $\bar{C}$ .

If  $d$  is even,  $X$  is obtained from  $C = C_0$  by adding two points.

**Remark A.8.** Notice that the closure of  $C = C_0$  in  $\mathbb{P}_2$  is also a one-point compactification. However, as we noticed above,  $\bar{C}$  is a submanifold of  $\mathbb{P}_2$  only for  $d = 3$ . In the case  $d = 3$  the genus of  $X$  is 1 and our one-point compactification construction of  $X$  is isomorphic to  $\bar{C}$ .

For  $d > 3$ ,  $\bar{C}$  is singular. So, though  $X$  and  $\bar{C}$  are homeomorphic as topological spaces, the complex structure on  $X$  is not induced by the complex structure of  $\mathbb{P}_2$ .

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